

***IN VITRO AND IN VIVO* ELUCIDATION OF THE ROLE OF
miRNAS IN THE APOPTOTIC PROPERTIES OF *BCL-XL*-
SILENCED HUMAN LUNG ADENOCARCINOMA CELLS**

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**FACULTY OF SCIENCE
UNIVERSITY OF MALAYA
KUALA LUMPUR**

2017

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ADENOCARCINOMA CELLS**

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ABSTRACT

Anti-apoptotic BCL-XL is frequently overexpressed in non-small cell lung cancer, leading to inhibition of apoptosis and poor prognosis. MicroRNAs play a role in regulating apoptosis and cell survival during tumourigenesis, with cancer cells showing perturbed expression of miRNAs. The aim of this study was to determine the biological effects of miRNA dysregulation on non-small cell lung cancer, and the molecular mechanisms by which apoptosis is regulated. Overexpression and knockdown studies were performed via transfection of miRNA mimics and inhibitors and cell death was detected using the annexin V-FITC detection kit and caspase 3/7 activity assay. Cell cycle analysis was also performed to determine the role candidate miRNAs play in cell growth. Results indicated that overexpression of miR-608 and down-regulation of miR-361-5p induced cell death in A549 and SK-LU-1 cells. Gene target prediction analysis implicated various signaling pathways as targets of *BCL-XL* induced miRNA alterations. Luciferase reporter assay identified *AKT2* and *SMAD2* as direct targets of miR-608 and miR-361-5p, respectively, and suppression of its protein levels were validated using Western blot. To elucidate the role and importance of these miRNAs *in vivo*, labeled tumour cells were injected into the yolk sac of zebrafish embryos and immunostained using monoclonal antibodies to detect the cleaved, active form of caspase 3. In conclusion, *BCL-XL* silencing in A549 and SK-LU-1 cells leads to the occurrence of apoptosis through the dysregulation of miR-608 and miR-361-5p, thus providing a platform for anti-sense gene therapy whereby miRNA expression can be exploited to increase the apoptotic properties in lung adenocarcinoma cells.

ABSTRAK

BCL-XL merupakan protein anti-apoptotik yang kerap dijumpai dalam kanser paru-paru yang menyebabkan perencatan apoptosis serta prognosis yang kurang baik. MicroRNAs (miRNAs) memainkan peranan dalam mengawal selia apoptosis dan kehidupan sel semasa tumorigenesis, dimana sel-sel kanser menunjukkan ungkapan miRNA terganggu. Tujuan kajian ini adalah untuk menentukan perubahan dalam ungkapan miRNA dalam sel-sel paru-paru adenokarsinoma, dan menentukan mekanisme molekul yang meregulasikan apoptosis. Kajian peningkatan dan perencatan ekspresi miRNA dilaksanakan melalui transfeksi miRNA mimik dan perencat, dan kematian sel dibuktikan menggunakan kit pengesanan annexin V-FITC dan cerakin aktiviti “caspase” 3/7. Analisis kitaran sel juga dilakukan untuk menentu peranan yang dimainkan oleh calon miRNA ini dalam pertumbuhan sel. Keputusan menunjukkan bahawa peningkatan miR-608 dan perencatan miR-361-5p menyebabkan kematian sel-sel A549 dan SK-LU-1. Analisis ramalan sasaran gen membabitkan pelbagai laluan isyarat sebagai sasaran dari penginduksian perubahan miRNA oleh *BCL-XL*. Assay pelapor luciferase menunjukkan bahawa *AKT2* dan *SMAD2* adalah sasaran langsung untuk miR-608 dan miR-361-5p, masing-masing, dimana penindasan tahap protein AKT dan SMAD2 disahkan dengan menggunakan pemendapan Western. Untuk menjelaskan peranan miRNAs ini *in vivo*, sel-sel tumor berlabel telah disuntik ke dalam kantung kuning telur embrio zebrafish dan telah di “immunostain” menggunakan antibodi monoklonal untuk mengesahkan pembentukan “caspase” 3 aktif yang tersisih. Kesimpulannya, pelenyapan *BCL-XL* dalam sel-sel A549 dan SK-LU-1 menyebabkan apoptosis melalui perubahan ekspresi miR-608 dan miR-361-5p. Keputusan ini menyediakan platform untuk terapi gen “anti-sense” di mana ekspresi miRNA boleh dieksploitasi untuk meningkatkan ciri-ciri apoptosis dalam sel-sel paru-paru adenokarsinoma.

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LIST OF SYMBOLS AND ABBREVIATIONS

α	:	Alpha
β	:	Beta
Δ	:	Delta
κ	:	Kappa
\approx	:	Approximately
$^{\circ}$:	Degree
$^{\circ}\text{C}$:	Degree Celsius
$<$:	Less than
\leq	:	Less than or equal to
$>$:	More than
\geq	:	More than or equal to
$+$:	Anode
$-$:	Cathode
\times	:	(i) Multiplication (ii) Times
$\#$:	Number
$\%$:	Percentage
\pm	:	Plus-minus
$\times g$:	Times gravity
μg	:	Microgram
μL	:	Microlitre
μm	:	Micrometer
μM	:	Micromolar
μS	:	Microseconds

3'	:	End of the molecule which terminates in a 3' phosphate group
3'UTR	:	3'-untranslated region
5'	:	End of the molecule which terminates in a 5' phosphate group
®	:	Registered
™	:	Trademark
(v/v)	:	Volume per Volume
(w/v)	:	Weight per Volume
A _{260/230}	:	Ratio of absorbance at 260 nm to absorbance at 230 nm
A _{260/280}	:	Ratio of absorbance at 260 nm to absorbance at 280 nm
AAALAC	:	Association for Assessment and Accreditation of Laboratory Animal Care
Ago2	:	Argonaute protein
AIF	:	Apoptosis inducing factor
AKT2	:	V-Akt murine thymoma viral oncogene homolog 2
ALL	:	Acute lymphoblastic leukemia
AML	:	Acute myeloid leukemia
AP-1	:	Activator protein 1
Apaf-1	:	Apoptosis protease-activating factor 1
APC	:	Adenomatous polyposis coli
APS	:	Ammonium persulfate
ARID1A	:	AT-rich interaction domain 1A
ASK	:	Apoptosis signal-regulating kinase group
ATF-2	:	Activating transcription factor 2
BAD	:	Bcl-2-associated agonist of cell death
BAK	:	Bcl-2 antagonist/killer
BAX	:	Bcl-2-associated protein X

BCA	:	Bicinchoninic acid
BCL-2	:	B-cell lymphoma-2
BCL-B	:	Bcl-2-like protein 10
BCL-W	:	Bcl-2-like protein 2
BCL-XL	:	B-cell lymphoma extra large
BFL-1	:	Bcl-2 related protein A1
BH	:	Bcl-2 homology
BID	:	BH3-interacting domain death agonist
BIM	:	Bcl-2-interacting mediator of cell death
BRAF	:	B-Raf proto-oncogene, serine/threonine kinase
BSA	:	Bovine serum albumin
c-Fos	:	Fos proto-oncogene, AP-1 transcription factor subunit
c-Jun	:	Jun proto-oncogene, AP-1 transcription factor subunit
c-Myc	:	v-Myc avian myelocytomatosis viral oncogene homolog
CaCl ₂	:	Calcium chloride
CARIF	:	Cancer Research Initiative Foundation
CCD	:	Charged couple device
CCDC6	:	Coiled-coil domain containing 6
CCND2	:	Cyclin D2
CDC25A	:	Cell division cycle 25A
CDC42	:	Cell division cycle 42
CDE	:	Cell cycle-regulated repressor element
CDH1	:	E-cadherin
CDK	:	Cyclin dependent kinase
CDKI	:	Cyclin dependent kinase inhibitor
cDNA	:	Complementary DNA

CDX2	:	Caudal type homeobox 2
CER I	:	Cytoplasmic Extraction Reagent I
CER II	:	Cytoplasmic Extraction Reagents II
CHR	:	Cell cycle gene homology region
CLC	:	Cell lysis buffer
cm	:	Centimeter
CO ₂	:	Carbon dioxide
Co-Smad	:	Common-mediator Smad
CSF1	:	Colony stimulating factor 1
CWC	:	Column wash solution
CXCR6	:	C-X-C chemokine receptor type 6
DAPK	:	Death associated protein kinase
DAVID	:	Database for annotation, visualization and integrated discovery
DDR2	:	Discoidin domain receptor 2
DED	:	Death effector domain
DEPC	:	Diethyl pyrocarbonate
DGCR8	:	DiGeorge syndrome critical region 8
dH ₂ O	:	Distilled H ₂ O
DIABLO	:	Direct inhibitor of apoptosis protein (IAP)-binding protein with low PI
Dill	:	1,1'-Diocadecyl-3,3,3',3'-Tetramethylindocarbocyanine Perchlorate
DISC	:	Death inducing signaling complex
Dkk-1	:	Dickkopf WNT signaling pathway inhibitor 1
DLBCL	:	Diffuse large B-cell lymphoma
DMSO	:	Dimethyl sulfoxide
DNA	:	Deoxyribonucleic acid

DPBS	:	Dulbecco's phosphate-buffered saline
Dpf	:	Days post-fertilization
Dsh	:	Disheveled
DTT	:	Dithiothreitol
E2F	:	Retinoblastoma-associated protein
EBB	:	Elution buffer
EDTA	:	Ethylenediaminetetraacetic acid
EGF	:	Epidermal growth factor
EGFR	:	Epidermal growth factor receptor
EMT	:	Epithelial-to-mesenchymal
EPO	:	Erythropoietin
ERB	:	Endotoxin removal wash
ERK	:	Extracellular signal-regulated kinases
ESCC	:	Esophageal squamous cell carcinoma
Ets	:	Erythroblastosis virus E26 (v-Ets) oncogene homolog
FADD	:	Fas-associated death domains
FasL	:	Fas ligand
FBS	:	Fetal bovine serum
FDA	:	Food and Drug Administration
FGFR1	:	Fibroblast growth factor receptor 1
FITC	:	Fluorescein isothiocyanate
FKHR	:	Forkhead
FLIP	:	FLICE (FADD-like IL-1 β -converting enzyme)-inhibitory protein
FOM	:	Faculty of Medicine
FZD8	:	Frizzled-8
GADD45	:	Growth arrest and DNA damage inducible alpha

GEF	:	Guanine nucleotide exchange factor
GM-CSF	:	Granulocyte macrophage-colony stimulating factor
GSK-3 β	:	Glycogen synthase-kinase-3-beta
h	:	Hours
H ₂ O	:	Water
HCl	:	Hydrogen chloride
HER2	:	v-Erb-B2 avian erythroblastic leukemia viral oncogene homolog 2
HER4	:	v-Erb-B2 avian erythroblastic leukemia viral oncogene homolog 4
HMGA2	:	High mobility group AT-hook 2
hPa	:	Hectopascal
HPC	:	Hypopharynx cancer
Hpf	:	Hours post-fertilization
Hpi	:	Hours post-injection
HRP	:	Horseradish peroxidase
HtrA2	:	High temperature requirement protein A
IACUC	:	Institutional Care of Use Committee
IARC	:	International Agency for Research on Cancer
IDH	:	Isocitrate dehydrogenase
IEX-1	:	Immediately early gene X-1
IGF	:	Insulin-like growth factor
IgG	:	Immunoglobulin G
I κ B	:	Inhibitor of NF κ B
IKK	:	Inhibitor of NF κ B (I κ B) kinase
IMS	:	Intermembrane space
JNK	:	c-Jun N-terminal kinase
kb	:	Kilobase

KCl	:	Potassium chloride
kDa	:	Kilodalton
KH ₂ PO ₄	:	Potassium dihydrogen phosphate
KRAS	:	v-K-ras2 Kirsten rat sarcoma viral oncogene homolog
L	:	Liter
LB	:	Lysogeny broth
LNA	:	Locked nucleic acid
LOH	:	Loss of heterozygosity
mA	:	Milliampere
MAP2K	:	MAP kinase
MAP3K	:	MAPK kinase kinase
MAPK	:	Mitogen-activated protein kinase
MCC	:	Merkel cell carcinoma
MCL-1	:	Induced myeloid leukemia cell differentiation protein
MCV	:	Merkel cell polyomavirus
MDM2	:	Mouse double minute 2 homolog
MEK	:	MAP kinase
MEKK	:	Mitogen-activated protein kinase kinase kinase 1, E3 ubiquitin protein ligase group
MEM	:	Minimum essential medium
MeOH	:	Methanol
MET	:	Hepatocyte growth factor receptor
mg	:	Milligram
MgCl ₂	:	Magnesium chloride
MIF	:	Macrophage migration inhibitory factor
Min	:	Minutes

MiRNA	:	MicroRNA
ml	:	Milliliter
MLK	:	Mixed lineage kinase
mm	:	Millimeter
MOMP	:	Mitochondrial outer membrane permeabilization
MTT	:	3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide
Na ₂ HPO ₄	:	Disodium hydrogen phosphate
NAA10	:	N-a-acetyltransferase 10 protein
NaCl	:	Sodium chloride
NaOH	:	Sodium hydroxide
NCBI	:	National Center for Biotechnology Information
NER	:	Nuclear extraction reagent
NFAT	:	Nuclear factor of activated T cells
NFκB	:	Nuclear factor of light polypeptide gene enhancer in B cells
nm	:	Nanometer
nM	:	Nanomolar
NSC	:	Neutralization Solution
NSCLC	:	Non-small cell lung cancer
OD ₂₆₀	:	Optical density at 260 nm
OD ₂₈₀	:	Optical density at 280 nm
OSCC	:	Oral squamous cell carcinoma
OTA	:	Oral tongue adenocarcinoma
OTSCC	:	Oral tongue squamous cell carcinoma
p16/CDKN2A	:	Cyclin-dependent kinase inhibitor 2A, multiple tumor suppressor 1
p21	:	Cyclin dependent kinase inhibitor 1A
p53	:	Tumor protein p53

PARP	:	Poly (ADP-ribose) polymerase
PBS	:	Phosphate buffered saline
PBST	:	PBS with Tween 20
P _c	:	Compensation pressure
PCR	:	Polymerase chain reaction
PDCD4	:	Programmed cell death protein 4
PDGF	:	Platelet-derived growth factor
PDGFR	:	Platelet derived growth factor receptor
PDK1	:	3-phosphoinositide-dependent protein kinase-1
PDT	:	PBST with Triton X and DMSO
PFA	:	Paraformaldehyde
pg	:	Picogram
PH	:	Plekstrin homology
pH	:	Potential of hydrogen
P _i	:	Injection pressure
PI	:	Propidium iodide
PI3K/AKT	:	Phosphatidylinositol 3-kinase/protein kinase B
PIK3CA	:	Phosphatidylinositol-4,5-bisphosphate 3-kinase catalytic subunit alpha
PIP ₂	:	Phosphatidylinositol-3,4-biphosphate
PKB	:	Protein kinase B
PORC	:	Porcupine
Pre-miRNA	:	Precursor miRNA
Pri-miRNA	:	Primary miRNA
PS	:	Phosphatidylserine
PTU	:	1-phenyl 2-thiourea

PTEN	:	Phosphatase and tensin homolog
qPCR	:	Quantitative polymerase chain reaction
qRT-PCR	:	Quantitative reverse transcription polymerase chain reaction
R-Smads	:	Receptor-regulated Smad
Rac1	:	Ras-related C3 botulinum toxin substrate 1
Rb	:	Retinoblastoma
RIN	:	RNA integrity number
RISC	:	RNA-induced silencing complex
RNA	:	Ribonucleic acid
RPM	:	Rotations per minute
RPMI-1640	:	Roswell Park Memorial Institute 1640 medium
RT-PCR	:	Reverse transcription polymerase chain reaction
RT-qPCR	:	Reverse transcription-quantitative real-time polymerase chain reaction
RUNX3	:	Runt related transcription factor 3
SAPK	:	Stress-activated protein kinases
SCC	:	Squamous cell carcinoma
SCLC	:	Small cell lung cancer
SD	:	Standard deviation
SDS	:	Sodium dodecyl sulfate
SDS-PAGE	:	Sodium dodecyl sulphate polyacrylamide gel electrophoresis
Sec	:	Seconds
Ser112	:	Serine-112
Ser136	:	Serine-136
Ser166	:	Serine-166
Ser184	:	Serine-184

Ser186	:	Serine-186
siRNA	:	Short interfering RNA
siRNA NC	:	Scrambled negative control siRNA
Smac	:	Second mitochondria derived activator of caspase
SMAD2	:	Mothers Against Decapentaplegic Homolog 2
SND1	:	Staphylococcal nuclease domain containing-1
SNPs	:	Single nucleotide polymorphisms
STAT6	:	Signal transducer and activator of transcription 6
TAE	:	Tris base/ acetic acid/ EDTA
TAK1	:	Transforming growth factor-beta activated kinase 1
tBID	:	Truncated BID
TBS	:	Tris-buffered saline
TBST	:	Tris-buffered saline with Tween20
TCF-4	:	Transcription factor 4
Tcf/Lef	:	T-cell factor/lymphocyte enhancer factor
TE	:	Tris/EDTA
TEMED	:	Tetramethyl-ethylenediamine
TGF- β RI	:	Transforming growth factor, beta-receptor I
TGF- β RII	:	Transforming growth factor, beta-receptor II
TGF β	:	Transforming growth factor, beta
TGS	:	Tris/Glycine/SDS
Thr18	:	Threonine-18
Thr125	:	Threonine-125
Thr163	:	Threonine-163
Thr308	:	Threonine-308
t _i	:	Injection time

TIEG1	:	TGF-beta early-response gene
TIMP3	:	Tissue inhibitor of metalloproteinases 3
TNF	:	Tumour necrosis factor
TNFR1	:	Tumour necrosis factor receptor 1
TPM1	:	Tropomyosin 1
TRADD	:	TNF receptor-associated death domain
TRAIL	:	TNF-related apoptosis-inducing ligand
TRAILR1	:	TNF-related apoptosis-inducing ligand, receptor 1
TRAIL-R2	:	TRAIL receptor 2
TRBP	:	Transactivating response RNA-binding protein
Tris-HCl	:	Tris hydrochloride
VEGF	:	Vascular endothelial growth factor
VIM	:	Vimentin
WIF-1	:	WNT inhibitory factor 1
WNT	:	Wingless-type MMTV integration site family
XIAP	:	X-linked inhibitor of apoptosis protein
Y22	:	Tyrosine 22
ZEB	:	Zinc finger E-box-binding homeobox

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University of Malaya

CHAPTER 1: INTRODUCTION

The most common cancer worldwide, remains to be lung cancer (Ferlay *et al.*, 2013). In Malaysia, lung cancer led to the most cancer deaths in the country in 2012, making up 19.1% of total cancer deaths, with adenocarcinoma being the most common cell type (Ferlay *et al.*, 2012). When possible, the most effective option for treatment of lung cancer is surgical resection (Molina *et al.*, 2008). As a majority of lung cancer patients are diagnosed at an advanced or metastatic stage of disease, the most favorable form of treatment is chemotherapy and/or simultaneous administration of radiation and chemotherapy (Pfister *et al.*, 2004). However, even with treatment, the 5-year survival rate in lung cancer remains to be very low at only 17.7% (Howlader, 2017a), with late stage diagnosis and high incidence of drug resistance being the main contributors to low survival rates.

Apoptosis plays a crucial role in the development and maintenance of multicellular organisms through the elimination of cells that are damaged, aged or autoimmune (Sorenson, 2004). Cancer cells have the ability to disrupt the balance between pro- and anti-apoptotic factors, thereby making evasion of apoptosis an important hallmark of tumour progression. The B-cell lymphocyte 2 (BCL-2) family of proteins are the central regulators of apoptosis (Daniel & Smythe, 2004). Studies have demonstrated that anomalous patterns of BCL-2 expression was found in a wide variety of human cancers (Hockenbery *et al.*, 1991). However, studies conducted on non-small cell lung cancer (NSCLC), which accounts for the majority of lung cancer cases (Liam *et al.*, 2006), have shown that the expression of BCL-2 is either very low or even absent (Daniel & Smythe, 2004). Instead, the expression of B-cell lymphocyte xL (BCL-XL), the other major prototype of the anti-apoptotic *BCL-2* gene, is shown to be overexpressed in NSCLC (Soini *et al.*, 1999). BCL-XL over-expression counteracts the pro-apoptotic

functions of Bcl-2 associated X protein (BAX) and Bcl-2 associated death promoter (BAD) through the inhibition of their translocation from the cytosol to the mitochondria. Apoptosis is thereby obstructed through the maintenance of the permeability status or stabilization of the outer mitochondrial membrane, which in turn prevents the release of cytochrome c and pro-caspase 9 activation (Gottlieb *et al.*, 2000).

MicroRNAs (miRNAs) are a subset of non-coding RNAs of about 19 to 23 nucleotides long which regulate gene expression post-transcriptionally via inhibition of mRNA translation through the direct binding of particular targets sites in the 3'-untranslated region (3'UTR), or by stimulation of target mRNA degradation through cleavage (Bartel, 2004). Individual miRNAs are able to regulate the expression of multiple genes; correspondingly a single target can be modulated by many miRNAs (Lewis *et al.*, 2005). These regulatory elements play a role in a wide range of biological processes including apoptosis (Mott *et al.*, 2007). A disturbed miRNA function or altered miRNA expression has been reported to disorganize cellular processes and may eventually contribute to human diseases, including cancer (Calin *et al.*, 2004a). MiRNAs have the ability to influence the development of cancer through the manipulation of the apoptotic process (Jovanovic & Hengartner, 2006; Lima *et al.*, 2011). The expression of miRNAs can be either up-regulated or down-regulated, and studies have demonstrated that dysregulated miRNAs can act as oncogenes or tumour suppressor genes in lung cancers (Volinia *et al.*, 2006; Son, 2009).

In my Masters project, miRNA microarrays were utilized to determine the expression of miRNA dysregulated in response to *BCL-XL* silencing in A549 cells and to determine their involvement in regulation of apoptosis. A total of ten miRNAs were found to be significantly differentially expressed when compared between siRNA-transfected and non-transfected cells. These miRNAs were found to have putative gene targets in

various signal transduction pathways including the PI3K/AKT, WNT, TGF- β and ERK pathways.

The expression of miRNAs has frequently been identified to be dysregulated in cancers, however, due to non-specific binding properties of each individual miRNAs, their specific functions remain to be unclear. This study is an extension of my Masters project, with validation of the expression of the dysregulated miRNAs in a second lung adenocarcinoma cell line, SK-LU-1. As the characteristics of A549 and SK-LU-1 cells are similar, it is hypothesized that the biological effects, as well as regulation of miRNA expression, in response to anti-apoptotic BCL-XL, in these two cell lines, will be comparable. As miRNA studies continue to be developed, it is crucial to obtain an in-depth understanding of miRNA biogenesis and function, as it will certainly affect the improvement of miRNA-based therapies. Therefore, further analysis will be performed on the candidate miRNAs to determine their biological functions. Animal studies using zebrafish embryos will then be performed to determine the *in vivo* effects of miRNA regulation on apoptosis.

Objectives

- 1) To determine the change in expression of miRNAs in lung adenocarcinoma cancer cells regulated by the anti-apoptotic protein BCL-XL
- 2) To predict and identify the targets of selected candidate miRNAs dysregulated in lung adenocarcinoma cells.
- 3) To identify experimentally validated miRNA gene targets through overexpression or silencing studies on candidate miRNA.
- 4) To investigate and determine the effects of miRNA regulation on downstream mRNA and protein expression.
- 5) To observe the effects of candidate miRNA on *in vivo* regulation of apoptosis.

CHAPTER 2: LITERATURE REVIEW

2.1 Cancer

Cancer is a genetic disease that is characterized by the transformation of normal cells to a neoplastic state. This progression is enabled through the acquisition of various hallmark capabilities that allows cells to become tumourigenic and ultimately malignant, as illustrated in Figure 2.1 (Hanahan & Weinberg, 2011). These distinctive and complementary capabilities that facilitate tumour growth and metastatic dissemination include sustaining proliferative signaling, evasion of growth suppressors, avoidance of immune destruction, enabling replicative immortality, tumour-promoting inflammation, activating invasion and metastasis, inducing angiogenesis, genome instability & mutation, resisting cell death, and deregulating cellular energetics (Hanahan & Weinberg, 2011).

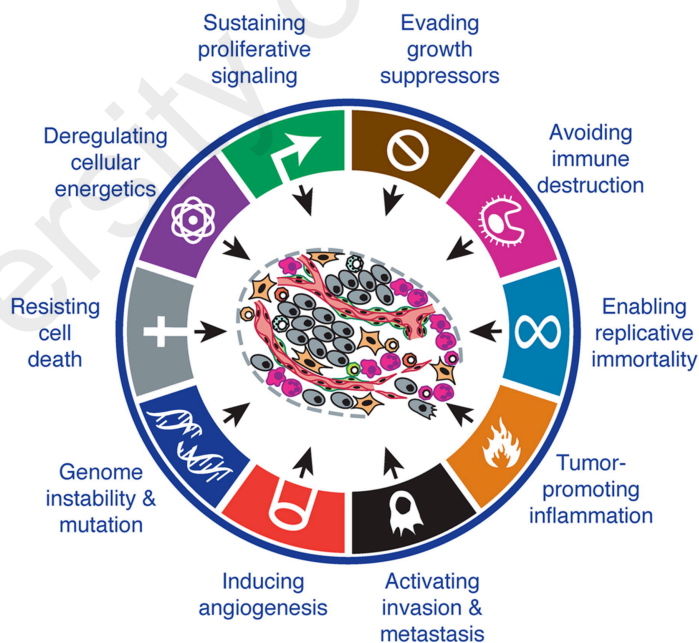


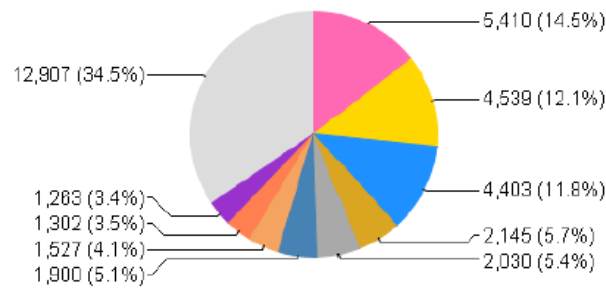
Figure 2.1: The hallmarks of cancer (Reproduced from Cell, Vol. 144, Hanahan & Weinberg, Hallmarks of Cancer: The Next Generation, 646-674, 2011, with permission from Elsevier).

2.1.1 Cancer statistics

According to GLOCOBAN, a Windows based software which provides access to global cancer incidence and mortality rates, there are about 14.1 million new cancer cases (within 5 years of diagnosis), 8.2 million cancer deaths and 32.6 million people living with cancer in 2012 worldwide (Ferlay *et al.*, 2013). Lung cancer remains to be the most common cancer worldwide, in both sexes combined, with an estimated 1.8 million new cases in 2012 (12.9% of the total cancer cases), followed by breast cancer (1.67 million new cases in 2012) and colorectum cancer (746,000 new cases in men and 614,000 new cases in females) (Ferlay *et al.*, 2013). As highlighted in Figure 2.2, the most common cancer site for males, in Malaysia, in the year 2012, was lung (17.9% incidence) followed by colorectum (14.1% incidence) and prostate (6.5). In females, the most common cancer site was breast (28.0% incidence), with cervix uteri (11.1% incidence) and colorectum (10.2% incidence) followed by lung (6.0% incidence) (Ferlay *et al.*, 2012).

Lung cancer led to the most cancer deaths worldwide with 1,098,606 deaths in males and females combined, accounting for 23.6% of the total deaths caused by cancer (Ferlay *et al.*, 2012). Similarly, in Malaysia, lung cancer led to the most cancer deaths in the country in 2012, making up 19.1% of total cancer deaths, followed by breast cancer (11.9% of total cancer deaths) and colorectum cancer (10.6% of total cancer deaths) (Ferlay *et al.*, 2012).

Incidence



Mortality

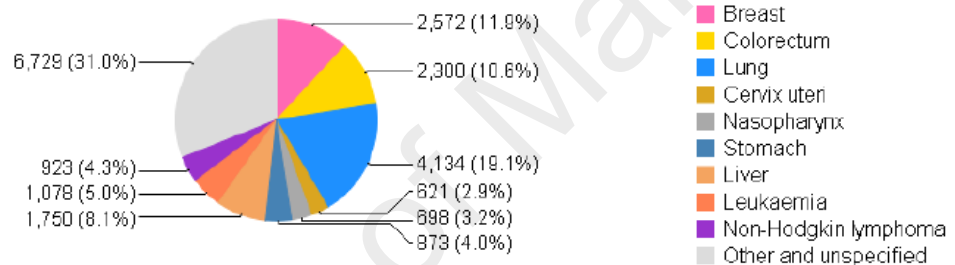


Figure 2.2: Malaysian population's incidence and mortality of cancers in males and females combined, in 2012 (Reproduced with permission from Ferlay *et al.* GLOBOCAN 2012 v1.0, Cancer Incidence and Mortality Worldwide: IARC CancerBase No. 11 [Internet]. Lyon, France: International Agency for Research on Cancer; 2013. Available from: <http://globocan.iarc.fr>, accessed May 26, 2017).

2.2 Lung cancer

Lung cancer is characterized by uncontrolled cell growth in the tissues of the lungs (Howlader, 2017a), and can be categorized into two main groups: small cell lung cancer (SCLC) and non-small cell lung cancer (NSCLC). SCLC accounts for about 15% of lung cancer cases and is a highly malignant tumour, while NSCLC makes up the remaining 85% of cases and can be further grouped into 3 major pathologic subtypes: adenocarcinoma, squamous cell carcinoma and large cell carcinoma (Dela Cruz *et al.*, 2011). Adenocarcinoma is the most common NSCLC subtype accounting for 38.5% of all lung cancer cases, while squamous cell carcinoma accounts for 20.0% and large cell carcinoma 2.9% (Howlader, 2017b).

2.2.1 Etiology of lung cancer

There are various factors that may increase the risk of lung cancer, and they can be grouped into two broad categories: factors inherent to the individual (intrinsic factors) and factors extraneous to the individual (extrinsic or environmental factors) (Ruano-Ravina *et al.*, 2003). Intrinsic factors include genetic susceptibility, family history of cancer, gender, race, age, and previous respiratory diseases, whereas the extrinsic factors include use of tobacco, diet and obesity, occupational and environmental air pollution (Ruano-Ravina *et al.*, 2003; Dela Cruz *et al.*, 2011).

The use of tobacco remains to be the principal risk factor for lung cancer. Tobacco smoke was suspected to be linked to lung cancer as early as the 1920's, and a case-control study was published in 1940 in Germany that reported for the first time that tobacco use was the single most important source of increase in lung cancer incidence (Müller, 1940). Today, tobacco smoking accounts for approximately 90% of male lung cancer deaths and 75-80% of female lung cancer deaths in the United States (Shopland, 1995). Due to the complexity of tobacco smoke there is still some unknowns regarding the mechanism by which it causes lung cancer. However, to date, among the multiple components of tobacco smoke, at least 50 carcinogens have been identified by the International Agency for Research on Cancer (IARC) (Hoffmann & Hoffmann, 1997). Polycyclic aromatic hydrocarbons and nicotine-derived nitroso-aminoketone are the most well known carcinogens found in tobacco smoke, and they have been reported to lead to genetic mutations through DNA adduct formation (Hecht, 1999).

While all subtypes of lung cancer are associated with tobacco smoking, the strongest association is with SCLC and squamous cell carcinoma. In contrast adenocarcinoma is more common in non-smokers, which refers to those who have never smoked in their lifetime or those who have smoked less than 100 cigarettes in their lifetime (Gabrielson, 2006; Sun *et al.*, 2007). The principal key risk factors in non-smokers include secondhand smoke, radon exposure, environmental exposures, history of lung disease, and genetic factors (Samet *et al.*, 2009).

Familial aggregation of lung cancer has been observed frequently in the past 60 years. This implies a hereditary base to the development of this disease (Sellers & Yang, 2002). For example, Bailey-Wilson and colleagues reported the first association of familial lung cancer to a region on chromosome 6q23-25 in 2004 (Bailey-Wilson *et al.*, 2004). A history of smoking in addition to this inheritance was found to be associated with a three-fold increase risk for lung cancer (Bailey-Wilson *et al.*, 2004). Studies have also demonstrated that carriers of TP53 germline sequence variations who also smoked was found to be three times more likely to develop lung cancer than carriers who do not smoke (Hwang *et al.*, 2003). Furthermore, T790M sequence variation in germline epidermal growth factor (EGF) was reported in a family with multiple cases of NSCLC (Li & Hemminki, 2004). Therefore, it is clear that susceptibility to lung cancer is determined in part by host genetic factors. These findings could thus be utilized for the design of early detection and treatment of lung cancers in patients with inherited cancer predisposition.

2.2.2 Pathogenesis of lung cancer

Development of lung cancer occurs through a multi-step process that involves the acquisition of numerous genetic and epigenetic changes, especially the activation of growth promoting pathways and silencing of tumour suppressor pathways (Fong *et al.*, 1999). Genetic and epigenetic changes would in turn cause dysregulation of molecular signal transduction pathways, which can regulate cell proliferation, differentiation and apoptosis, directly or indirectly (Kitamura *et al.*, 2008). The stimulation of growth promoting oncogenes can arise through gene amplification, point mutations or structural rearrangements that lead to uncontrolled signaling (Fong *et al.*, 1999). Growth promoting protein such as V-Ki-ras2 Kirsten rat sarcoma viral oncogene homolog (KRAS) is found to be frequently activated by point mutations in approximately 20% of lung adenocarcinomas but rarely exhibited in SCLCs (Rodenhuis & Slebos, 1992; Riely *et al.*, 2008; Schmid *et al.*, 2009). Constitutive activation of KRAS may result in inappropriate extended signaling for continued cell division (Richardson & Johnson, 1993).

Mutation in the activation of the epidermal growth factor receptor (*EGFR*) is also observed in NSCLC cells, most frequently in lung adenocarcinoma, although they may also be seen in squamous cell carcinomas (Kosaka *et al.*, 2004; Shigematsu *et al.*, 2005; Ohtsuka *et al.*, 2007). Mutations of *EGFR* in NSCLC normally appear in the first four exons of the tyrosine kinase domain, most frequently exon 19 frame deletions (Tam *et al.*, 2006). *EGFR* plays a role in the regulation of various oncogenic processes including cell proliferation, survival, differentiation, neovascularization, invasion, and metastasis (Yarden & Sliwkowski, 2001), and a mutant *EGFR* has been reported to have enhanced tyrosine kinase activity (Sordella *et al.*, 2004). Other, less common, somatic mutations have also been reported in other *EGFR* pathway genes including v-Erb-B2 avian erythroblastic leukemia viral oncogene homolog 2 (*HER2*) (Shigematsu *et al.*, 2005)

and homolog 4 (*HER4*) (Soung *et al.*, 2006), B-Raf proto-oncogene, serine/threonine kinase (*BRAF*) (Naoki *et al.*, 2002) and phosphatidylinositol-4,5-bisphosphate 3-kinase catalytic subunit alpha (*PIK3CA*) (Samuels *et al.*, 2004; Yamamoto *et al.*, 2008).

Inactivation of tumour suppressor p53 is the most significant genetic abnormality in lung cancer occurring in approximately 90% of SCLC and 50% of NSCLC (Takahashi *et al.*, 1989; Greenblatt *et al.*, 1994; Bennett *et al.*, 1999), usually through missense mutations within the DNA-binding domain (D'Amico *et al.*, 1992). In response to DNA damage, p53 maintains genomic integrity through regulation of downstream genes including cyclin dependent kinase inhibitor 1A (*p21*), mouse double minute 2 homolog (*MDM2*), growth arrest and DNA damage inducible alpha (*GADD45*) and BCL2 associated X, apoptosis regulator (*BAX*), to help regulate the G₁/S cell cycle transition, G₂/M DNA damage checkpoint and apoptosis (Fong *et al.*, 1999). Therefore, a dysregulation of p53 expression in lung cancer will allow for continued survival of genetically damaged cells, thus leading to accumulation of numerous mutations and consequent evolution of cancer cells (Fong *et al.*, 1999).

Other alterations that occurs in lung cancer is the inactivation of tumour suppressor genes, such as, retinoblastoma (*Rb*) (Kaye, 2002; Wikman & Kettunen, 2006), phosphatase and tensin homolog (*PTEN*) (Marsit *et al.*, 2005; Jin *et al.*, 2010) and cyclin-dependent kinase inhibitor 2A, multiple tumor suppressor 1 (*p16/CDKN2A*) (Otterson *et al.*, 1994; Brambilla *et al.*, 1999), as well as, amplification of oncogenes, such as, fibroblast growth factor receptor 1 (*FGFR1*) (Dutt *et al.*, 2011; Tran *et al.*, 2013), discoidin domain receptor 2 (*DDR2*) (Hammerman *et al.*, 2011), retinoblastoma-associated protein 1 (*E2F*) (Eymin *et al.*, 2001; Cooper *et al.*, 2006) and v-Myc avian myelocytomatosis viral oncogene homolog (*c-MYC*) (Johnson *et al.*, 1987; Johnson *et al.*, 1996). Therefore, the identification of genetic alterations in lung cancer that lead to stimulation or inactivation of oncogenes and tumour suppressor genes, respectively, can potentially offer further opportunities in development of therapeutics.

2.2.3 Treatment of lung cancer

When possible, the most reliable and successful option for cure of lung cancer patients is surgical resection (Molina *et al.*, 2008). Lobectomy, in which the entire lobe that contains the tumour is removed, is the most common surgical procedure. Patients who cannot tolerate an extensive procedure normally undergo limited resections, such as, wedge resections, though they are associated with an increased risk of local recurrence. In cases of extensive disease, a total pneumonectomy (removal of an entire lung) may be required (Ginsberg *et al.*, 1983). However, as almost 70% of patients are diagnosed at an advanced or metastatic stage of disease, the most beneficial form of treatment becomes chemotherapy and/or simultaneous administration of radiation and chemotherapy (Pfister *et al.*, 2004). Even with treatment, the 5-year survival rate for lung cancer is very low at 17.7% (Howlader *et al.*, 2017b), with late stage diagnosis and high incidence of drug resistance being the main contributors to low survival rates.

With an increase in comprehension of the biology of cancer, abundant potential therapeutic strategies has been revealed, including the targeting of signal transduction and angiogenesis pathways known to be dysregulated in lung cancer. Targeted therapy drugs are often used in combination with chemotherapy drugs. For example, EGFR is overexpressed in 40-80% of NSCLC patients, and its overexpression is correlated with poor prognosis (Mendelsohn & Baselga, 2003). The first targeted therapy for lung cancer to be registered and approved by the Food and Drug Administration (FDA) was gefitinib, an EGFR inhibitor (Kris *et al.*, 2003). However, findings from two phase 3 randomized trials of gefitinib comparing daily dosage of 250 mg of the drug versus placebo did not show a survival benefit (Thatcher *et al.*, 2005). Another EGFR inhibitor, erlotinib, has been approved by FDA for patients with locally advanced or metastatic NSCLC who have not responded to previous rounds of therapy (Shepherd *et al.*, 2004). The rate of response to erlotinib in comparison with placebo was found to be statistically significant at 8.9% with a average survival rate of 6.7 months (Shepherd *et al.*, 2004). In another trial, bevacizumab, which targets vascular endothelial growth factor (*VEGF*) in combination with chemotherapy, was found to significantly increase survival rates for patients with advanced non-squamous NSCLC and was thus approved by FDA in 2005 (Sandler *et al.*, 2005).

2.3 Apoptosis

Apoptosis is programmed cell death that plays an essential role in normal development and tissue homeostasis through the elimination of damaged, aged or autoimmune cells (Kerr *et al.*, 1972). The process of apoptosis, regulated by intracellular and/or extracellular signals, is characterized by morphological hallmarks, which include chromatin condensation, nuclear fragmentation, cell shrinkage, membrane blebbing, and the formation of apoptotic bodies (Nicholson, 1999; Hengartner, 2000). Proper apoptotic signaling is crucial for maintaining the balance

between cell survival and cell death, thus dysregulation of apoptosis can contribute to human diseases, including cancer (Reed, 1999). Apoptosis evasion is a prominent hallmark of cancer and the mechanism by which this can occur includes disruption of the balance between pro-apoptotic and anti-apoptotic proteins, decreased caspase function, and compromised signaling of death receptors (Hanahan & Weinberg, 2000). Therefore, current cancer therapies, such as, chemotherapy, gamma-irradiation, immunotherapy or targeted gene therapy largely exercises their anti-tumour effect by stimulating apoptosis in cancer cells (Makin & Dive, 2001; Fulda & Debatin, 2004).

2.3.1 Caspase cascade

Apoptosis is primarily executed by a group of enzymes called caspases (cysteiny aspartate-specific proteases), which belong to the cysteine protease family (Thornberry & Lazebnik, 1998; Li & Yuan, 2008). Caspases are sub-classified based on their mechanism of action and are either initiator caspases (caspase 8 and 9) or effector caspases (caspase 3, 6, and 7) (McIlwain *et al.*, 2013). Upon receiving pro-apoptotic signals, initiator caspases, which exists as inactive pro-caspase monomers, are recruited to oligomeric complexes by activating adaptor proteins, which stimulates dimerization (Muzio *et al.*, 1998; Degterev *et al.*, 2003). Dimerization enables the autocatalytic cleavage of caspase monomers into a p20 and p10 heterotetramer, resulting in stabilization of the dimer (Muzio *et al.*, 1998). In turn, the activated initiator caspases will activate effector caspases, to cleave downstream key proteins to induce biochemical and morphological changes specific to apoptotic cells (Degterev *et al.*, 2003; Luthi & Martin, 2007; Pop & Salvesen, 2009). This caspase cascade can be activated by either the intrinsic (or mitochondrial) or the extrinsic (or death receptor) pathways of apoptosis (Figure 2.3). These two pathways will ultimately merge to a common pathway termed the execution phase of apoptosis (Orrenius *et al.*, 2003; Elmore, 2007).

2.3.2 Intrinsic (mitochondrial) pathway

The intrinsic pathway of apoptosis is activated by various stress stimuli including UV radiation, gamma radiation, growth-factor deprivation, DNA damage, and activation of oncogenic factors (Kroemer, 2002; Green & Kroemer, 2004). Multiple intracellular components will recognize these stressors and convey the message to the mitochondria, resulting in mitochondrial outer membrane permeability (MOMP) (Zou *et al.*, 1999; Garrido *et al.*, 2006). Disruption of the outer mitochondrial membrane will result in the diffusion of various proteins normally found in the mitochondrial intermembrane space (Grimson *et al.*, 2007) into the cytosol, such as, cytochrome c, second mitochondria derived activator of caspase (SMAC)/ direct inhibitor of apoptosis protein (IAP)-binding protein with low PI (DIABLO), Omi/High temperature requirement protein A (HTRA2), apoptosis inducing factor (AIF), and endonuclease G (Cande *et al.*, 2004; Saelens *et al.*, 2004; Kroemer *et al.*, 2007). Cytochrome c will form a complex with apoptosis protease-activating factor 1 (APAF-1) and dATP, to result in the dimerization and subsequent activation of pro-caspase 9 (Li *et al.*, 1997; Pop *et al.*, 2006). Caspase 9, an initiator caspase, in turn activates effector caspases that cleave multiple cellular proteins (Singh, 2007). At the same time, SMAC/DIABLO and OMI/HTRA2 enhances the activation of caspases through the alleviation of the inhibitor effects of IAPs (Saelens *et al.*, 2004; Garrido *et al.*, 2006). The central regulators of the mitochondrial pathway are a group of proteins that belong to the BCL-2 family.

Dysregulation of the intrinsic pathway has been reported in lung cancer. For example, decreased expression of Apaf-1 has been detected in NSCLC tumours in comparison to normal lung, whereas expression of pro-caspase 9 and 3 were found to be up-regulated in the absence of apoptosis (Yang *et al.*, 2003; Krepela *et al.*, 2006). There is mounting evidence that tumour cells also express higher levels of IAPs, signifying

that increased expression of IAPs thwarted the high basal caspase activity in tumour cells (Yang *et al.*, 2003).

2.3.2.1 BCL-2 family members

B-cell lymphoma-2 (BCL-2) was the first protein of this family to be identified, and it is encoded by the *BCL-2* gene found in human B-cell lymphomas with the t(14;18) chromosomal translocation (Tsujimoto *et al.*, 1984). This family of proteins is made up of pro-apoptotic and anti-apoptotic members that play a crucial role in the regulation of apoptosis by mediating permeability of the mitochondrial membrane, and they can be divided into three sub-groups based on their function and the presence of shared blocks of sequence homology, termed BCL-2 homology (BH) (Table 2.1) (Danial, 2007; Giam *et al.*, 2008). The first group is the anti-apoptotic multi-domain proteins, which counteract the process of apoptosis by sequestering pro-apoptotic family members (Hata *et al.*, 2015). This group is made up of BCL-2, B cell lymphoma extra large (BCL-XL), induced myeloid leukemia cell differentiation protein (MCL-1), BCL-2-like protein 2 (BCL-W), BCL-2 related protein A1 (BFL-1), and BCL-2-like protein 10 (BCL-B). The second group is the pro-apoptotic BH-3-only proteins, which share only a single block of sequence homology, the BH3 block. Members of this group include BCL-2-interacting mediator of cell death (BIM), BH3-interacting domain death agonist (BID) (Sevilla *et al.*, 2001), and BCL-2-associated agonist of cell death (BAD), amongst others (Hata *et al.*, 2015). Members of the third group are the pro-apoptotic multi-domain proteins, and some examples include BCL-2-associated protein X (BAX) and BCL-2 antagonist/killer (BAK) (Hata *et al.*, 2015).

In response to cellular stress, pro-apoptotic proteins, such as, BAX and BAK are dephosphorylated and cleaved leading to their translocation from the cytoplasm to the mitochondria. Oligomerization of BAX and BAK will be induced and the oligomers will subsequently be inserted into the mitochondrial outer membrane for the release of cytochrome c and activation of caspases to induce cell death (Debatin, 2004; Green & Kroemer, 2004). Furthermore, BH3-proteins, such as, BIM and BID, function by directly or indirectly activating pro-apoptotic BAX and BAK to induce apoptosis (Delbridge & Strasser, 2015). The balance between pro- and anti-apoptotic BCL-2 family members is crucial for cellular apoptotic homeostasis and abnormalities in BCL-2 family proteins have been observed in various human cancers, including lung cancer (Daniel & Smythe, 2004).

Table 2.1: Functional categories of the BCL-2 family of proteins

Anti-apoptotic proteins	Pro-apoptotic proteins	BH-3 only proteins
BCL-2	BAX	BID
BCL-XL	BAK	BIM
MCL-1	BOK/MTC	PUMA
BCL-W		NOXA
BFL-1		BAD
BCL-B		BMF
		HRK
		BIK

(Reproduced from Seminars in Thoracic and Cardiovascular Surgery, Vol. 16, Daniel & Smythe, The Role of Bcl-2 Family Members in Non-Small Cell Lung Cancer, 19-27, 2004, with permission from Elsevier).

2.3.2.2 BCL-2 family members expression in lung cancer

Overexpression of anti-apoptotic BCL-2 and BCL-XL is a prominent mechanism of apoptosis dysregulation in various cancers including acute lymphoblastic leukemia (ALL), acute myeloid leukemia (AML), diffuse large B-cell lymphoma (DLBCL), glioblastoma, melanoma, as well as prostate and lung cancers (Campana *et al.*, 1993; Colombel *et al.*, 1993; Ramsay *et al.*, 1995; Kitagawa *et al.*, 1996; Deininger *et al.*, 1999; Venditti *et al.*, 2004; Abramson & Shipp, 2005; Reed, 2008). Increased expression of BCL-2 is often correlated with poor prognosis, recurrence, and resistance to cancer therapeutics (Granville *et al.*, 1999; Pellecchia & Reed, 2004).

In lung cancer, overexpression of BCL-2 is more frequently overexpressed in SCLC and squamous cell carcinoma than in adenocarcinoma (Pezzella *et al.*, 1993). Furthermore, loss of BCL-2 expression has been reported to be associated with an increase in angiogenesis and cell migration (Koukourakis *et al.*, 1997; Koukourakis *et al.*, 1999), thus suggesting that BCL-2 expression may be required for survival of premalignant cells and some malignant cells, however as the tumour develops metastatic potential it may be less prone to undergo apoptosis, resulting in inhibition of BCL-2 (Kim *et al.*, 1998; Koty *et al.*, 2002).

While BCL-2 expression is less significant in lung adenocarcinoma, the expression of BCL-XL is frequently overexpressed in these tumours (Reeve *et al.*, 1996; Groeger *et al.*, 2004; Sanchez-Ceja *et al.*, 2006). BCL-XL has the ability to neutralize the pro-apoptotic functions of BAX and BAD through prevention of its translocation from the cytosol to the mitochondria. This will prevent initiation of apoptosis by maintaining the stability of the outer mitochondrial membrane, thus preventing release of cytochrome c and ensuing activation of pro-caspase 9 (Gottlieb *et al.*, 2000; Grad *et al.*, 2000). Pro-apoptotic BAD is normally phosphorylated at a number of serine residues allowing it to

be sequestered by the cytosolic scaffold protein 14-3-3. Upon receiving an apoptotic signal, BAD will be dephosphorylated and bind to BCL-XL leading to inactivation of BCL-XL's pro-survival function (Zha *et al.*, 1996). However, when BCL-XL is present in large quantities it will have a greater affinity for BAD than 14-3-3, thus sequestering BAD to the mitochondria, leaving excess uncomplexed BCL-XL to perform its pro-survival functions (Cheng *et al.*, 2001; Jeong *et al.*, 2004).

2.3.3 Extrinsic (death receptor) pathway

The extrinsic pathway of apoptosis is initiated at the plasma membrane upon stimulation of the death receptors, which include the tumour necrosis factor (TNF) receptor 1 (TNFR1), FAS (APO-1, CD95), TNF-related apoptosis-inducing ligand (TRAIL) receptor 1 (TRAILR1, DR4), and TRAIL receptor 2 (TRAIL-R2, DR5) (Hengartner, 2001; Jin & El-Deiry, 2005; Guicciardi & Gores, 2009). Upon receiving extracellular cues, the cytoplasmic domains of the death receptors recruits death domain-containing adaptor proteins, such as, TNF receptor-associated death domain (TRADD) and Fas-associated death domain (FADD), which then interacts with pro-caspase 8 via the death effector domain (DED), resulting in the formation of a complex known as the death inducing signaling complex (DISC) (Kischkel *et al.*, 1995). The formation of DISC in turn initiates the assembly and activation of initiator caspase 8, which can transmit the apoptosis signal through direct cleavage and activation of downstream effector caspases, such as, caspase 3, 6 and 7 (Figure 2.3) (Scaffidi *et al.*, 1998; Fulda, 2009). Alternatively, activated caspase 8 can induce mitochondrial damage through the cleavage of the BH3-only protein BID to generate truncated BID (tBID) (Li *et al.*, 1998; Garrido *et al.*, 2006).

Studies have shown that TRAIL receptors, TRAIL-R1 and -R2, are located on chromosome 8p, a region of frequent loss of heterozygosity (LOH) in tumours, including lung carcinomas (LeBlanc & Ashkenazi, 2003). Somatic mutations of TRAIL-R2 can be found in approximately 10% of NSCLC patients, with the mutations reported to be located in the death domain region (Lee *et al.*, 1999). However, whether these mutations influence patient response to therapy or overall survival remains to be examined. Furthermore, loss of pro-caspase 8, FAS-L, FAS-R and TRAIL-R have all been reported in SCLC (Joseph *et al.*, 1999; Hopkins-Donaldson *et al.*, 2003), and expression of decoy receptor 3 (DCR3), which competitively binds Fas ligand (FASL) to interfere with FAS-induced apoptosis has been found to be genetically overexpressed in lung carcinoma (Pitti *et al.*, 1998; Shen *et al.*, 2005).

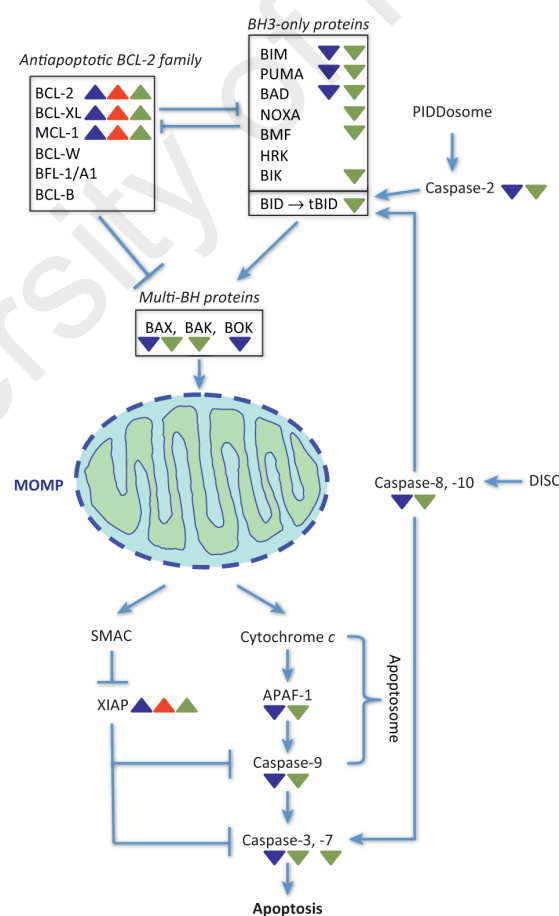


Figure 2.3: Extrinsic and intrinsic pathways of apoptosis (Reproduced from Trends in Cell Biology, Vol. 23, Fernald & Kurokawa, Evading Apoptosis in Cancer, 620-633, 2013, with permission from Elsevier).

2.3.4 Transforming growth factor, beta (TGF- β) signaling pathway

The TGF- β signaling pathway controls a critical network of signals that regulate cellular growth, differentiation, migration, adhesion, and cell death (Massagué, 1998). Signaling is initiated when the TGF- β ligand binds to the cell surface type II serine/threonine kinase receptor, also known as the transforming growth factor, beta-receptor II (TGF- β RII). This will be followed by an interaction and phosphorylation of a glycine/serine rich domain within transforming growth factor, beta-receptor I (TGF- β RI) to form an activated multimeric ligand-receptor complex (Massagué, 1998; Shi & Massagué, 2003). The activated TGF- β RI in turn propagates the signal by phosphorylating receptor-regulated Smad proteins (R-Smads) that consist of SMAD2 and SMAD3 proteins. R-Smads will undergo homotrimerization and form heteromeric complexes with common-mediator Smad (Co-Smad), SMAD4 (Massagué, 1998). This complex will be translocated into the nucleus and in cooperation with other transcription factors, regulate the transcription of target genes (Shi & Massagué, 2003; Gatza *et al.*, 2010).

TGF- β regulates the transcription of cell cycle regulators, thus functioning as a tumour suppressor through inhibition of cell cycle (Alexandrow & Moses, 1995). Cell cycle arrest is mediated by TGF- β through up-regulation of cyclin dependent kinase inhibitor proteins (CDKI), p15 and p21 (Hu *et al.*, 1999; Feng *et al.*, 2000). The p15 protein binds to CDK4 and CDK6 subunits to inactivate their catalytic activity and subsequently inhibits the cyclin D-CDK4/6 complex formation, whereas p21 blocks both cyclin D-CDK4/6 and cyclin E/CDK2 formation during the G₁-S phase of cell cycle (Hannon & Beach, 1994; Sherr & Roberts, 1999), thus inhibiting cell cycle progression. In addition to activating cell cycle inhibitors, TGF- β also suppresses mitogenic factors that stimulate cell growth, such as, v-MYC avian myelocytomatosis viral oncogene homolog (c-MYC) (Warner *et al.*, 1999; Feng *et al.*, 2002).

As depicted in Figure 2.4, TGF- β also has the ability to mediate the induction of apoptosis; however activation of apoptosis by TGF- β is variable depending on the cell and tissue type (Schuster & Krieglstein, 2002). For example, in human gastric SNU-620 cell line, TGF- β induces apoptosis via the Fas death receptor pathway and can subsequently activate caspase 8 via Bid cleavage (Kim *et al.*, 2004). Smad induced factors, such as, TGF- β early-response gene (TIEG1) and the death associated protein kinase (DAPK) have also been reported to be related to TGF- β mediated apoptosis (Tachibana *et al.*, 1997; Jang *et al.*, 2002). Expression of TIEG1 and DAPK are increased in response to TGF- β treatment, with TIEG1 inducing cell cycle arrest and enhancing TGF- β mediated apoptosis (Bender *et al.*, 2004). On the other hand, DAPK is directly activated by TGF- β via the SMAD2, SMAD3, and SMAD4 transcription factors, and in turn sensitizes cells to TGF- β dependent apoptosis (Jang *et al.*, 2002).

Alterations in TGF- β signaling have been associated with numerous human diseases, including cancer (Massague & Wotton, 2000; Jeon & Jen, 2010). TGF- β ligands along with downstream elements, including the receptors and SMAD proteins, are all essential in suppressing primary tumorigenesis in many tissues types (Markowitz & Roberts, 1996). However, many cancers have also been reported to use the TGF- β pathway to their advantage. As the tumour progresses, mutations are amassed and disturbs the suppressive effects of TGF- β (Miyazono *et al.*, 2003). For example, a marked increase in TGF- β ligand is frequently observed in lung cancer and is associated with advanced stages of malignancy and metastasis and with decreased survival (Jakowlew, 2008). Therefore, depending upon the context of the tumour microenvironment, TGF- β can function as either a tumour suppressor or a tumour promoter.

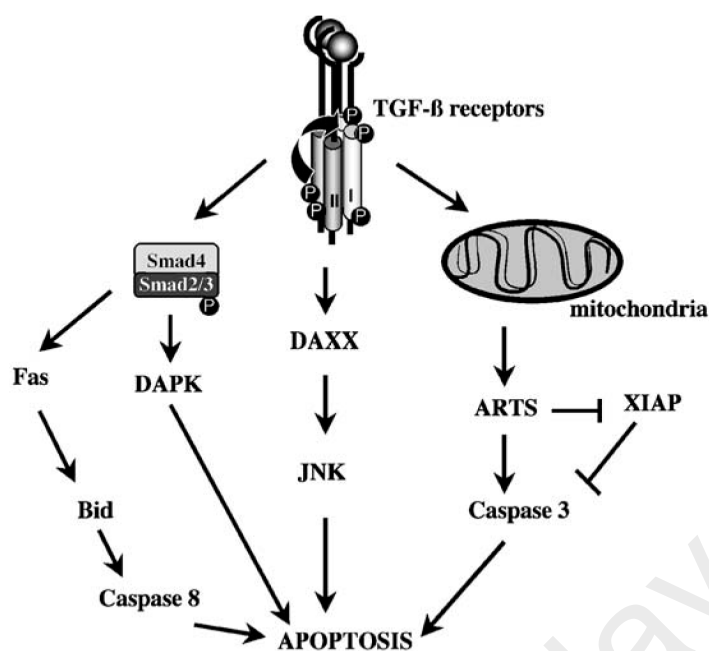


Figure 2.4: TGF- β induced apoptotic pathway (Reproduced from Cytokines in the Genesis and Treatment of Cancer, Bonine-Summers *et al.*, Transforming Growth Factor- β and Cancer, 91-111, 2007, with permission from Humana Press).

2.3.5 Phosphatidylinositol 3-Kinase (PI3K)/Protein kinase B (AKT) signaling pathway

The PI3K/AKT signaling pathway affects a vast assortment of intracellular events that can directly or indirectly influence cell apoptosis (Duronio, 2008). PI3K phosphorylates phosphatidylinositol-3,4-bisphosphate (PtdIns(3,4)P₂) (PIP₂) to generate PIP₃ (Vivanco & Sawyers, 2002). The PIP₃ ligands will in turn mediate their cellular effects through the recruitment of pleckstrin homology (PH) containing proteins to the inner surface of the cell membrane (Lemmon & Ferguson, 2000). One of the key kinases activated downstream of PI3K at the plasma membrane is 3-phosphoinositide-dependent protein kinase-1 (PDK1) (Alessi *et al.*, 1997).

A central event for PI3K-dependent cell survival is the phosphorylation of a serine/threonine kinase, AKT, the cellular homologue of the retroviral oncogene v-Akt, also known as protein kinase B (PKB), at the threonine-308 (Thr308) region by PDK-1 in the presence of PIP₃ (Vivanco & Sawyers, 2002; Mora *et al.*, 2004). AKT will relocate from the cytoplasm to signaling complexes located at the plasma membrane.

Signaling of AKT plays an important role in processes critical to tumourigenesis, including inhibition of apoptosis, aberrant cell proliferation, promotion of angiogenesis, and tumour cell invasiveness (summarized in Figure 2.5) (Testa & Bellacosa, 2001).

Activation of AKT plays a crucial role in cell survival by inhibiting apoptotic pathways. For example, AKT phosphorylates BAD, a pro-apoptotic member of the BCL-2 family of proteins, at serine-136 (Ser136) leading to inactivation of BAD activity by promoting its association with cytosolic 14-3-3 proteins (del Peso *et al.*, 1997; Blume-Jensen *et al.*, 1998). This localizes BAD to the cytosol and inhibits its interaction with anti-apoptotic BCL-2 and BCL-XL, thus deactivating its pro-apoptotic activity (Zha *et al.*, 1996). Another member of the BCL-2 family protein regulated by AKT is the pro-apoptotic BAX, which is a key regulator of mitochondrial permeability leading to apoptosis. AKT phosphorylates BAX at serine-184 (Ser184), suppressing BAX-mediated cell death (Gardai *et al.*, 2004).

Another set of substrates targeted by AKT is the forkhead transcription factors (FKHR) (Brunet *et al.*, 1999; Tang *et al.*, 1999). Phosphorylation by AKT will lead to binding of FKHR to 14-3-3 proteins, leading to sequestration and degradation in the cytoplasm (Brunet *et al.*, 1999). Thus in the event of AKT loss, FKHR will be dephosphorylated and translocated to the nucleus where they can induce an increase in numerous regulators of cell death, including the FAS death receptor ligand, as well as the pro-apoptotic BIM, and the cell cycle inhibitor p27^{Kip1} (Biggs *et al.*, 1999; Guo *et al.*, 1999; Kops *et al.*, 1999; Nakae *et al.*, 1999; Rena *et al.*, 1999; Tang *et al.*, 1999).

NFκB (nuclear factor of light polypeptide gene enhancer in B cells), an important regulator of numerous anti-apoptotic and pro-apoptotic genes, is indirectly affected by AKT signaling (Vivanco & Sawyers, 2002). AKT regulates NFκB through the activation of IKK (IκB kinase) α, which together with IKKβ phosphorylates inhibitor of NFκB (IκB), leading to its ubiquitination and degradation (Ozes *et al.*, 1999; Romashkova & Makarov, 1999; Karin & Ben-Neriah, 2000). Degradation of IκB will allow for the release of NFκB from the cytoplasm into the nucleus, and regulation of its target genes, which includes BCL-2, BCL-XL, and the inhibitor of caspase 8, FLIP (FLICE (FADD (Fas-associated death domain)-like IL-1β-converting enzyme)-inhibitory protein) (Chen *et al.*, 2000; Kreuz *et al.*, 2001; Sevilla *et al.*, 2001).

Another pathway influenced by PI3K/AKT signaling is regulation of the tumour suppressor P53 (Vivanco & Sawyers, 2002; Duronio, 2008). p53 regulates apoptosis through various mechanisms including the up-regulation of pro-apoptotic proteins, such as, BAX and PUMA (Wu & Deng, 2002; Roos & Kaina, 2006). Negative regulation of p53 occurs via a molecule called murine double minute 2 (MDM2), which can move into the nucleus to promote the ubiquitination and consequent degradation of p53. Reports have shown that AKT can mediate the phosphorylation of MDM2 at serine-166 (Ser166) and serine-186 (Ser186), which in turn allows the translocation of MDM2 from the cytoplasm into the nucleus (Mayo & Donner, 2001).

AKT plays a role in various components of the cell-death process, and studies have shown that aberrant expression of AKT can significantly influence human malignancy (Testa & Bellacosa, 2001). Expression of AKT has been demonstrated to be up-regulated in various tumour types including ovarian, breast, prostate, pancreatic, gastric, and lung cancer (Staal, 1987; Bellacosa *et al.*, 1995; Edlind & Hsieh, 2014; Baer *et al.*, 2015). In lung cancer, amplification of AKT has been associated with resistance to

chemotherapy, radiation, and tyrosine kinase inhibitors (Brognard *et al.*, 2001; Hill & Hemmings, 2002; Janmaat *et al.*, 2003).

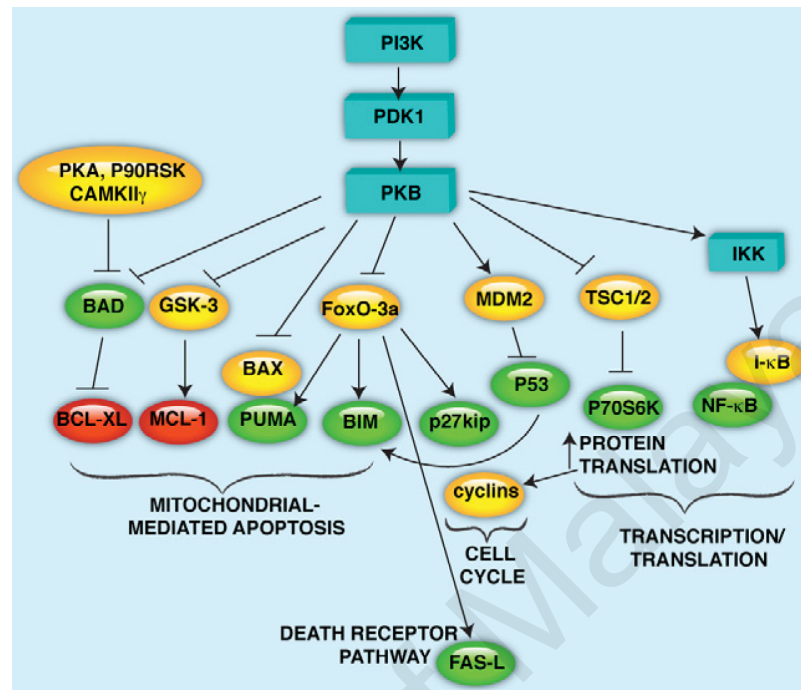


Figure 2.5: Target substrates of PI3K/AKT whose pro-apoptotic activities are suppressed by phosphorylation (Reproduced from Biochemical Journal, Vol. 415, Duronio, The Life of a Cell: Apoptosis Regulation by the PI3K/PKB Pathway, 333-344, 2008, with permission from Portland Press).

2.3.6 Mitogen-activated protein kinase (MAPK) signaling pathway

Mitogen-activated protein kinases (MAPKs) are serine-threonine kinases that control numerous cellular activities including proliferation, differentiation, apoptosis, survival, inflammation, transformation, and innate immunity (Platanias, 2003; Torii *et al.*, 2006; Turjanski *et al.*, 2007; Arthur & Ley, 2013; Peti & Page, 2013). This signaling cascade is activated by various extracellular and intracellular stimuli, such as, peptide growth factors, cytokines, hormones, and cellular stresses including oxidative stress and endoplasmic reticulum stress (Kim & Choi, 2010). The MAPK family is made up of three subfamilies: the extracellular signal-regulated kinase (ERK; ERK1 and ERK2), c-Jun N-terminal kinase (JNK; JNK1, JNK2, and JNK3), and the p38-MAP kinase (α , β , δ and γ) (Figure 2.6) (Schaeffer & Weber, 1999; Raman *et al.*, 2007). Each MAPK signaling cascade consists of at least three components: a MAPK kinase kinase

(MAP3K), a MAPK kinase (MAP2K), and a MAPK (Chang & Karin, 2001; Plotnikov *et al.*, 2011). Within each tier of the cascade, one or more kinase component will phosphorylate and activate components in the next tier, eventually leading to the phosphorylation of target regulatory molecules by the activated MAPK (Figure 2.6) (Raman *et al.*, 2007).

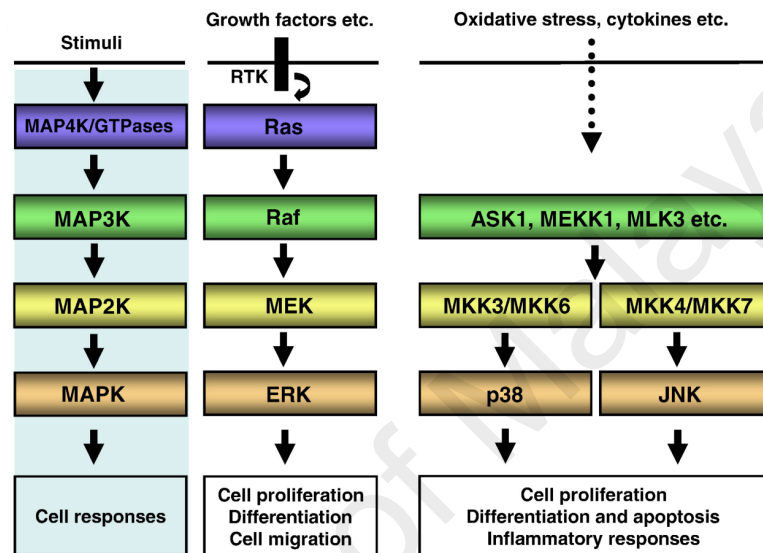


Figure 2.6: Mitogen-activated protein kinase (MAPK) signaling pathways (Reproduced from *Biochimica et Biophysica Acta*, Vol. 1802, Kim & Choi, *Pathological Roles of MAPK Signaling Pathways in Human Diseases*, 396-405, 2010, with permission from Elsevier).

2.3.6.1 ERK1/2 cascade

The best characterized MAPK pathway is the ERK cascade, and there are two ERK isoforms that are ubiquitously expressed, ERK1 (p42) and ERK2 (p33), that are 83% identical (Boulton *et al.*, 1991). The ERK cascade is initially activated by mitogenic stimuli, such as, epidermal growth factor (EGF) or platelet-derived growth factor (PDGF). Binding of the growth factors to its cell surface receptor tyrosine kinase, will induce dimerization and autophosphorylation of the receptor (Mercer & D'Armiento, 2006). This in turn will activate guanine nucleotide exchange factors (GEFs) such as Son of Sevenless (SOS), which facilitates the activation of GTPase Ras (Dhillon *et al.*, 2007; Plotnikov *et al.*, 2011). Ras-GTP will activate the MAP3K tier of the cascade, the

Raf isoforms (RAF-1, A-RAF, B-RAF), which mediates phosphorylation of the dual-specificity MAP kinase-1 and -2 (MEKs), MEK1/2 (Malumbres & Barbacid, 2003; Mercer & D'Armiento, 2006). MEK1/2 will in turn phosphorylate ERK1/2 allowing the activated ERK to execute its function through a large number of downstream molecules.

Activation of ERK1/2 can promote cell survival through the inhibition of apoptosis at stages upstream, downstream or unrelated to changes in the mitochondrial membrane potential and release of cytochrome c (Erhardt *et al.*, 1999; Qiao *et al.*, 2001). For example, ERK1/2 can inhibit the activation of caspase 8, cleavage of Bid and loss of mitochondrial membrane potential through the stimulation of FAS/CD95, TNF, and TRAIL receptors (Holmstrom *et al.*, 2000; Tran *et al.*, 2001; Shonai *et al.*, 2002). ERK1/2 can also stimulate cell survival by boosting the activation of anti-apoptotic molecules, such as, MCL-1, an anti-apoptotic member of the BCL-2 family, which is phosphorylated by ERK1/2 at threonine-163 (Thr163) (Domina *et al.*, 2004). Furthermore, IEX-1 (immediately early gene X-1) can be phosphorylated at threonine-18 (Thr18) by ERK1/2 and prevents cell death by inhibiting the release of cytochrome c from the mitochondria (Garcia *et al.*, 2002). Reports have also concluded that caspase 9 can be regulated by ERK1/2 by phosphorylation at threonine-125 (Thr125) to inhibit caspase 9 processing and subsequent activation of caspase 3 (Allan *et al.*, 2003). ERK1/2 is also able to phosphorylate and activate various transcription factors including TCF-member ELK-1, c-FOS (Fos proto-oncogene, AP-1 transcription factor subunit), p53, ETS1/2 (avian erythroblastosis virus E26 (v-ETS) oncogene homolog) and c-JUN (JUN proto-oncogene, AP-1 transcription factor subunit), all of which are important for the initiation and regulation of cell proliferation and oncogenic transformation (Gille *et al.*, 1992; Milne *et al.*, 1994; Yang *et al.*, 1996; Murphy *et al.*, 2002; Morton *et al.*, 2003).

Studies have also reported an association between the dysregulation of the ERK pathway and tumourigenesis. The incidence of cancer has been positively correlated with an increased activation of RAS, increased ERK1/2 activity, or binding of DNA by ERK1/2 transcription factor targets in both *in vitro* and *in vivo* studies (Sebolt-Leopold *et al.*, 1999; Vicent *et al.*, 2004; Han *et al.*, 2005; Mercer & D'Armiento, 2006).

2.3.6.2 JNK/SAPK cascade

The JNK-family kinases, also known as stress-activated protein kinases (SAPK), is initiated by numerous stimuli, including growth factors, cytokines, and cellular stresses, such as, genotoxic, osmotic, hypoxic, or oxidative stress (Hibi *et al.*, 1993; Cano *et al.*, 1994; Westwick *et al.*, 1994). The activated stimuli will transmit their signal to GTPases, such as, cell division cycle 42 (CDC42) and Ras-related C3 botulinum toxin substrate 1 (RAC1) which induces the activation of the MAP3K level kinases (Plotnikov *et al.*, 2011), which includes members of the mitogen-activated protein kinase kinase kinase 1, E3 ubiquitin protein ligase group (MEKK1-4), apoptosis signal-regulating kinase group (ASK1 and ASK2), mixed lineage kinase (MLK1, MLK2, MLK3, DLK, and LZK), and transforming growth factor- β activated kinase 1 (TAK1) (Davis, 2000). The signal will then be transmitted further by phosphorylation of the kinases at the MAPKK level, MEK4 (MKK4) and MEK7 (MKK7). MKK4 and MKK7 will in turn activate the components at the MAPK level, JNK (JNK1-3) via dual phosphorylation of the Thr-Pro-Tyr motif (Davis, 2000; Fleming *et al.*, 2000).

Activated JNK can control various transcription factors, such as, c-JUN, c-FOS, ATF-2 (activating transcription factor 2), p53, ELK, and NFAT (nuclear factor of activated T cells) (Johnson & Nakamura, 2007; Raman *et al.*, 2007). These phosphorylated targets would in turn regulate transcription of many genes thus mediating numerous cellular processes including apoptosis, immunological effects, neuronal activity, and insulin signaling (Dhanasekaran & Reddy, 2008; Haeusgen *et al.*, 2009; Rincon & Davis, 2009). In regards to apoptosis, JNK can phosphorylate and inhibit the activity of cytoplasmic substrates including the anti-apoptotic proteins BCL-2 and BCL-XL, to produce a change in mitochondrial membrane potential and subsequent release of cytochrome c and activation of caspase 3 (Fan *et al.*, 2000; Basu & Haldar, 2003). Furthermore, the phosphorylation of c-JUN and ATF-2 resulted in activation of activator protein 1 (AP-1) and increased expression of pro-apoptotic genes, *FasL* and *Fas*, to initiate activation of caspase 8 (Fan & Chambers, 2001; Tang *et al.*, 2012).

2.3.6.3 p38 cascade

Similar to the JNK pathway, the p38 cascade is also induced by stress related stimuli (heat shock, changes in osmolarity, ultraviolet, oxygen radicals and hypoxic state) and various growth factors including granulocyte macrophage-colony stimulating factor (GM-CSF), colony stimulating factor 1 (CSF1), erythropoietin (EPO), insulin-like growth factor (IGF), VEGF, and PDGF (Foltz *et al.*, 1997; Pyne & Pyne, 1997; Rousseau *et al.*, 1997; Cheng & Feldman, 1998). Activated receptors will transmit the signals via adaptor proteins, small GTPases, MAP4Ks and MAP3Ks, similar to those functioning in the JNK cascade, such as, ASK and TAK1 (Plotnikov *et al.*, 2011). Following that, MAP3Ks will phosphorylate and activate the MAP2K components, which are made up of the MKK3 and MKK6 (Brancho *et al.*, 2003). Activated MKK3 and MKK6 will in turn activate the four isoforms at the MAPK tier of the cascade,

p38 α , p38 β , p38 δ , and p38 γ . Upon activation, p38 proteins will translocate from the cytosol to the nucleus to mediate cellular responses via downstream transcription factors (Plotnikov *et al.*, 2011).

The p38 cascade plays a critical role in the control of immunological effects, cell cycle checkpoint, and apoptosis (Huang *et al.*, 2009; Thornton & Rincon, 2009). Therefore, a dysregulation of the p38 has been implicated in tumourigenesis. Studies have shown that p38 functions as a tumour suppressor through the down-regulation of Ras-dependent and independent transformation, invasion and also by inducing apoptosis (Plotnikov *et al.*, 2011). For example, activation of p38 leads to rapid dephosphorylation of MEK1/2 and subsequent apoptosis (Li *et al.*, 2003). Furthermore, p38 can mediate TNF-induced BCL-XL phosphorylation, however the exact mechanism by which this occurs is still unknown (Grethe *et al.*, 2004). p38 has also been implicated indirectly through increasing or decreasing BAD phosphorylation at serine-112 (Ser112) (She *et al.*, 2002; Grethe & Porn-Ares, 2006).

2.3.7 Wingless-type MMTV integration site family (WNT) signaling pathway

WNT signaling plays a crucial role in development as it acts as a regulator of embryonic cell patterning, proliferation, differentiation, cell survival, and apoptosis (Pećina-Šlaus, 2010). WNT signaling can be classified as canonical and non-canonical, whereby the canonical pathway is responsible for prevention of β -catenin degradation (Widelitz, 2005). When WNT signaling is absent, β -catenin is linked with a cytoplasmic complex made up of the glycogen synthase kinase 3-beta (GSK3- β), adenomatous polyposis coli protein (APC), and axin (Su *et al.*, 1993; Sakanaka *et al.*, 1998). GSK3- β phosphorylates β -catenin at the NH₂-terminal region and induces its degradation through the ubiquitin-proteasome pathway (Kitagawa *et al.*, 1999; Winston *et al.*, 1999).

As depicted in Figure 2.7, when WNT signaling is activated, WNT ligands bind to receptors of the Frizzled (FZD) family on the cell surface. In turn, FZD receptors activates the intracellular protein, Disheveled, to inhibit the multiprotein GSK3- β /APC/AXIN complex thus allowing β -catenin to escape from phosphorylation and accumulate in the cytoplasm (Barth *et al.*, 1997; Rubinfeld *et al.*, 1997). β -catenin can then translocate to the nucleus to form a complex with members of the T-cell factor/lymphocyte enhancer factor (TCF/LEF) family of transcription factors to activate various target genes including *c-MYC*, *CCND1*, *BIRC5*, and *MMP* (Roose & Clevers, 1999; Tapia *et al.*, 2006). Therefore, a constitutively activated WNT pathway can lead to cancer.

Reports have demonstrated that the WNT signaling pathway can regulate apoptosis through a variety of mechanisms. The tumour suppressor protein, APC, can play a role in regulation of apoptosis depending on whether it is present as a full length (wild type) or truncated (mutant) protein. Expression of the wild type APC induces apoptosis, whereas overexpression of the mutant truncated protein maintains an anti-apoptotic mode of action (Brocardo & Henderson, 2008). The mutated truncated APC protein is frequently found in cancers and it has been reported that mutant APC can bind to Bcl-2 proteins to increase their levels in the mitochondria, resulting in increased cell survival (Brocardo & Henderson, 2008). However, the exact mechanism by which this occurs is still unclear.

Another well-researched WNT protein, WNT1, has also been associated with the control of apoptosis. WNT1 inhibits apoptosis through the prevention of cytochrome c release from the mitochondria and inhibition of the subsequent activation of caspase 9. WNT1 is frequently overexpressed in NSCLC, and its overexpression results in increased resistance to therapies that mediate apoptosis (Chen *et al.*, 2001; Li *et al.*,

2006). Other WNT-pathway components including frizzled-8 (FZD8), disheveled (DSH), porcupine (PORC), and transcription factor 4 (TCF-4) are also frequently overexpressed in NSCLC, and is associated with poor prognosis (Xu *et al.*, 2007; Wei *et al.*, 2008; Bartling *et al.*, 2010; Bravo *et al.*, 2013). Furthermore, down-regulation of WNT inhibitors, such as axin, WNT inhibitory factor 1 (WIF-1), dickkopf WNT signaling pathway inhibitor 1 (DKK-1), runt related transcription factor 3 (RUNX3), and caudal type homeobox 2 (CDX2), amongst others, is also common in NSCLC cell lines and may be associated with high stage, dedifferentiation, and poor prognosis (Licchesi *et al.*, 2008; Liu *et al.*, 2012; Na *et al.*, 2012).

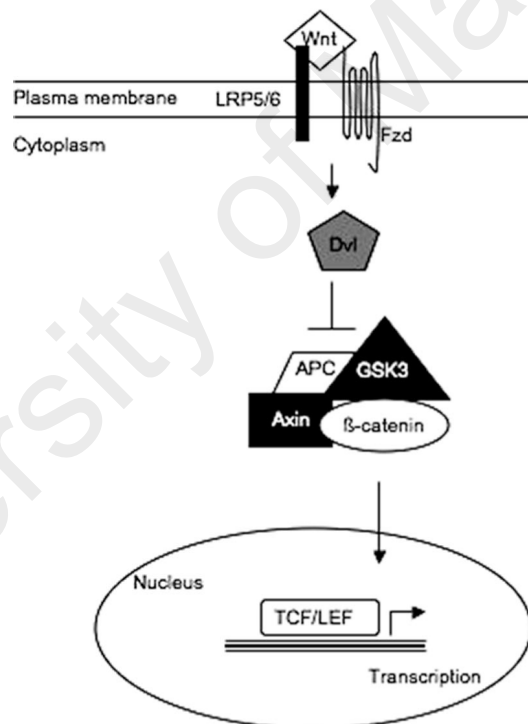


Figure 2.7: Canonical WNT/β-catenin signaling pathway (Reproduced from Journal of Thoracic Oncology, Vol. 2, Tennis *et al.*, Role of the WNT Signaling Pathway and Lung Cancer, 889-892, 2001, with permission from Elsevier).

2.4 MicroRNA (miRNA)

MiRNAs were first discovered in the *Caenorhabditis elegans* (*C. elegans*) worm by Ambros and colleagues in 1993 (Lee *et al.*, 1993). They discovered that a 22-nucleotide transcript of *lin-4*, a repressor of *lin-14* that controls the timing of *C. elegans* larval development, did not code for a protein. Instead it was observed that *lin-4* produces two small RNAs of about 22 and 62 nucleotides in length. The authors determined that the shorter *lin-4* RNA had anti-sense complementarity to multiple sites in the 3'-untranslated region (3'UTR) of *lin-14* which led the authors to hypothesize that *lin-4* inhibits the translation of *lin-14* through interaction with these 3'UTR elements (Lee *et al.*, 1993; Wightman *et al.*, 1993). Seven years later, in 2000, the evolutionarily conserved *let-7* miRNA of *C. elegans* was determined to also regulate the expression of downstream genes through partial complementarity between the miRNA and its target 3'UTR (Reinhart *et al.*, 2000). These discoveries led to the characterization of numerous large-scale cDNA libraries enriched for small miRNAs, which in turn led to the identification of other miRNAs from plants, *C. elegans*, *Drosophila*, and mammals (Lagos-Quintana *et al.*, 2001).

MiRNAs are a subset of non-coding RNAs of about 19 to 23 nucleotides long which regulate gene expression post-transcriptionally through the inhibition of mRNA translation, via direct binding to specific target sites in their 3'UTR regions, or inducement of target mRNA degradation through cleavage (Bartel, 2004). MiRNAs target approximately 60% of human protein-coding genes (Friedman *et al.*, 2009). These regulatory elements play a role in a wide range of biological processes including cell proliferation (Hayashita *et al.*, 2005), differentiation (Shivdasani, 2006), and apoptosis (Mott *et al.*, 2007).

2.4.1 MiRNA biogenesis

MiRNA genes can be found embedded within intergenic and intragenic (in introns and exons) regions of both protein-coding and non-coding transcripts, and are therefore co-transcribed with the gene in which they reside (Rodriguez *et al.*, 2004). As demonstrated in Figure 2.8, miRNAs are initially transcribed by RNA polymerase II to form a primary miRNA (pri-miRNA) that can measure up to several thousands of nucleotides and contain stem-loop structures with single stranded RNA extensions at both ends. Pri-miRNA also contains a 5' 7-methylguanosine cap structures and are polyadenylated at their 3' end (Lee *et al.*, 2003; Cai *et al.*, 2004; Borchert *et al.*, 2006). The hairpin structures are recognized and the maturation process will begin with the nuclear cleavage of pri-miRNA by a 650 kDa microprocessor complex made up of RNase III endonuclease Drosha and the double-stranded RNA-binding protein DiGeorge syndrome critical region 8 (DGCR8) (Lee *et al.*, 2003; Han *et al.*, 2004).

The resulting precursor miRNA (pre-miRNA), a ~65 nucleotide RNA hairpin intermediate with two nucleotide 3' overhang, will be bound by the nuclear export factor Exportin 5 and transported from the nucleus to the cytoplasm (Lee *et al.*, 2002; Lund *et al.*, 2004). In the cytoplasm, the terminal loop of the pre-miRNA will be cleaved by a second RNase endonuclease Dicer, with its dsRNA binding partner, the human immunodeficiency virus transactivating response RNA-binding protein (TRBP), to generate a double stranded RNA duplex that is made up of both the mature miRNA strand and its complementary strand (Chendrimada *et al.*, 2005; Haase *et al.*, 2005). TRBP will then recruit the argonaute protein (AGO2), to form the RNA-induced silencing complex (RISC) (Chendrimada *et al.*, 2005). The strand of the duplex that has the lowest thermodynamic stability at its 5' end is selected as the guide strand, which then functions to direct RISC to the 3'UTR of target mRNA based on sequence

complementarity. The passenger strand of the duplex will be degraded and removed from the RISC complex (Schwarz *et al.*, 2003; Matranga *et al.*, 2005).

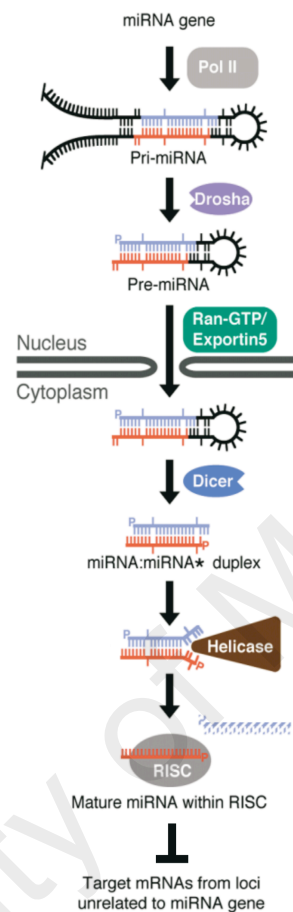


Figure 2.8: Biogenesis of miRNAs (Reproduced from Cell, Vol. 116, Bartel, MicroRNAs: Genomics, Biogenesis, Mechanism, and Function, 281-297, 2004, with permission from Elsevier).

2.4.2 MiRNA mode of action

Mature miRNA incorporated in the RISC will target mRNA based upon Watson-Crick base-pairing between the 3'UTR of the target and the seed region (residues 2-8 at the 5' end) of the miRNA guide strand (Lee *et al.*, 1993; Lewis *et al.*, 2003; Brennecke *et al.*, 2005). Therefore, a single miRNA is able to control the expression of hundreds of mRNA targets, and each mRNA may be regulated by multiple miRNAs.

The gene silencing mechanism that is employed depends upon the degree and nature of complementary sites between the target and guide miRNA (Bartel, 2004). In the case of multiple complementary sites with partial base-pairing in each site, translational inhibition of the mRNA will occur, whereas AGO2 endonuclease cleavage of target mRNA will occur in situations of perfect base-pairing (Bartel, 2004; Yekta *et al.*, 2004).

The two silencing mechanisms; mRNA cleavage and mRNA translational repression can be defined as slicer-dependent and slicer-independent silencing, respectively, with slicer activity referring to endonuclease cleavage of mRNA by AGO2 (Sheth & Parker, 2003; Meister *et al.*, 2004; Brengues *et al.*, 2005). In the slicer-dependent silencing mechanism, mRNA can be degraded by one of two processes, both beginning with the removal of the poly (A) tail through deadenylation of the mRNA (Parker & Song, 2004; Valencia-Sanchez *et al.*, 2006). Degradation can then occur via exosome or decapping by the enzymes DCP1 and DCP2, which performs 5'-to-3' degradation through the exoribonuclease XRN1P (Coller & Parker, 2004; Valencia-Sanchez *et al.*, 2006). For slicer-independent silencing mechanism, the multiple complementary sites of partial base-pairing induces the creation of bulges in the RNA duplex, thus inhibiting the slicer activity of AGO2 (Valencia-Sanchez *et al.*, 2006). Furthermore, mRNA targeted by miRNA can be relocated and separated into P-bodies, which are small cytoplasmic protein spheroid domains, for temporary storage and/or decay sites (Brengues *et al.*, 2005; Liu *et al.*, 2005a; Liu *et al.*, 2005b). The reduction in the amount of target mRNA is the principal result of this molecular event.

2.4.3 MiRNA and lung cancer

As miRNAs play a vital role in a variety of biological processes including differentiation, cell proliferation, development, and apoptosis, a disturbed miRNA function or altered miRNA expression may disorganize cellular processes and eventually cause or contribute to disease, including cancer (Wiemer, 2007). Studies have reported that miRNA genes reside in genomic regions that are involved in cancers, including minimal regions of loss of heterozygosity (LOH), minimal regions of amplification, or breakpoint cluster regions (Calin *et al.*, 2004b). MiRNAs can be either up-regulated or down-regulated in human cancers, with those whose expressions are increased are considered oncogenic miRNAs, or oncomiRs, while miRNAs that are down-regulated are considered tumour suppressor genes (Zhang *et al.*, 2007). Overexpression of miRNAs may result from deregulation of transcription factors, amplification or demethylation of CpG islands in the promoter regions of the gene (Croce, 2008). On the other hand, down-regulation of miRNAs may be due to deletions, epigenetic silencing, or loss of transcription factor expression (Ruan *et al.*, 2009).

In lung cancer, there are a number of miRNAs implicated in the development and progression of this disease (Table 2.2). For example, miR-21 is an oncomiR up-regulated in lung cancer that functions to inhibit the expression of phosphatase and tensin homolog (PTEN), programmed cell death protein 4 (PDCD4) and tropomyosin 1 (TPM1) to promote cell proliferation, migration, and inhibition of apoptosis (Zhu *et al.*, 2008; Zhang *et al.*, 2010b; Bhatti *et al.*, 2011). The miR-17-92 polycistronic cluster (miR-17-3p, miR-17-5p, miR-18a, miR-19a, miR-20a, miR-19b, miR-92-1) is also overexpressed in lung cancer, particularly in SCLC, and functions as oncomiRs to promote cancer progression and cell proliferation (Hayashita *et al.*, 2005; Matsubara *et al.*, 2007; Osada & Takahashi, 2011). Another well documented oncomiR is miR-221/222, which is overexpressed in lung cancer to inhibit apoptosis and promote cell

migration through the down-regulation of PTEN and tissue inhibitor of metalloproteinases 3 (TIMP3) (Garofalo *et al.*, 2009).

Tumour suppressor miRNAs that are frequently down-regulated in lung cancer includes the let-7 family, miR-34 family, and miR-200 family. The let-7 family negatively regulates numerous oncogenes involved in cell proliferation and cell cycle regulation, including *RAS*, *MYC*, *HMGA2* (high mobility group AT-hook 2), *CDC25A* (cell division cycle 25A), *CDK6* and *CCND2* (cyclin D2) (Johnson *et al.*, 2005; Johnson *et al.*, 2007; Kumar *et al.*, 2007; Lee & Dutta, 2007). Meanwhile, the miR-34 family (miR-34a, miR-34b, miR-34c), also down-regulated in lung cancer, controls cell proliferation via inhibition of *MET* (hepatocyte growth factor receptor), *BCL2*, *PDGFR- α* and *PDGFR- β* (platelet derived growth factor receptor). Another tumour suppressor down-regulated in lung cancer is the miR-200 family (miR-200a, miR-200b, miR-200c, and miR-429), which regulates the induction of epithelial-mesenchymal transition (EMT) via regulation of *ZEB* (zinc finger E-box-binding homeobox) transcription factors (ZEB1 and ZEB2), *CDH1* (E-cadherin), and *VIM* (vimentin) (Ceppi *et al.*, 2010; Takeyama *et al.*, 2010).

Table 2.2: Principal microRNAs involved in the development or progression of lung cancer

MicroRNAs	Gene Targets	Biological Processes
Tumor suppressor microRNAs with down-regulation in lung cancer		
let-7 family	RAS, HMGA2, CDK6, CCND2, MYC, DICER1	(i) Cell proliferation (RAS, MYC, HMGA2) (ii) Cell cycle regulation (CDK6, CCND2) (iii) microRNA maturation (DICER1)
miR - 34 family	BCL2, PDGFRA, PDGFRB	TRAIL - induced cell death and cell proliferation
miR-200 family	ZEB1, ZEB2, E - cadherin (CDH1), vimentin (VIM)	Promotion of EMT and metastasis
Oncogenic microRNAs with up - regulation in lung cancer		
miR-21	PTEN, PDCD4, TPM1	Apoptosis, cell proliferation, and migration
miR-17-92 cluster	miR-17-92 cluster E2F1, PTEN, HIF1A	Cell proliferation and carcinogenesis
miR-221/222	miR-221/222 PTEN, TIMP3	Apoptosis and cell migration

(Reproduced from Journal of Clinical Medicine, Vol. 5, Inamura & Ishikawa, MicroRNA in Lung Cancer: Novel Biomarkers and Potential Tools for Treatment, 36, 2016, licensed under CC BY 4.0).

The expression of miRNAs has also been associated with prognosis and survival of lung cancer patients. Studies have reported that a lower let-7 expression and a higher expression of miR-155 in lung cancer in comparison to normal lung tissue were associated with poor prognosis in NSCLC patients (Takamizawa *et al.*, 2004; Yanaihara *et al.*, 2006). In 2008, a five-miRNA signature (let-71, miR-221, miR-137, and miR-182*) was able to predict a poor overall and disease-free survival rates for lung cancer patients with high-risk scores in this miRNA signature (Yu *et al.*, 2008). High expression of miR-146b has been associated with poor overall survival in squamous cell lung cancer patients (Raponi *et al.*, 2009), whereby high expression of miR-16 is associated with better survival in lung cancer patients (Wang *et al.*, 2013b). Furthermore, overexpression of miR-519c has been correlated with better prognosis, while low levels of miR-34a is associated with relapse in lung cancer patients (Cha *et al.*, 2010; Wiggins *et al.*, 2010).

Reports have also suggested that miRNA expression can be utilized to classify stages and subtypes of lung cancers. For instance, miR-205 is a useful marker to differentiate SCC from non-SCC NSCLCs with a sensitivity of 96% and specificity of 90% (Lebanony *et al.*, 2009). Meanwhile, detection of miR-21, miR-486, miR-375 and miR-200b in sputum samples, in combination, is one of the best predictors of lung adenocarcinoma with 81% sensitivity and 92% specificity (Yu *et al.*, 2010). Furthermore, detection of miR-21 expression along with detection of four protein-coding genes (XPO1, BRCA1, HIF1A, and DLC1) was able to independently classify stage 1 adenocarcinomas into two groups with different survival rates (Robles *et al.*, 2015).

2.4.4 MiRNA and apoptosis

Dysregulation of programmed cell death is a significant hallmark of tumour development and progression, and various studies have shown that miRNAs influence development of cancer through regulation of the apoptotic process (Jovanovic & Hengartner, 2006; Wang & Lee, 2009; Li *et al.*, 2012a; Othman & Nagoor, 2014). As mentioned previously in section 2.3, the events that culminate in the activation of caspases can be categorized into the extrinsic and intrinsic pathways. The extrinsic pathway is activated through the binding of appropriate ligands to death receptors, and evidence has shown that these molecules are targets of various miRNAs, summarized in Figure 2.9. For example, miR-19a, a member of the miR-17-92 cluster, which is often overexpressed in lung cancers, targets TNF- α receptors to inhibit apoptosis (Liu *et al.*, 2011). On the other hand, miR-34a, miR-34c, and miR-212 are all down-regulated in NSCLC and ectopic expression of these miRNAs increases TRAIL-induced cell death to inhibit tumourigenesis (Incoronato *et al.*, 2010; Garofalo *et al.*, 2013). Lung cancer however, has also developed resistance to TRAIL-induced apoptosis through miRNA dependent mechanisms, such as, increased expression of miR-494a, miR-221, and miR-

222, which impair TRAIL-induced apoptosis. Therefore, blocking of these miRNAs through anti-miR transfection resulted in TRAIL-sensitivity in NSCLC (Garofalo *et al.*, 2008; Romano *et al.*, 2012).

The intrinsic pathway is characterized by cytochrome c release as a result of the permeability of the outer mitochondrial membrane, which is controlled by the presence of pro- and anti-apoptotic members of the BCL-2 family of proteins. There are numerous miRNAs that have been reported to regulate the expression of various BCL-2 family members (Figure 2.9). Anti-apoptotic *BCL-2* is targeted by miR-503, miR-181b, miR-200b/c-429 cluster, miR-497, and miR-7, whose expressions are all down-regulated in NSCLC cells. Ectopic expression of these miRNAs has been shown to lead to a significant reduction of *Bcl-2* to induce apoptosis (Zhu *et al.*, 2010; Xiong *et al.*, 2011; Zhu *et al.*, 2012a; Zhu *et al.*, 2012b; Qiu *et al.*, 2013). *BCL-XL*, another anti-apoptotic member, is targeted by let-7c (Cui *et al.*, 2013), whereas miR-125b and miR-335 suppresses anti-apoptotic *BCL-W* (Gong *et al.*, 2013; Wang *et al.*, 2013a). Pro-apoptotic members of the BCL-2 family has also been demonstrated to be targeted by miRNAs up-regulated in NSCLC cells, such as, *PUMA* which is targeted by miR-221/222 (Zhang *et al.*, 2010a), *BIM* which is targeted by miR-494, miR-17, miR-30b, miR-30c, miR-221, miR-103, and miR-203 (Dai *et al.*, 2011; Garofalo *et al.*, 2011; Romano *et al.*, 2012), and *BMF* and *NOXA* which are targets of miR-197 (Fiori *et al.*, 2014).

In lung cancer, another component of the intrinsic pathway targeted by miRNAs include *APAF-1*, which is a target of up-regulated miR-21 and miR-155 (Figure 2.9) (Kim *et al.*, 2009; Zang *et al.*, 2012; Fiori *et al.*, 2014). Furthermore, BAX oligomerization, mitochondrial transmembrane potential dissipation, and the proteolytic maturation of caspase 9 and caspase 3 have been reported to be modulated by pre-miR-181a and pre-miR-630 in A549 lung adenocarcinoma cell line (Galluzzi *et al.*, 2010)

Studies have shown that miRNAs are also able to interfere with apoptosis in lung cancer through regulation of the expression and activation of effector caspases. In A549 lung cancer cells, ectopic expression of miR-1 and miR-15a-3p enhances the activation of caspase 3 and caspase 7 (Nasser *et al.*, 2008). On the other hand, inhibition of miR-133a/b and miR-361-3p was able to activate caspase 3 and caspase 7 in 95D lung cancer cells (Du *et al.*, 2013). Furthermore, overexpression of miR-192 was able to inhibit cell viability in both *in vitro* and *in vivo* lung cancer studies (Feng *et al.*, 2011).

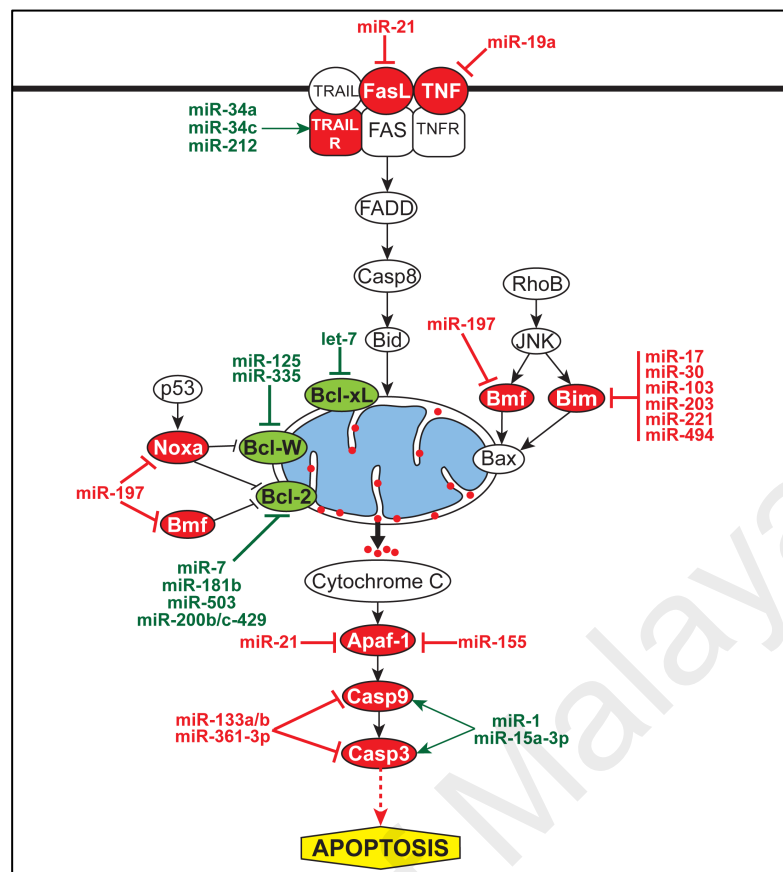


Figure 2.9: Scheme depicting up- and down-regulated miRNAs in lung cancer and the roles they play in apoptosis (Reproduced from Biomed Research International, Vol. 2014, Othman & Nagoor, 2014, The Role of MicroRNAs in the Regulation of Apoptosis in Lung Cancer and its Application in Cancer Treatment, Article ID 318030, with permission from Hindawi).

2.4.5 MiRNA in cancer treatment

The targeting of miRNAs as a therapeutic tool is very promising for applications in cancer medicine. The short sequences of mature miRNA have proven to be advantageous as they are almost always completely conserved across multiple vertebrate species. This makes them easy targets therapeutically, while also allowing the same miRNA-modulating compound to be used in preclinical efficacy and safety studies as well as in clinical trials (van Rooij & Kauppinen, 2014). Furthermore, as miRNAs typically have multiple targets within the same signaling pathway, this may potentiate the efficacy of miRNA-based treatments, as they are able to modulate entire pathways in a disease state (van Rooij & Kauppinen, 2014; Pichler & Calin, 2015). In general, there are two approaches employed in the development of miRNA-based

therapies: (i) restoration of tumour suppressor miRNA to reverse the loss of miRNA function using synthetic double-stranded miRNA mimics or viral vector-based overexpression and (ii) down-regulation of oncogenic miRNAs through the administration of chemically-modified anti-sense nucleotides (anti-miR/ antagomiR or miRNA inhibitors) (Figure 2.10) (Krutzfeldt *et al.*, 2005; Taylor & Schiemann, 2014).

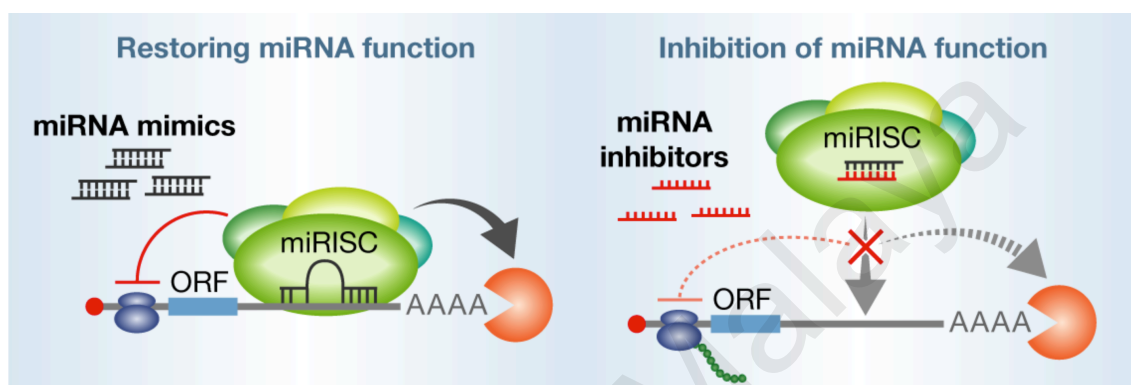


Figure 2.10: Modulation of miRNA activity by miRNA mimics and anti-miR oligonucleotides (Reproduced from EMBO Molecular Medicine, Vol. 6, van Rooij & Kauppinen, Development of MicroRNA Therapeutics is Coming of Age, 851-864, 2014, licensed under CC BY 3.0).

2.4.5.1 MiRNA mimics

Utilization of miRNA mimics, also termed miRNA replacement therapy, allows for the exploitation of tumour suppressors as it reintroduces lost or down-regulated miRNAs in cancer cells, to reactivate pathways that are necessary for normal cellular welfare (Bader *et al.*, 2010). MiRNA mimics are double stranded, with the strand identical to the miRNA of interest called the guide (anti-sense) strand, while the opposite strand is called the passenger (sense) strand. This passenger strand is less stable and is linked to a molecule, such as, cholesterol, to boost cellular uptake as well as chemical modifications to prevent RISC uptake (Chen *et al.*, 2008; Garzon *et al.*, 2010; Bader *et al.*, 2011; Thorsen *et al.*, 2012). MiRNA mimics can be delivered systemically using technologies already available for therapeutic siRNAs. Furthermore, reports have suggested that miRNAs will be well tolerated in normal tissues as they

have the same sequence as endogenous miRNAs and will therefore target the same set of genes, thus eliminating non-specific off target effects (Bader *et al.*, 2010).

Based on reports of its strong *in vitro* tumour-suppressive effects, miR-34, which is frequently silenced in lung cancer, has been a focus for clinical trials. MiR-34 mimics, using a lipid-based delivery vehicle distributed locally or systemically, was able to block tumour growth in mouse models of NSCLC (Wiggins *et al.*, 2010). The anti-oncogenic effects observed were accompanied by an increase of miR-34 expression in tumour tissues along with down-regulation of its direct targets (Wiggins *et al.*, 2010). In 2013, MiRNA Therapeutics announced that a liposome-formulated miR-34 mimic-based drug, called MRX34, was going to enter phase 1 study for patients with primary liver cancer or metastatic cancer with liver involvement, thus becoming the first miRNA mimic to advance into the clinical phase (Bouchie, 2013).

Another tumour suppressor miRNA of interest was let-7, which is frequently down-regulated in lung cancer. Studies have shown that intranasal administration of let-7 mimics induced a significant reduction in tumour growth in lung cancer xenograft models (Esquela-Kerscher *et al.*, 2008; Kumar *et al.*, 2008; Trang *et al.*, 2010; Trang *et al.*, 2011). This suggests that let-7 replacement therapy may have potential as a therapeutic treatment in humans. Other prospective miRNAs for therapeutic lung cancer treatment include miR-7, miR-29b, miR-34a, miR-145, miR-150, and miR-200c (Table 2.3).

2.4.5.2 MiRNA inhibitors

Mature miRNAs can be inhibited by anti-sense nucleotides (antagomiRs), thereby inducing their degradation or inhibition of their function. AntagomiRs are single stranded oligonucleotides that have miRNA complementary sequences with chemically modified backbones to enhance thermal stability, binding affinity, and specificity (Meister *et al.*, 2004). Addition of 2'-O-methyl-group or locked nucleic acid (LNA) constructs have been shown to improve miRNA stability and affinity towards endogenous miRNA, and sequesters them to prevent processing by RISC (Krutzfeldt *et al.*, 2005).

An example of an antagomiR used in lung cancer studies is anti-miR-150 expression vector (PR-ASO-150) (Table 2.3). A study demonstrated that PR-ASO-150 was able to inhibit A549 lung cancer cell proliferation and induce apoptosis *in vitro*. Furthermore, administration of PR-ASO-150 intratumorally into lung tumour xenografts in nude mice led to inhibition of tumour growth (Li *et al.*, 2012c).

Table 2.3: Pre-clinical miRNA-based therapeutic strategies for lung cancer

miRNA	Administration	Modulation Strategy	Delivery Technology	References
<i>let-7</i>	Intravenous	Replacement	Neutral liposomes	(Trang <i>et al.</i> , 2011)
	Intranasal	Replacement	Adenoviruses	(Esquela-Kerscher <i>et al.</i> , 2008)
	Intratracheal	Replacement	Lentiviruses	(Kumar <i>et al.</i> , 2008)
miR-200c	Intravenous	Replacement	Liposomes (NOV340)	(Cortez <i>et al.</i> , 2014)
miR-29b	Intravenous	Replacement	Cationic liposomes	(Wu <i>et al.</i> , 2013b)
miR-145	Intratumoral	Replacement	PEI	(Chiou <i>et al.</i> , 2012)
miR-150	Intratumoral	Inhibition	Cationic liposomes	(Li <i>et al.</i> , 2012c)
miR-7	Intratumoral	Replacement	Cationic liposomes	(Rai <i>et al.</i> , 2011)
miR-34a	Intratumoral	Replacement	Neutral liposomes	(Wiggins <i>et al.</i> , 2010)

(Reproduced from International Journal of Molecular Sciences, Vol. 16, Fujita *et al.*, Development of Small RNA Delivery Systems for Lung Cancer Therapy, 5254-5270, 2015, licensed under CC BY 4.0).

CHAPTER 3: MATERIALS AND METHODS

3.1 Cell lines

3.1.1 Cell lines and culture conditions

Human lung adenocarcinoma cell lines A549 (Cancer Research Initiative Foundation (CARIF), Sime Darby Medical Centre, Malaysia) and SK-LU-1 (AseaCyte Sdn. Bhd., Malaysia) were cultured in Roswell Park Memorial Institute 1640 medium (RPMI-1640) (Hyclone, GE Healthcare Life Sciences, USA) and minimum essential medium (MEM) α (Gibco, USA), respectively. Both culture mediums were supplemented with 10% (v/v) heat inactivated fetal bovine serum (FBS) (JR Scientific Inc., USA). Cells were grown as a monolayer and maintained in a carbon dioxide (CO₂) incubator (Mettler, Germany) with high relative humidity (95.0%), stable temperature (37°C), controlled CO₂ levels (5.0%) and controlled pH (7.2 – 7.4).

3.1.2 Sub-culturing cell line monolayers: harvesting a cell monolayer

The cell lines were split every two to three days, or when 80.0 – 90.0% confluency was achieved on the culture flask surface. Spent culture medium was removed and discarded using a serological pipette. The cell monolayer was rinsed with sterile autoclaved 1 × phosphate buffered saline (1 × PBS) to remove any residual serum that could inactivate trypsin activity. PBS was removed and discarded using a serological pipette. 3.0 ml of dissociating agent, 0.25% trypsin (Sigma-Aldrich, USA) ethylenediaminetetraacetic acid (EDTA) (Thermo Fisher Scientific, USA), was added to the culture flask. The culture flask was incubated in 37°C in the CO₂ incubator for approximately 10 min to allow the cells to detach from the bottom of the flask. The progress of cell detachment was checked every 5 min using an inverted fluorescence microscope (Nikon, Japan). Once cells were detached, equal volume of culture medium was added to the culture flask to inactivate trypsin activity and the suspension was transferred to a labeled 15.0 ml Falcon tube and centrifuged for 5 min at 1,500 RPM

using the Eppendorf Centrifuge 5702 (Eppendorf, Germany). The supernatant in the tube was discarded and the cell pellet was resuspended in fresh culture medium. The cell suspension was either collected for counting or divided into prepared culture flasks for routine maintenance of cell lines. For routine maintenance of cell lines, 2.0 ml of cell suspension was transferred to a new T-25 cm² culture flask with 3.0 ml of fresh culture medium and stored at 37°C in the CO₂ incubator.

3.1.3 Cell counting

A dye exclusion viability assay using a haemocytometer was used to determine the number of cells present in a specific population. Cell suspension was gently mixed and 20.0 µL of the suspension was aliquoted into a 1.5 ml microcentrifuge tube and 20.0 µL of 0.08% trypan blue stain (Sigma-Aldrich, USA) was added to this aliquot and mixed well. The tube was left to stand for about 3 min, after which 10.0 µL of the mixture was removed and loaded on to a clean haemocytometer (Resistance, Germany) chamber to be counted. The counting was conducted under the inverted microscope at 100× magnification. Dead cells appeared blue, while viable cells appeared as unstained bright spheres. The number of cells in each of the four square grid corners was counted and the average number of cells was obtained. Each square grid represents 0.1 mm³ or 10⁻⁴ ml volume, and the concentration of cells was determined according to the following formula, with a dilution factor of two.

$$\text{Cell Concentration (cell/ml)} = \left(\frac{\text{Avg. Number of Cells Counted}}{\text{Volume Counted (ml)}} \right) \times \text{Dilution Factor}$$

3.2 *BCL-XL* silencing via short interfering RNA (siRNA) transfection

Silencing of the *BCL-XL* gene was performed using the Stealth RNAi™ siRNA Duplex Oligonucleotides (Invitrogen, USA) (Table 3.1), according to a modified version of the manufacturer's protocol. One day prior to transfection, 1.5×10^5 cells were plated in 2.0 ml of appropriate medium in a 6-well plate (SPL Life Sciences, Korea). For each transfection sample, a Stealth RNAi™ -Lipofectamine™ 2000 complex was prepared as follows: 1.25 µL of 20.0 µM of Stealth RNAi™ oligonucleotide was diluted in 250.0 µL of Opti-MEM® I Reduced Serum Medium (Invitrogen, USA) to prepare the final concentration of 100.0 nM, and mixed gently (Tube 1). 5.0 µL of Lipofectamine™ 2000 Transfection Reagent (Invitrogen, USA) was diluted in 250.0 µL of Opti-MEM® I Reduced Serum Medium and mixed gently (Tube 2). Both solutions were left to incubate for 15 min at room temperature. After incubation, contents of Tube 1 and Tube 2 were combined and mixed gently. The combined solution was incubated for 15 min at room temperature to allow complexes to form. The complex was then added to the 6-well plate containing cells and 1.5 ml culture medium. The plate was mixed gently by rocking back and forth, and the cells incubated at 37°C in a humidified CO₂ incubator for 24 h. The same procedure was carried out with the Stealth RNAi™ siRNA Negative Control Low GC (Invitrogen, USA), Stealth RNAi™ siRNA Negative Control High GC (Invitrogen, USA), as well as the BLOCK-iT™ Alexa Fluor® Red Fluorescent Oligo (Invitrogen, USA). At 24 h post-transfection, total RNA was extracted using the miRNeasy® Mini Kit to determine the silencing efficiency of the siRNAs.

Table 3.1: Stealth RNAi™ siRNA Duplex Oligonucleotides used for transfection

Name	Sequence
siRNA 1	UCA CUA AAC UGA CUC CAG CUG UAU C
siRNA 2	AUG GGU UGC CAU UGA UGG CAC UGG G
siRNA 3	AUC ACC UCC CGG GCA UCC AAA CUG C

3.3 Total RNA extraction

3.3.1 Guanidinium isothiocyanate acidic phenol extraction using TRIzol[®] Reagent (Invitrogen, USA)

Transfected cells were harvested by trypsinization and centrifugation and cell pellet was resuspended in 1.0 ml of TRIzol[®] reagent. The cell lysate was passed through a pipette several times. The homogenized sample was transferred to a 1.5 ml microcentrifuge tube and incubated for 5 min at room temperature to allow for complete dissociation of nucleoprotein complexes. 0.2 ml chloroform (J.T. Baker, USA) was added and the tubes capped securely. The tubes were shaken vigorously by hand for 15 sec and incubated at room temperature for 3 min. The tubes were then centrifuged at $12,000 \times g$ for 10 min at 4°C in the Sorvall Legend Micro17R (Thermo Fisher Scientific, USA) refrigerated centrifuge. Following centrifugation, the mixture separated into a lower red phenol-chloroform phase, an interphase, and a colorless upper aqueous phase. RNA remains exclusively in the aqueous phase. The volume of the aqueous phase was about 60.0% of the volume of TRIzol[®] reagent used for homogenization.

The aqueous phase was transferred to a fresh tube. The RNA was precipitated from the aqueous phase by mixing with 0.5 ml isopropanol (Merck, Germany). The samples were incubated at room temperature for 10 min and then centrifuged at $12,000 \times g$ for 10 min at 4°C. The supernatant was removed and the pellet washed once with 1.0 ml of 75.0% ethanol (J.T. Baker, USA). The sample was mixed by vortexing and then centrifuged at $7,500 \times g$ for 5 min at 4°C.

After centrifugation, the supernatant was removed and the RNA pellet was air-dried for about 5-10 min. The RNA samples were dissolved in nuclease-free water (Qiagen, Germany) by passing the solution a few times through a pipette tip, and incubated for 10 min in the water bath incubator (Mettler, Germany) at 58°C.

3.3.2 Silica membrane column-based extraction using miRNeasy® Mini Kit (Qiagen, USA)

Transfected cells were harvested by trypsinization and centrifugation and cell pellet was resuspended in 700.0 µL QIAzol Lysis Reagent (Qiagen, USA). The sample was transferred to a centrifuge tube and homogenized by passing through a pipette several times and vortexed vigorously for 15 sec. The homogenate was incubated at room temperature for 5 min, 140.0 µL chloroform was added and the tube capped securely. The tube was shaken vigorously for 15 sec and left to incubate at room temperature for 2-3 min. The tube was then centrifuged for 15 min at $12,000 \times g$ at 4°C. The upper aqueous phase was transferred to a new collection tube and 1.5 volumes ($\approx 525.0 \mu\text{L}$) of 100.0% ethanol was added and mixed thoroughly by pipetting. 700.0 µL of sample was pipetted, including any precipitate, into an RNeasy® Mini column (Qiagen, USA) in a 2.0 ml collection tube. The tube was centrifuged at $8,000 \times g$ for 15 sec, and the flow-through was discarded. This step was repeated for the remainder of the sample. 700.0 µL Buffer RWT (Qiagen, USA) was added to the RNeasy® Mini column and centrifuged for 15 sec at $8,000 \times g$ and the flow-through discarded. 500.0 µL Buffer RPE (Qiagen, USA) was pipetted onto the RNeasy® Mini column and centrifuged at $8,000 \times g$ and the flow-through discarded. Another 500.0 µL Buffer RPE was added to the RNeasy® Mini column and then centrifuged for 2 min at $8,000 \times g$. The RNeasy® Mini column was placed into a new 2.0 ml collection tube and centrifuged at full speed for 1 min to further dry the membrane. The RNeasy® Mini column was transferred to a new 1.5 ml collection tube and 30.0-50.0 µL RNase-free water was pipetted directly

onto the RNeasy[®] Mini column membrane. The tube was centrifuged for 1 min at 8,000 $\times g$ to elute the RNA.

3.4 RNA quantitation and quality check

3.4.1 Quantitation of RNA using NanoDrop 2000 (Thermo Fisher Scientific, USA)

The concentration and purification of extracted RNA was analyzed using the NanoDrop 2000. When the arm was open 1.0 μL of distilled water was pipetted directly on the pedestal and used as a blank. When the measurement was complete, the surfaces were wiped with a lint-free KimWipe before going on to the next sample. The RNA concentration, OD₂₆₀, OD₂₈₀, A_{260/280}, and A_{260/230} ratio of the samples were measured.

3.4.2 Determination of RNA integrity using Agilent 2200 TapeStation System (Agilent Technologies, Germany)

The quality of extracted RNA was analyzed using the Agilent 2200 TapeStation. 4.0 μL R6K sample buffer was mixed with 1.0 μL RNA sample. The samples were denatured by heating at 72°C for 3 min. The samples were then placed on ice for 2 min and briefly centrifuged to allow the contents to collect in the base of the tubes. The Agilent 2200 TapeStation software was launched and the samples, ScreenTape R6K and loading tips were loaded into the Agilent 2200 TapeStation. The required samples were selected on the controller software and the RNA concentration (ng/ μL) and RNA integrity number (RIN value) of the samples was measured.

3.5 Quantification of *BCL-XL* expression using quantitative reverse transcription polymerase chain reaction (qRT-PCR)

3.5.1 Reverse transcription polymerase chain reaction (RT-PCR)

First strand cDNA was synthesized for use in qRT-PCR using the SuperScript III First-Strand Synthesis SuperMix (Invitrogen, USA). The following kit components (Table 3.2) were combined on ice. For multiple reactions, a master mix without RNA was prepared.

Table 3.2: Kit components used to prepare cDNA samples

Component	Volume
2× RT Reaction Mix	10 µL
RT Enzyme Mix	2 µL
RNA (up to 1 µg)	x µL
DEPC treated water	to 20 µL

The tube contents were gently mixed and incubated at room temperature for 10 min. The tubes were then incubated at 50°C for 30 min, and the reaction terminated at 85°C for 5 min. The tubes were chilled on ice and 1.0 µL of *Escherichia coli* (*E. coli*) RNase H was added and the tubes incubated at 37°C for 20 min. The cDNA was then used in qPCR.

3.5.2 Quantitative polymerase chain reaction (qPCR)

The Platinum® SYBR® Green qPCR SuperMix-UDG with ROX (Invitrogen, USA) was used to carry out qPCR. The primers used for qPCR was purchased from First Base Laboratories Sdn Bhd, Malaysia (Table 3.3). For each qPCR reaction the following components were added to a 0.2 ml microcentrifuge tube. The volumes for a single 50 µL reaction are listed in Table 3.4. For multiple reactions, a master mix of common components was prepared and the appropriate volume added to each tube, and the unique reaction components (eg. template) was then added.

Table 3.3: Oligonucleotides used for qPCR determination of *BCL-XL* expression

Name	Sequence	Length
<i>BCL-XL</i> Forward	5'-CGT GGA AAG CGT AGA CAA GGA - 3'	21
<i>BCL-XL</i> Reverse	5' -ATT CAG GTA AGT GGC CAT CCA A- 3'	22
<i>β-actin</i> Forward	5' -AAG CCA CCC CAC TTC TCT CTA A- 3'	22
<i>β-actin</i> Reverse	5' -ACC TCC CCT GTG TGG ACT TG- 3'	20

Table 3.4: Kit components used to prepare qPCR samples

Component	Volume
Platinum [®] SYBR [®] Green qPCR SuperMix-UDG with ROX	25 µL
Forward primer, 10 µM	1 µL
Reverse primer, 10 µM	1 µL
Template (cDNA generated from 10 pg to 1 µg of total RNA)	≤ 10 µL
DEPC-treated water	to 50 µL

The reaction tubes were capped and gently mixed. The reactions were then placed into a preheated real-time instrument, the CFX96[™] Real-Time PCR Detection System (Bio-Rad Laboratories, USA), and programmed as described in Table 3.5.

Table 3.5: Real-time PCR instrument conditions

50°C for 2 minutes hold (UDG incubation)
90°C for 2 minutes
40 cycles of: 95°C, 15 seconds
60°C, 30 seconds
Melting curve analysis

3.6 Protein expression analysis

3.6.1 Protein isolation using NE-PER[®] Nuclear and Cytoplasmic Extraction Kit (Pierce, USA)

NE-PER[®] Nuclear and Cytoplasmic Extraction Kit was used to extract the cytoplasmic protein from the whole cell lysate. This kit contains three reagents, Cytoplasmic Extraction Reagent I (CER I), Cytoplasmic Extraction Reagents II (CER II) and Nuclear Extraction Reagent (NER). 1 × Halt[™] Protease (Thermo Fisher Scientific, USA) and 1 × Phosphatase inhibitor cocktails (Thermo Fisher Scientific, USA) were freshly prepared and added to CER I and NER in 1:1,000 dilution to prevent

proteolysis and dephosphorylation.

Cells were harvested by trypsinization and centrifugation, the supernatant in the tube was discarded and the pellet resuspended in 100.0 μ L ice cold CER I and vortexed vigorously for 15 sec to fully resuspend the pellet. The tube was then incubated on ice for 10 min. 5.5 μ L of ice cold CER II was added to the tube and vortexed for 5 sec. The tubes were incubated on ice for 1 min and then vortexed again for 5 sec. The tubes were then centrifuged at $16,000 \times g$ for 5 min using the Sorvall Legend Micro 17R refrigerated centrifuge and the supernatant containing the cytoplasmic extract was transferred to a new pre-chilled tube. The protein solution was used immediately for western blotting, otherwise the solubilized proteins was stored at -20°C and the heating, centrifugation steps performed at the time of use.

3.6.2 Protein concentration quantification using Pierce[®] bicinchoninic acid (BCA) protein assay kit (Thermo Fisher Scientific, USA)

The Pierce BCA Protein Assay Kit was used to determine the protein concentration of extracted protein samples. Table 3.6 was used to prepare a set of protein standards. The contents of one Albumin Standard (BSA) ampule were diluted into several clean microcentrifuge tubes. Final BSA concentrations of 2,000, 1,500, 1,000, 750, 500, 250, 125, and 25 $\mu\text{g/ml}$ were used to create a standard curve. This allows for the determination of the unknown sample's concentration.

A BCA working reagent was prepared by mixing 50 parts of BCA Reagent A with 1 part of BCA Reagent B (50:1, Reagent A: Reagent B). 10.0 μ L blank, standards and samples were diluted with 200.0 μ L of working reagent and mixed thoroughly in a microcentrifuge tube. The tubes were incubated at 37°C for 30 min. The tubes were cooled to room temperature and absorbance was measured at 562 nm using the NanoDrop 2000. After obtaining the sample's concentration, samples were normalized

to the same concentration with nuclease free water. All samples were kept at -20°C freezer until further use.

Table 3.6: Preparation of diluted albumin (BSA) standards

Vial	Volume of Diluent (μL)	Volume and Source of BSA (μL)	Final BSA Concentration (μg/ml)
A	0	300 of Stock	2,500
B	125	375 of Stock	1,500
C	325	325 of Stock	1,000
D	175	175 of vial B dilution	750
E	325	325 of vial C dilution	500
F	325	325 of vial E dilution	250
G	325	325 of vial F dilution	125
H	400	100 of vial G dilution	25
I	400	0	0 = Blank

3.6.3 Protein sample preparation

Antibodies typically recognize a small portion of the protein of interest (epitope), and this domain may reside within the three dimensional conformation of the protein. To enable access of the antibody to this portion it is necessary to denature the protein. Lane Marker Reducing Sample Buffer (5×) (Thermo Fisher Scientific, USA) contains dithiothreitol (DTT) as a reducing agent to reduce disulphide bridges within tertiary protein structures to produce primary protein structures. The sample buffer also contains sodium dodecyl sulfate (SDS), which binds to the polypeptides to form complexes with fairly constant negative charge to mass ratios. The electrophoretic migration rate through the gel is therefore determined only by the size of the complexes.

First, cytoplasmic protein samples and the Lane Marker Reducing Sample Buffer (5×) were equilibrated to room temperature. Cytoplasmic protein concentrations were diluted using distilled H₂O (dH₂O) to a final concentration of 1.0 μg/ml. A final volume of 20.0 μL of cytoplasmic protein samples was mixed with 5.0 μL sample buffer. These mixtures were vortexed before boiling for 5 min at 95°C using a thermal cycler, and then cooled to room temperature.

3.6.4 Sodium dodecyl sulphate polyacrylamide gel electrophoresis (SDS-PAGE)

SDS-PAGE is a technique for separating proteins according to their molecular weight. The separation of protein molecules within a gel is determined by relative size of the pores formed within the gel. 12.0% resolving gel was used to separate proteins ranging from 14 – 70 kDa. 4.0% stacking gel and 12.0% resolving gel was prepared by mixing together the reagents listed in Table 3.7. Freshly prepared 10.0% (w/v) ammonium persulfate (APS) was added last to the mixture to initiate gel polymerization. Bromophenol blue, an anionic small molecule, was added to the stacking gel and functioned as a tracking dye, which monitored the migration front of the proteins.

18.0 cm × 16.0 cm, with 1.0 mm thickness glass plates (Bio-Rad, USA) were aligned and clipped to the casting tray (Bio-Rad, USA). The resolving gel was prepared and loaded until 75.0% of the glass was filled. The remaining resolving gel solution was kept in a tube rack as an indicator of complete gel polymerization, which takes approximately 30 min. Immediately after adding the resolving gel, 0.1% (v/v) SDS was added gently on top of the resolving gel to prevent oxidization and dehydration of the gel, which can slow down the polymerization process. After polymerization was complete, 0.1% SDS solution was rinsed out by tilting the casting tray and blotting out the solution with Kim-wipes.

The desired volume of 10.0% APS was added into the stacking solution. The solution was mixed well and added immediately to the cast above the resolving gel. The stacking solution was ensured to completely fill 100.0% of the glass plate until it overflows. A 10-well gel comb with a 1.0 mm thickness was inserted at an angle to prevent formation of air bubbles. The remaining stacking gel solution was kept in a tube rack as an indicator of complete polymerization (approximately 30 min).

Table 3.7: Reagents for preparation of stacking resolving gel for SDS-PAGE

Reagent	Stacking Gel (4.0%) (μL)	Resolving Gel (12.0%) (μL)
40% Acrylamide (Promega, USA)	500	4,500
0.5M Tris-HCl (pH 6.8)	1,260	-
1.5M Tris-HCl (pH 8.8)	-	3,750
10% SDS	50	150
Distilled H ₂ O (dH ₂ O)	3,150	6,517.5
Tetramethyl-ethylenediamine (TEMED) (Acros, USA)	5	7.5
10% (w/v) Fresh Ammonium Persulfate (APS)	25	75
Bromophenol Blue (Fisher Scientific, USA)	10	-
Total Volume	5,000	15,000

3.6.5 Protein sample loading and running the gel

The glass plates were transferred to the Mini PROTEAN Tetra System (Bio-Rad, USA) and placed in the holder facing each other. The space between the gels was filled fully with $1 \times$ Tris/Glycine/SDS (TGS) running buffer. The comb was gently removed and the wells were flushed with TGS buffer to allow the wells to form properly and to rinse off traces of unpolymerized gel. The tank was filled with sufficient amount of buffer according to the number of gels being run. 5.0 μL of biotinylated protein ladder (Cell Signaling Technology, USA) was loaded into the first well (Protein ladder sizes are listed in Appendix B). 20.0 μL of protein samples pre-mixed with sample buffer was then added to subsequent wells. Gels were run at 110 volts with 400 mA until the sample front reached the resolving gel (approximately 15 min), followed by 150 volts with 400 mA until the end of the gel. Power supply was provided by the Power Pack (Bio-Rad, USA).

3.6.6 Western blot electrotransfer

The 2.0 μm nitrocellulose membrane (Bio-Rad, USA) and the extra thick blot paper (Bio-Rad, USA) were cut to the same size as the gel or slightly larger than the gel. The membrane and filter papers were soaked in transfer buffer made up of $1 \times$ TGS with 20% (v/v) methanol (Merck, Germany), for 10 min. Once the SDS-PAGE has finished

running, the glass was removed from the tank carefully. The upper glass was removed using a plastic spatula. Using a delicate task wiper, the stacking gel was carefully torn away from the resolving gel. The glass plate was then inverted over the transfer buffer and lifted so that the surface tension will peel the gel from the glass plate. The gel was soaked in transfer buffer for at least 10 min. The “transfer sandwich” was then placed in the Trans-Blot SD Semi-Dry Transfer Cell (Bio-Rad, USA). Each layer of the sandwich was rolled out to ensure no air bubbles were formed. Transfer of proteins from gel to membrane was run at 50 mA at 25 volts for 90 min using the MP-2AP Power Supply (Major Science, Taiwan).

Transfer sandwich:

Cathode (–)

Blot paper

PAGE gel

Nitrocellulose membrane

Blot paper

Anode (+)

After transferring, the membrane was stained with 0.1% (w/v) Ponceau S (Sigma Aldrich, USA) for 30 sec to check the efficiency of proteins transferred. After observation, the membrane was washed twice with dH₂O by shaking slowly on the Reciprocal Shaker MS-RC (Major Science, Taiwan) for 2 min each. The membrane was then blocked for 1 h at room temperature with agitation in blocking buffer containing 5.0% (w/v) non-fat skim milk powder (Merck, Germany), 0.05% (v/v) Tween 20 (Promega, USA) in 1 × tris-buffered saline (TBS), to prevent non-specific binding of the primary and secondary antibodies to the membrane.

The blocked membrane was washed with TBS with Tween 20 (TBST) buffer three times, 5 min each. The membrane was then incubated in primary antibody at appropriate dilution in 10.0 ml primary antibody dilution buffer for 1 h at room temperature with gentle agitation, followed by 4°C overnight. The following day the membrane was incubated at room temperature for 1 h with gentle agitation. The membrane was then washed three times with 1 × TBST, 5 min each wash. Antibody concentration was determined according to manufacturer's protocol as mentioned in Table 3.8.

The membrane bound with primary antibody was then probed with anti-rabbit IgG HRP-linked antibody (Cell Signaling Technology, USA) and anti-biotin HRP-linked antibody (Cell Signaling Technology, USA) at a dilution of 1:1:1000 in appropriate secondary antibody dilution buffer, for 1 h at room temperature with gentle agitation (Table 3.8). The membranes were then washed three times with 1 × TBST buffer for 5 min with agitation, followed by a single wash with 1× TBS buffer for 5 min, with agitation.

Table 3.8: Antibodies dilution buffer and dilution ratio

Antibody	Dilution Buffer	Dilution
GAPDH (14C10) Rabbit mAb	5% w/v BSA, 1×TBS, 0.1% Tween 20	1:10,000
BCL-XL (54H6) Rabbit mAb	5% w/v nonfat dry milk, 1×TBS, 0.1% Tween 20	1:1,000
AKT (pan) (C67E7) Rabbit mAb	5% w/v BSA, 1×TBS, 0.1% Tween 20	1:1,000
SMAD2 (86F7) Rabbit mAb	5% w/v nonfat dry milk, 1×TBS, 0.1% Tween 20	1:1,000
Anti-rabbit IgG, HRP-linked	5% w/v BSA, 1×TBS, 0.1% Tween 20	1:1,000
Anti-biotin, HRP-linked	5% w/v BSA, 1×TBS, 0.1% Tween 20	1:1,000

3.6.7 Exposure of membrane using charged couple device (CCD) camera

Western Bright Quantum (Advansta, USA) is a high-sensitivity substrate that reacts with horseradish peroxidase (HRP) conjugated to the secondary antibodies on the membrane by releasing chemiluminescence signal. The kit utilized contained two solutions and the working solution was prepared by mixing equal parts of each component. The membrane was incubated in the working solution for 2 min and the excess substrate on the membrane was blotted away. Bands were then visualized under a CCD camera using a chemiluminescent imaging system, the Fusion FX7 system (Vilber Lourmat, France) and quantified using the ImageJ v1.48 Analyst software (National Institutes of Health, USA) with band intensities normalized to GAPDH.

3.7 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) cell viability assay

Cells were harvested by trypsinization and centrifugation, and then re-suspended with appropriate culture medium. Using a dye exclusion viability assay, viable cells were counted and 1.0×10^4 cells in 100 μ L of medium were plated in triplicates onto a 96-well microtiter plate (Thermo Fisher Scientific, USA). The plate was incubated at 37°C overnight in a CO₂ incubator to allow for cell attachment to the well surface. Commencement of siRNA transfection was carried out at 100.0 nM at various incubation periods (12 h, 24 h and 48 h). Wells containing Stealth RNAi™ siRNA Negative Control Low GC were used as negative controls and solvent controls using Opti-MEM® I Reduced Serum Medium and Lipofectamine™ 2000 Transfection Reagent were conducted to ensure that decrease in cell viability was not solvent induced. Wells containing cells at descending concentrations (10,000 cells, 5,000 cells, 2,500 cells, 1,250 cells and 0 cells) via a serial dilution was used to construct standard curves for quantification purposes.

Following incubation, 20.0 μ L MTT reagent (5.0 mg/ml) (Calbiochem, USA) was added to each well and incubated in the dark at 37°C for 1 h. Periodically cells were viewed under an inverted microscope for the presence of purple formazon crystals at the bottom of each well. When the purple formazon crystals were clearly visible under the microscope, the media containing MTT reagent was aspirated and 200.0 μ L of dimethyl sulfoxide (DMSO) (Merck, Germany) was added to dissolve the purple formazon precipitates. After a few minutes at room temperature, to allow for the complete color stabilization of the formazon compound, results were obtained using a microtiter plate reader (Tecan Sunrise[®], Switzerland) at 570 nM absorbance wavelength and 650 nM reference wavelength. The results were then quantified using the Magellan Version 6.3 (Tecan, Switzerland) software.

3.8 Annexin V-FITC apoptosis detection assay

The FITC annexin V apoptosis detection kit (BD Biosciences, USA) was used to quantitatively determine the percentage of cells within the population that are actively undergoing apoptosis. The kit was made up of three components: 10 \times Annexin V Binding Buffer, FITC Annexin V and Propidium Iodide (PI) Staining Solution.

At 72 h post-transfection media from the wells was transferred to a 15 ml Falcon tube and placed on ice. This media contains cells that have become detached from the wells during the cell death process. The remaining adherent living cells in the wells was then gently washed with 1.0 ml 1 \times PBS. 1.0 ml 0.25% trypsin EDTA was added and the plate incubated for 5 min at 37°C or until the cells appear to be detached when evaluated under the microscope. 1.0 ml appropriate media, containing 10.0% FBS, was added to deactivate trypsin. Cells were then resuspended in the culture medium that was previously transferred into the Falcon tube and centrifuged at 1,500 RPM for 10 min. The supernatant was discarded and 500.0 μ L of cold PBS was added and the tube

centrifuged at 3,263 RPM for 5 min.

PBS was removed from the tubes and 100.0 μ L cold $1 \times$ binding buffer was added followed by 5 μ L of FITC annexin V and 5 μ L propidium iodide. The samples were gently vortexed and incubated for 15 min at room temperature in the dark. Another 400.0 μ L of $1 \times$ binding buffer was added to each sample and signals were detected from 1.0×10^4 cell population using the BD FACSCanto™ II flow cytometer (BD Biosciences, USA) and examined on the BD FACSDiva™ (BD Biosciences, USA) software.

3.9 Detection of caspase 3/7 activation

The Caspase-Glo® 3/7 assay (Promega, USA) is a homogeneous, luminescent assay that measures the activities of caspase 3 and 7, which play key effector roles in apoptosis. The assay contains two components, the Caspase-Glo® 3/7 buffer and the Caspase-Glo® 3/7 substrate (lyophilized). Prior to starting the experiment, the Caspase-Glo® 3/7 buffer and Caspase-Glo® 3/7 substrate was equilibrated to room temperature and the contents of the Caspase-Glo® buffer bottle was transferred to the amber bottle containing Caspase-Glo® 3/7 substrate. The contents were mixed by swirling and inverting until the substrate is thoroughly dissolved to form Caspase-Glo® 3/7 reagent.

48 h post-transfection cells were harvested by trypsinization and centrifugation, and then re-suspended in a 1:1 ratio of 50.0 μ L $1 \times$ PBS and 50 μ L of Caspase-Glo® 3/7 reagent. Samples were incubated at room temperature for 1 h in the dark and luminescence was then detected using the GloMax Multi Luminescence Multimode Reader (Promega, USA).

3.10 Cell cycle analysis

Cell-cycle analysis was performed by flow cytometry using the BD Cycletest™ Plus DNA Kit Assay (BD Biosciences, USA) 48 h post-transfection. This kit contains 3 solutions: Solution A, which contains trypsin in a spermine tetrahydrochloride detergent buffer for the enzymatic disaggregation of solid tissue fragments and digestion of cell membranes and cytoskeletons; Solution B, which contains trypsin inhibitor and ribonuclease A in citrate-stabilizing buffer with spermine tetrahydrochloride to inhibit trypsin activity and to digest RNA; and Solution C which contains PI and spermine tetrahydrochloride in citrate stabilizing buffer.

48 h post-transfection cells were harvested by trypsinization and centrifugation, and then resuspended in 1.0 ml $1 \times$ PBS before being centrifuged again at $400 \times g$ for 5 min. The supernatant was decanted and 250.0 μ L Solution A was added and gently mixed by tapping. Samples were incubated at room temperature for 10 min, followed by addition of 200.0 μ L of Solution B and incubated a further 10 min at room temperature. Lastly, 200.0 μ L of cold Solution C was added and samples were incubated on ice for 10 min. Signals were detected from 1.0×10^4 cell population using the BD FACSCanto™ II flow cytometer (BD Biosciences, USA) and examined on the BD FACSDiva™ (BD Biosciences, USA) software. Results were analyzed using the ModFit LT v3.2.1 (Verity Software House, USA) and the percentage of cells in G_0/G_1 , S, and G_2/M phase were counted and compared.

3.11 Quantification of miRNA expression using reverse transcription-quantitative real-time polymerase chain reaction (RT-qPCR) using TaqMan[®] MicroRNA Assays

The primers used for RTq-PCR was obtained from Applied Biosystems, USA (Table 3.9).

Table 3.9: TaqMan[®] MicroRNA Assays used for qRT-PCR

Assay ID	Accession Number	Assay Name
000480	MIMAT0000256	hsa-miR-181a
001998	MIMAT0003886	hsa-miR-769-5p
000554	MIMAT0000703	hsa-miR-361-5p
002874	MIMAT0005892	hsa-miR-1304-5p
001571	MIMAT0003276	hsa-miR-608
001093	-	U6

3.11.1 Reverse transcription polymerase chain reaction (RT-PCR)

RT-PCR was performed using the TaqMan[®] MicroRNA Reverse Transcription Kit (Applied Biosystems, USA) according to a modified version of the manufacturer's protocol. The components of the kit were first allowed to thaw on ice. The RT master mix was then prepared by combining the components listed in Table 3.10.

Table 3.10: Kit components used to prepare RT master mix

Component	Master mix volume per 10 μ L reaction*
100 nM dNTPs (with dTTP)	0.10 μ L
MultiScribe [™] Reverse Transcriptase, 50 U/ μ L	0.67 μ L
10 \times Reverse Transcription Buffer	1.00 μ L
RNase Inhibitor 20 U/ μ L	0.13 μ L
Nuclease-free water	2.78 μ L
Total Volume	4.68 μL

* Each 10 μ L RT reaction consists of 4.68 μ L master mix, 2.00 μ L of 5 \times RT primer, and 3.30 μ L RNA samples.

The components were mixed gently and centrifuged to bring solution to the bottom of the tube. The RT master mix was then placed on ice until the RNA reaction was prepared.

The 5 × RT primer and RNA template was thawed on ice. The RT primer tubes were then vortexed to mix and then briefly centrifuged. For each 10.0 µL RT reaction, the RT master mix was combined with 10.0 ng of total RNA in the ratio of 4.68 µL RT master mix : 3.30 µL total RNA. The mixture was mixed gently, and centrifuged briefly to bring the solution to the bottom of the tube. 2.0 µL RT primer from each assay set was added to the corresponding RT reaction tube. The reaction tube was sealed and mixed gently, followed by a brief centrifugation. The reactions were incubated on ice for 5 minutes and then loaded into the thermal cycler, and run according to the following conditions (Table 3.11):

Table 3.11: Thermal cycler conditions for cDNA synthesis

Time	Temperature
30 minutes	16°C
30 minutes	42°C
5 minutes	85°C
∞	4°C

3.11.2 Quantitative real-time PCR (qPCR)

The following components (Table 3.12) were placed on ice and gently mixed. The volumes required, based upon the number of reactions and a reaction volume of 10 µL was calculated.

Table 3.12: Components used to prepare qPCR master mix

Component	Master mix volume per 10 µL reaction
TaqMan [®] Fast Advanced PCR Master Mix	5.00 µL
Nuclease-free water	3.84 µL
TaqMan [®] MicroRNA Assay (20)	0.50 µL
Product from RT reaction	0.67 µL
Total Volume	10.01 µL

The reaction components were combined in a microcentrifuge tube and gently mixed by inversion and then centrifugation. 10.0 µL was transferred into low-profile microcentrifuge tubes and the tubes were sealed and briefly centrifuged. The reactions

were then loaded into the real-time PCR instrument, and run according to the following conditions (Table 3.13).

Table 3.13: Real-time PCR instrument conditions for qPCR

Step	Optional AmpErase UNG Activity	Enzyme Activation	PCR	
	HOLD	HOLD	CYCLE (40 Cycles) Denature	Anneal/Extend
Temperature	50°C	95°C	95°C	60°C
Time	2 min	10 min	15 sec	60 sec

3.12 Transfection of mimics/ hairpin inhibitors

miRIDIAN miRNA mimics and hairpin inhibitors were purchased from GE Healthcare Dharmacon, USA (Table 3.14). MiRNA mimics were designed as double-stranded oligonucleotides to mimic the function of endogenous mature miRNA, whereas miRNA hairpin inhibitors are RNA oligonucleotides with novel secondary structures that are designed to inhibit the function of endogenous miRNA. Negative control sequences were based on *Caenorhabditis elegans* (*C. elegans*) miRNA (cel-miR-67) and have minimal sequence identity in humans, mouse and rats. The miRIDIAN microRNA mimic and inhibitor transfection control with Dy547 are also based on *C. elegans* miRNA cel-miR-67, and were used as a transfection control for monitoring delivery into the cells.

Table 3.14: Mature miRNA accession number and sequences

ID	Accession Number	Sequence
Hsa-miR-769-5p	MIMAT0003886	UGAGACCUCUGGGUUCUGAGCU
Hsa-miR-361-5p	MIMAT0000703	UUAUCAGAAUCUCCAGGGGUAC
Hsa-miR-1304-5p	MIMAT0005892	UUUGAGGCUACAGUGAGAUGUG
Hsa miR-608	MIMAT0003276	AGGGGUGGUGUUGGGACAGCUCCGU
Cel-miR-67	MIMAT0000039	UCACAACCUCCUAGAAAGAGUAGA

One day prior to transfection, 1.0×10^6 cells were plated in 5.0 ml of appropriate medium, without antibodies, in a T-25 cm² culture flask. A 20.0 μM miRNA stock solution in 1 × siRNA buffer (GE Healthcare Dharmacon, USA) was prepared. In separate tubes, 20.0 μL of 20.0 μM miRNA was diluted in 480.0 μL serum-free medium (Tube 1) to prepare the final concentration of 80.0 nM, and 6.0 μL DharmaFECT 1 Transfection Reagent (GE Healthcare Dharmacon, USA) was diluted in 494.0 μL serum free medium (Tube 2). The contents of each tube was gently mixed by pipetting carefully up and down, and incubated for 5 min at room temperature. After incubation, the contents of Tube 1 was added to Tube 2 and mixed gently by pipetting up and down. The combined solution was incubated for 20 min at room temperature to form the transfection mix. 4.0 ml complete medium was added to the transfection mix for the desired transfection volume. The culture medium from the T-25 cm² culture flask was removed and 5.0 ml of the appropriate transfection mix was added to the flask. The flask was then incubated at 37°C in 5% CO₂ for 24 h. If cell toxicity was observed after 24 h, the transfection medium was replaced with complete medium and incubation continued. Transfection efficiencies were assessed 24 h post-transfection by visualizing uptake of miRIDIAN MicroRNA Mimic/ Hairpin Inhibitor Transfection Control with Dy547 using fluorescence microscopy. For mRNA analysis, 24 h post-transfection, total RNA was isolated using miRNeasy[®] Mini Kit (Section 3.3.2) and analyzed using the ScreenTape R6K on the Agilent 2200 TapeStation (Section 3.4.2). RT-qPCR analysis of miRNA expression was carried out using TaqMan[®] MicroRNA Assays (Section 3.11). Expressions of miRNAs were normalized to the expression of the U6 small nuclear RNA. The $2^{-\Delta\Delta C_t}$ method was used to determine relative quantitation of miRNA, and fold difference relative to scrambled negative controls was determined as $\text{Log}_2(2^{-\Delta\Delta C_t})$. The detection of caspase 3/7 activity was performed 48 h post-transfection using the Caspase-Glo[®] 3/7 assay (Section 3.9) while apoptosis was detected 72 h post-

transfection using the FITC annexin V apoptosis detection kit (Section 3.8), as described previously. Cell cycle analysis was also carried out 48 h post-transfection using the BD Cycletest™ Plus DNA Kit Assay (Section 3.10).

3.13 Combined transfection with si*BCL-XL* and miR-608 inhibitors or miR-361-5p mimics

To observe the connection between *BCL-XL*, miR-608, miR-361-5p and cell death, cells were plated for 24 h prior to transfection with 100.0 nM siRNA 1, as described in Section 3.2. 24 h post-transfection, spent media was removed and cells were transfected with 80.0 nM of either miR-608 hairpin inhibitor, miR-361-5p mimic, or their appropriate negative controls, as described in Section 3.12. The detection of caspase 3/7 activity was performed 48 h post-transfection using the Caspase-Glo® 3/7 assay (Section 3.9), while apoptosis was detected 72 h post-transfection using the FITC annexin V apoptosis detection kit (Section 3.8) as described previously.

3.14 Zebrafish care and use

Experiments involving zebrafish were approved by the University of Malaya, Faculty of Medicine, Institutional Care of Use Committee (FOM, IACUC) (Ethics reference number: 2015-181006/IBS/R/NO) and complied with all relevant animal welfare laws, guidelines and policies. Wild-type *Danio rerio* zebrafish adults were cared for and maintained in the Zebrafish Laboratory (Association for Assessment and Accreditation of Laboratory Animal Care (AAALAC) accredited), Department of Biomedical Science, Faculty of Medicine, University of Malaya, using standard husbandry conditions: 14 hours:10 hours light:dark cycle, regulated conductivity (500.0 µS), pH (7.0) and temperature (28°C), in a ZebTEC zebrafish housing system (Tecniplast, Italy). Adult zebrafish were fed daily, twice with Hikari dry food pellets and once with live *Artemia salina* (brine shrimp).

3.14.1 Zebrafish breeding

The evening prior to collection of eggs, random pairwise mating of zebrafish was performed. At a ratio of 2:1 female:male adult zebrafish were placed in a plastic tank with a breeding insert (Tecniplast, Italy) that has been marbled. Marbles are used to cover the bottom of the tank to prevent breeding fish from eating freshly laid eggs that sink between the marbles to safety. Adult zebrafish will initiate breeding behavior that results in the laying and fertilization of eggs, at the onset of the light cycle.

An hour after the onset of the light cycle, eggs were harvested and transferred to a clean 60 mm × 15 mm petri dish (Corning, NY, USA) containing system water. The eggs were rinsed with clean system water 3 to 6 times to remove any debris. Alternatively, the eggs are swirled around the petri dish and a Pasteur pipette was used to remove any remaining debris, as it swirls for a longer time around the dish. Once the eggs are cleaned, they were transferred into a clean petri dish filled with system water and incubated at 28.5°C. At 3.5 h post-fertilization (hpf), embryos were observed under a Leica EZ4 dissecting microscope (Leica Microsystems, Germany) for any dead or unhealthy embryos to be removed. Embryos were left to incubate and develop in system water containing methylene blue (Sigma Aldrich, USA), and transferred into system water with 75 µM 1-phenyl 2-thiourea (PTU) (Sigma Aldrich, USA) 1 day post-fertilization (dpf) to inhibit pigment formation. Embryos were again incubated at 28.5°C until they were used for experiments at 2 dpf.

3.15 *In vivo* apoptosis model

3.15.1 Cell staining

One day after A549 cells are transfected with miR-608 mimics / inhibitors, miR-361-5p mimics / inhibitors, and mimic and inhibitor negative controls in a 6-well plate, cells were labeled with 1,1'-Diiododecyl-3,3,3',3'-Tetramethylindocarbocyanine Perchlorate (Dil) dye, a lipophilic fluorescent tracking dye (red fluorescence; excitation: 549 nm; emission: 565nm) that is stable in live zebrafish for at least 2 weeks. Dil stock solution (20 mg/ml) was diluted at 1:1,000 in Dulbecco's phosphate-buffered saline (DPBS) with calcium and magnesium.

Spent media was removed from the wells and cells were washed with 2.0 ml of $1 \times$ DPBS. 2.0 ml Dil labeling solution was added to the wells and left to incubate for 30 min at 37°C in the dark. Following incubation, the medium containing detached labeled cells was collected and transferred to a 15 ml Falcon tube and centrifuged for $1,000 \times g$ for 3 min. Supernatant was discarded and cell pellet resuspended in 2.0 ml $1 \times$ DPBS and centrifuged for $1,000 \times g$ for 3 min. This process was repeated one more time. The cell pellet was then resuspended in 2.0 ml fresh complete medium and added back to the wells. The plate was then incubated overnight at 37°C in the CO₂ incubator.

3.15.2 Embryo preparation

Chorions of 2 dpf embryos were removed with sharp microsurgical forceps (Watchmaker #5) (Samco, UK). The embryos were held with one forcep and with the help of another pair of forceps a tear was gently made in the chorion and turned upside down so that the embryos fall out with the help of another pair of forceps. The embryos can be brought to the center of the dish for viewing by gently swirling the medium in a circular motion. The embryos were rinsed thoroughly in system water three times and transferred to fresh PTU water.

3.15.3 Embryo microinjection

Labeled transfected cells were harvested and resuspended in culture medium containing 1.0% FBS, at a density of 1.0×10^5 cells/ml. Cells were kept on ice and in the dark until time of injection. Dechorionated embryos were anaesthetized by transferring them into 10.0% benzocaine (Sigma Aldrich, USA) in system water for 2 min before aligning them onto a 1.0% (w/v) modified agarose gel plate. Excess water was removed with a Pasteur pipette.

A microloader (Eppendorf, Germany) was used to load 10.0 μ L cell suspension into a 20 μ m TransferTip (Eppendorf, Germany), which was connected to A FemtoJet Microinjector (Eppendorf, Germany). Using the InjectMan NI 2 Micromanipulator (Eppendorf, Germany) and the automated Leica M205 A stereo microscope (Leica Microsystems, Germany), the cell suspension was injected into the middle of the embryonic yolk sac region with constant injection pressure (P_i) (100 hpa), compensation pressure (P_c) (45 hpa) and injection time (t_i) (0.1 sec). The injection volume and cell suspension was calibrated to be approximately 100-200 cells/injection in each embryo. After transplantation, embryos were rinsed with system water and then transferred into fresh PTU water and placed at 37°C overnight.

3.15.4 Whole mount caspase 3 immunofluorescence

At 24 h post-injection (hpi), live zebrafish embryos were rinsed twice with $1 \times$ PBS with 0.1% Tween 20 (PBST, pH 7.0) for 5 min each. PBST was removed leaving liquid just above the embryos, and embryos were euthanized with an overdose of 10.0% benzocaine. Embryos were then fixed in 4.0% (w/v) paraformaldehyde (PFA) (Sigma Aldrich, USA) overnight at 4°C.

The following day, PFA was removed and embryos were washed twice in $1 \times$ PBST, 5 min each. 1.0 ml of ice-cold 100.0% methanol was added drop-wise to permeabilize the embryos, and left to incubate at -20°C for 2 h. Methanol was then removed and 1.0 ml $1 \times$ PBST with 0.3% Triton X (Scharlab, Spain) and 1.0% dimethyl sulfoxide (DMSO) (Merck, USA) (PDT) was added. PDT was removed and 1.0 ml fresh PDT was added and embryos were incubated for 30 min at room temperature, with gentle agitation. This step was repeated once. After the washes, PDT was removed and 500.0 μL of blocking buffer made of $1 \times$ PBST with 10.0% FBS and 2.0% BSA was added and embryos were incubated for 1 h at room temperature, with gentle agitation. Embryos were then stained with purified rabbit anti-active caspase 3 antibody (BD Biosciences, USA) (1:500 dilution) for 2 h at 25°C with gentle agitation, followed by two washes in PDT, 30 min each. Again embryos were incubated with blocking buffer for 1 h at room temperature, then stained with anti-rabbit IgG Fab2 Alexa Fluor 647 Conjugate (Cell Signaling Technologies, USA) (1:500 dilution) overnight at 4°C .

The following day, blocking buffer/antibody solution was removed and embryos were washed twice with PDT, 30 min each wash. Embryos were then visualized and imaged using the Leica confocal laser-scanning microscope SP5 II (Leica Microsystems, Germany), at low magnification ($5\times$) (excitation: 650 nm; emission: 665 nm), and Leica Application Suite (LAS) software v5.0 (Leica Microsystems, Germany). Fluorescence of activated caspase 3 was quantified using ImageJ v1.48 Analyst software. A fluorescence intensity threshold of 130-160 was set to eliminate background fluorescence and the “analyze measurement” tool was used to generate arbitrary fluorescence intensity measurements in the threshold area.

3.16 Bioinformatics analyses of miRNA gene targets

An *in silico* approach was used to identify the putative miRNA targets by using TargetScan Human v6.2 (Lewis *et al.*, 2005) (Whitehead Institute for Biomedical Research, USA), the database of conserved 3'UTR miRNA targets, found at <http://www.targetscan.org/>. Gene-annotation enrichment analyses of the predicted miRNA targets, with total context scores below 0, were then performed using the web tool Database for Annotation, Visualization and Integrated Discovery (DAVID) v6.7 (Huang *et al.*, 2009) (SAIC-Frederick, Inc., USA) at <http://david.abcc.ncifcrf.gov/summary.jsp> using default parameters. Data from TargetScan and DAVID were combined to generate a hypothetical pathway of the relationship between the miRNAs and their gene targets.

3.17 Construction of wild type 3'-UTR dual luciferase reporter plasmid

3.17.1 Preparation of Insert DNA (3'-UTR)

3.17.1.1 Primer design

The miRNA 3'UTR target sites of the gene of interest and the *Homo sapiens* 3'UTR was blasted against National Center for Biotechnology Information (NCBI) RefSeq RNA database using the Nucleotide Basic Local Alignment Search Tool (Blastn Suite) (National Center for Biotechnology Information, USA) to obtain the full length mRNA sequence. Forward and reverse primers were then designed using NCBI Primer-Blast by default parameters (Table 3.15).

Table 3.15: Primers used for PCR amplification of genes

Primer Name		Sequence	Length
AKT2	Forward	5' - ATA CTC GAG GGG ATT AAA ACC TGA ATC TCC AAC CG - 3'	33
3'UTR	Reverse	5' - TAC GCT AGC TGT ACT TCG ATG ATG AAT TTA CCG CC - 3'	31
SMAD2	Forward	5' - ATA TTC TCG AGA CTC GAG CAG AAC AGA CTG GG- 3'	32
3'UTR	Reverse	5' - TAA TTG CTA GCT GCC CTA AAG TGC CTG GGA TT- 3'	32
Luc_F		GAT CGC CGT GTA ATT CTA GTT GTT T	25
SV40_R		CTT CCT TTC GGG CTT TGT TAG C	22

3.17.1.2 Complementary DNA (cDNA) synthesis

First strand cDNA was synthesized for use in PCR using the RevertAid H Minus First Strand cDNA Synthesis Kit (Thermo Fisher Scientific, USA). After thawing, the components of the kit were mixed, briefly centrifuged and stored on ice. The following reagents were then added into a sterile, nuclease-free tube on ice (Table 3.16). The mixture was incubated at 65.0°C for 5 minutes, centrifuged briefly and placed on ice.

Table 3.16: Kit components used to prepare First Strand cDNA synthesis mix

Component	Volume
Template RNA (Total RNA – 5 µg/ 20µL)	5 µg
Primer (Gene specific primer – 2.0 µm)	4 µL
Nuclease-free H ₂ O	to 12 ul
Total Volume	12 ul

The following components were then added according to the volumes listed below in Table 3.17. The contents of the tube were gently mixed and centrifuged. The reactions were then loaded into the thermal cycler and run according to the following conditions (Table 3.18).

Table 3.17: Kit components used to prepare First Strand cDNA synthesis mix

Component	Volume
5× Reaction Buffer	4 µL
RiboLock RNase Inhibitor (20 U/µL)	1 µL
10 mM dNTP Mix	2 µL
RevertAid H Minus M-MuLV Reverse Transcriptase (200 U/µL)	1 µL
Total Volume	20 µL

Table 3.18: Thermal cycler conditions for cDNA synthesis

Time	Temperature
60 minutes	42°C
5 minutes	70°C
∞	4°C

3.17.1.3 Amplification by PCR

The product of the first strand cDNA synthesis was used directly in PCR. The Phusion Flash High Fidelity PCR Master Mix (Thermo Fisher Scientific, USA) was used to carry out PCR. For each PCR reaction the following components were added to a 0.2 ml microcentrifuge tube. The volumes for a single 20.0 μL reaction are listed in Table 3.19. For multiple reactions, a master mix of common components was prepared and the appropriate volume added to each tube, and the unique reaction components (eg. template) was then added. The reaction tube was centrifuged briefly and loaded into the thermal cycler using the conditions in Table 3.20.

Table 3.19: Kit components used to prepare PCR samples

Component	Volume
2 \times Phusion Flash PCR Master Mix	10 μL
Forward Primer (1.0 μM)	0.2 μL
Reverse Primer (1.0 μM)	0.2 μL
Template (cDNA)	2 μL
H ₂ O (Add up to 20 μL)	12.4 μL
Total Volume	20 μL

Table 3.20: PCR cycling conditions

Cycle Step	Temperature	Time	Cycles
Initial denaturation	98°C	10 sec.	1
Denaturation	98°C	1 sec.	30
Annealing	62.5°C	5 sec.	
Extension	72°C	23 sec.	
Final Extension	72°C	1 min.	1
	4°C	Hold	∞

3.17.1.4 Agarose gel electrophoresis

To prepare 1.0% agarose gel, 1.0 g of agarose powder (Sigma Aldrich, USA) was poured into a microwavable flask along with 100.0 ml of 1 \times Tris base/ acetic acid/ EDTA (TAE) buffer (Thermo Fisher Scientific, USA). The flask was microwaved for 3 min (until the agarose is completely dissolved and has a nice rolling boil). The agarose solution was cooled down for 5 min and 1.5 μL of RedSafe Nucleic Acid Staining

Solution (20,000×) (iNtRON Biotechnology, South Korea) was added. Gel was poured into the casting tray with the well comb in place, and left to sit at room temperature for 20-30 min or until it has completely solidified.

10.0 µL of DNA sample was mixed with 1.0 µL of Blue/Orange 6× loading dye (Promega, USA). Once solidified, the gel was placed into the BG-Submidi Submarine Unit (BayGene, China) and the tank filled with 1 × TAE until the gel was covered. 5.0 µL of O'GeneRuler 1 kb DNA ladder (Thermo Fisher Scientific, USA) was loaded into the first lane of the gel and the samples were loaded into the additional wells of the gel (DNA ladder sizes are listed in Appendix B).

After DNA samples were loaded, the lid was assembled onto the electrophoresis chamber so that the DNA can be migrated towards the positive lead. The electric source was provided by Power Supply-PowerPac (Bio-Rad, USA). Gel electrophoresis was run at 80 volts and 400 mA of free running current for approximately 60 min or until the dye line is approximately 75.0-80.0% of the way down the gel. The gel was then visualized under UV transillumination and analyzed by the Imager Kit Digital, AlphaImager™ 2000 (Alpha Innotech, USA) at 302 nm wavelength.

3.17.1.5 DNA purification from gel using QIAquick Gel Extraction Kit (Qiagen, USA)

PCR products were purified from the gel using the QIAquick Gel Extraction Kit. This kit contains three components: Buffer QG, Buffer PE and Buffer EB. The DNA fragment was excised from the agarose gel using a clean, sharp scalpel. The gel slice was weighed in a colourless tube and 3 volumes of Buffer QG was added to 1 volume of gel (100.0 mg gel ~ 100.0 µL). The maximum amount of gel per spin column is 400.0 mg. For > 2% agarose gels, 6 volumes of Buffer QG was added. The tubes were incubated at 50°C for 10 min (or until the gel has completely dissolved). The tubes were

vortexed every 2-3 min to help dissolve the gel. Once the gel slice was dissolved completely, the colour of the mixture was checked to ensure it was yellow. If the colour of the mixture is orange or violet, 10.0 μL of 3 M sodium acetate, pH 5.0, was added to the mix and the mixture would turn yellow. 1 volume of isopropanol was added to the sample and mixed. A QIAquick spin column was placed in a provided 2.0 ml collection tube and 800.0 μL of the sample was applied to the QIAquick column and centrifuged for 1 min to bind the DNA. The flow-through was discarded and the QIAquick column was placed back into the same tube. This step was repeated for the remainder of the sample. 500.0 μL of Buffer QG was added to the column and the tube centrifuged for 1 min. The flow-through was discarded and the QIAquick column placed back into the same tube. To wash the sample, 750.0 μL Buffer PE was added to the QIAquick column, left to stand for 3 min at room temperature and then centrifuged for 1 min. The flow-through was discarded and the QIAquick column placed back into the same tube. The QIAquick column with its 2.0 ml collection tube was then centrifuged at full speed for 2 min to remove residual wash buffer. The QIAquick column was then placed into a clean 1.5 ml microcentrifuge tube and the DNA eluted by adding 50 μL of Buffer EB (10 mM Tris Cl, pH 8.5) to the center of the QIAquick membrane and centrifuged for 1 min. After the addition of elution buffer to the QIAquick membrane, increasing the incubation time up to 4 min can increase the yield of purified DNA. If the purified DNA was to be analyzed on a gel, 1 volume of Loading Dye was added to 5 volumes of purified DNA. The solution was pipetted up and down before loading onto the gel.

3.17.2 Preparation of vector DNA (pmirGLO Dual-Luciferase miRNA Expression Vector (Promega, USA))

3.17.2.1 pmirGLO Dual-Luciferase miRNA Expression Vector propagation in *E. coli* competent cells JM109 (Promega, USA)

Ten 1.0 ml centrifuge tubes were chilled on ice. Frozen competent cells were removed from -80°C and placed on ice for 5 min, or until just thawed. Once thawed, the JM109 competent cells were pipetted quickly to prevent warming above 4°C. The thawed cells were gently mixed by flicking, and 100.0 µL was transferred to each chilled tube. While moving the pipette tip through the competent cells, 50 ng of DNA was dispersed into each tube. Tubes were immediately returned to ice for 10 min, after which the cells were heat shocked at 42°C exactly in a water bath. Following this, tubes were immediately placed back on ice for 10 min. 900 µL of ice-cold lysogeny broth (LB) (Sigma Aldrich, USA)/ampicillin (Thermo Fisher Scientific) medium was added to each transformation reaction and incubated for 1 h at 37°C in an incubator shaker (BioSan, Latvia) (225 RPM). For each transformation reaction the cells were diluted 1:10, 1:100 and 100.0 µL undiluted cells on LB/ampicillin agar plates. The plates were then incubated for 12-24 h at 37°C.

3.17.2.2 Purification of pmirGLO Dual-Luciferase miRNA Target Vector from *E. coli* culture using PureYield Plasmid Miniprep System (Promega, USA)

The PureYield Plasmid Miniprep System contains 5 components: the Cell Lysis Buffer (CLC), Neutralization Solution (NSC), Endotoxin Removal Wash (ERB), Column Wash Solution (CWC) and Elution Buffer (EBB).

A single, well-isolated colony from a fresh LB/ampicillin agar plate was chosen, and used to inoculate 10.0 ml of LB broth containing 10% ampicillin. The inoculated broth was incubated overnight (12-18 h) at 37°C. 3.0 ml of bacterial culture growth in

LB broth was transferred to a 15 ml Falcon tube and spun down. The supernatant was discarded and 600.0 μ L Tris/EDTA (TE) buffer was added to the cell pellet and resuspended by vortexing. Contents were transferred to a 1.5 ml centrifuge tube and 100.0 μ L CLC was added. The tube was mixed by inverting the tube 6 times. The solution changes from opaque to clear blue, indicating complete lysis. Experiment was proceeded to the next step within 2 min as excessive lysis will result in denatured plasmid DNA. 350.0 μ L ice-cold NSC was added, and mixed thoroughly by inverting the tube. The sample will turn yellow when neutralization is complete and a yellow precipitate will form. The tube was inverted an additional 3 times to ensure complete neutralization. The tube was then centrifuged at maximum speed for 3 min. Supernatant (\approx 900.0 μ L) was transferred to a PureYield minicolumn placed in a PureYield collection tube. The column was centrifuged at maximum speed for 15 sec. The flow-through was discarded and the minicolumn placed back into the same PureYield collection tube. 200.0 μ L ERB was added to the minicolumn and centrifuged at maximum speed for 15 sec. 400.0 μ L CWC was then added to the minicolumn and centrifuged at maximum speed for 30 sec. The minicolumn was transferred to a clean 1.5 ml microcentrifuge tube and 30.0 μ L EBB was added directly to the minicolumn matrix and left to stand at room temperature for 1 min. The column was then centrifuged at maximum speed for 15 sec. The eluted plasmid DNA was stored at -20°C.

3.17.3 Cloning

The insert DNA (3'UTR) was cloned into 3' end of the pmirGLO Dual-Luciferase miRNA Target Vector using restriction enzyme pairs SacI / SalI by a third party vendor, First BASE Laboratories Sdn Bhd, Malaysia. All constructs were verified by sequencing, also performed by the outside vendor. Sequencing results were analyzed using the chromatogram viewer Geospiza's Finch TV (PerkinElmer, USA) and the full

sequence of the insert DNA and pmirGLO/gene constructs was analyzed and compared to sequences in the Human Standard Nucleotide BLAST (National Center for Biotechnology Information, USA) (Appendix C and Appendix D).

3.18 Construction of mutated 3'-UTR pmirGLO Dual Luciferase Reporter Plasmid

To create mutations in the miRNA binding sequence, the cloned pmirGLO/gene constructs were submitted to a third party vendor, First BASE Laboratories Sdn. Bhd, Malaysia, to undergo their site-directed mutagenesis service. All mutated constructs were verified by sequencing, also performed by the outside vendor.

3.19 Dual Luciferase Reporter Assay System (Promega, USA)

Luciferase activity, indicative of translation from the plasmid, was assayed using the Dual Luciferase Reporter Assay System. One day prior to transfection, 1.5×10^5 cells were plated in 2.0 ml of appropriate medium in a 6-well plate. Cells were co-transfected with 80.0 nM of miRNA mimics / inhibitors or their corresponding negative controls (as described in Section 3.12) and 40.0 ng of wildtype or mutated 3'UTR plasmids.

For plasmid transfection, in separate tubes, 0.8 μ L of 50.0 ng/ μ L plasmid stock solution was diluted in 199.2 μ L serum-free culture medium (Tube 1) to prepare a final concentration of 40.0 ng, and 2.0 μ L of DharmaFECT 1 transfection reagent was diluted in 198.0 μ L serum-free culture medium (Tube 2). The contents of each tube was gently mixed and incubated for 5 min at room temperature. After the 5 min incubation, the contents of Tube 1 was added to Tube 2 and mixed gently by pipetting up and down. The combined solution was incubated for 20 min at room temperature to form the transfection mix. 1.6 ml of complete medium was added to the mixed solution for the desired transfection volume. The culture medium from the 6-well plate was removed and 2 ml of the appropriate transfection mix was added to the flask.

At 24 h post-transfection spent media was removed, cells washed with PBS, and fresh media added back into the wells. The plates were then placed back into the CO₂ incubator for another 24 h. Luciferase activity was detected 48 h post-transfection using the Dual Luciferase Reporter Assay System according to manufacturer's protocol.

Prior to starting the luciferase assay experiments, the content of Dual-Glo Luciferase Buffer was transferred to the bottle of Dual-Glo Luciferase substrate, to create the Dual-Glo Luciferase Reagent. The contents are mixed by inversion until the substrate was fully dissolved. Cells were harvested by trypsinization and centrifugation, and then resuspended in 50.0 µL 1× PBS and transferred to a 1.5 ml centrifuge tube. 50.0 µL Dual-Glo Luciferase Reagent was added and mixed by pipetting. The sample was left to incubate for 10 min and then firefly luminescence was measured using the Glomax Multi - Luminescence Multimode Reader (Promega, USA). 50.0 µL Dual-Glo Stop & Glo Reagent was then added and mixed by pipetting. The sample was incubated for 10 min and then *Renilla* luminescence was measured. The ratio of luminescence from the firefly luciferase reporter to luminescence from the *Renilla* luciferase reporter was calculated. This ratio was normalized to the ratio of the control samples that are treated consistently. This normalization provides optimal and consistent results from the Dual-Glo Luciferase Assay System. *Renilla* luciferase was used as an internal control due to its constitutive expression.

3.20 Gene rescue experiments

3.20.1 Gene silencing using siRNAs

Silencing of the *AKT2* gene was performed using a set of three unique 27 mer siRNA duplexes purchased from OriGene Technologies Inc., USA (Table 3.21). A universal scrambled negative control siRNA (siRNA NC) was used as a control. SiRNA duplexes, provided as 2.0 nM per vial, were reconstituted by resuspension in 100.0 µl of

1 × siRNA buffer (GE Healthcare Dharmacon, USA), yielding a final concentration of 20.0 μM. The duplex solutions were heated to 95°C for 2 min to ensure fully duplexed contents and allowed to cool to room temperature, prior to being stored at -20°C.

One day prior to transfection 1.0×10^6 cells were plated in 5 ml of appropriate medium, without antibodies, in a T-25 cm² culture flask. Cells were then transfected with 80 nM of miR-608 inhibitors or inhibitor NC as described in Section 3.12. 6 h post-transfection, spent media was removed and cells were washed with 1 × PBS before being transfected with 10.0 nM siRNA or siRNA NC.

In separate tubes 2.5 μL of 20.0 μM siRNA stock solution was diluted in 495.0 μL serum-free culture medium (Tube 1) to prepare a final concentration of 10.0 nM, and 6.0 μL of DharmaFECT 1 transfection reagent was diluted in 494.0 μL serum-free culture medium (Tube 2). The contents of each tube was gently mixed and incubated for 5 min at room temperature. After the 5 min incubation, the contents of Tube 1 was added to Tube 2 and mixed gently by pipetting up and down. The combined solution was incubated for 20 min at room temperature to form the transfection mix. 4.0 ml of complete medium was added to the mixed solution for the desired transfection volume. The culture medium from the T-25 cm² culture flask was removed and 5.0 ml of the appropriate transfection mix was added to the flask.

Transfected cells were incubated in 37°C in the CO₂ incubator prior to determination of gene rescue effects by functional assays. AKT2 protein levels were determined via western blot 72 h post-transfection (Section 3.6). The detection of caspase 3/7 activity was performed 48 h post-transfection using the Caspase-Glo[®] 3/7 assay (Section 3.9), while apoptosis was detected 72 h post-transfection using the FITC annexin V apoptosis detection kit (Section 3.8) as described previously.

Table 3.21: siRNA duplexes used for *AKT2* silencing

siRNA	Duplex Sequence
siRNA A	GCAUCAUAAAUUGGUAGUUUCCUGC
siRNA B	AGCGUGGUGAAUACAUCAAGACCTG
siRNA C	ACAGCAAAGCAGGAGUAUAAGAAAG

3.20.2 Gene overexpression using pCMV6 plasmids

The mammalian pCMV6/*SMAD2* plasmid was purchased from OriGene Technologies Inc., USA, and was designed to contain the cDNA open reading frame of *SMAD2* without its 3'UTR. The empty pCMV6 plasmid (OriGene Technologies Inc., USA) was used as a control plasmid. DNA plasmids, provided as dried plasmids, were reconstituted with 100.0 μ l nuclease free H₂O, yielding a final concentration of 10.0 μ g.

One day prior to transfection 1.0×10^6 cells were plated in 5.0 ml of appropriate medium, without antibodies, in a T-25 cm² culture flask. Cells were then transfected with 80.0 nM of miR-608 inhibitors or inhibitor NC as described in Section 3.12. 6 h post-transfection, spent media was removed and cells were washed with 1 \times PBS before being transfected with 50.0 ng pCMV/*SMAD2* or pCMV6 vectors.

In separate tubes 0.5 μ L of 10.0 μ g plasmid stock solution was diluted in 499.5 μ L serum free culture medium (Tube 1) to prepare a final concentration of 50.0 ng, and 6.0 μ L of DharmaFECT 1 transfection reagent was diluted in 494.0 μ L serum free culture medium (Tube 2). The contents of each tube was gently mixed and incubated for 5 min at room temperature. After the 5 min incubation, the contents of Tube 1 was added to Tube 2 and mixed gently by pipetting up and down. The combined solution was incubated for 20 min at room temperature to form the transfection mix. 4.0 ml of complete medium was added to the mixed solution for the desired transfection volume. The culture medium from the T-25 cm² culture flask was removed and 5.0 ml of the appropriate transfection mix was added to the flask.

Transfected cells were incubated in 37°C in the CO₂ incubator prior to determination of gene rescue effects by functional assays. SMAD2 protein levels were determined via western blot 72 h post-transfection (Section 3.6). The detection of caspase 3/7 activity was performed 48 h post-transfection using the Caspase-Glo[®] 3/7 assay (Section 3.9), while apoptosis was detected 72 h post-transfection using the FITC annexin V apoptosis detection kit (Section 3.8) as described previously.

3.21 Statistical analysis

All experiments were performed in triplicate independent experiments. All data were presented as mean \pm standard deviation. Student's *t*-test was used to determine the statistical significance of the difference between two groups of data, where a *p*-value of ≤ 0.05 was considered statistically significant. Analysis of statistical significance between three or more groups of data was performed using the one-way analysis of variance (ANOVA), followed by *post-hoc* Tukey test, where a *p*-value of ≤ 0.05 was considered statistically significant.

CHAPTER 4: RESULTS

4.1 Silencing of *BCL-XL* using siRNA-based transfection resulted in a reduction of SK-LU-1 cell viability and increased apoptosis

4.1.1 siRNA transfection efficiency in SK-LU-1 cells

To assess whether *BCL-XL* plays a similar role in the apoptotic properties of lung adenocarcinoma SK-LU-1 cells, as observed in the A549 cell line previously reported in my Masters study (Othman, 2012), the expression of *BCL-XL* in SK-LU-1 cells was first transiently silenced via transfection with Stealth RNAi™ siRNA Duplex Oligonucleotides. Visual monitoring of the uptake of 100.0 nM of BLOCK-iT™ Alexa Fluor® Red Fluorescent Oligo using fluorescence microscopy showed a satisfactory transfection efficiency of $\geq 80.0\%$ for samples treated with the BLOCK-iT™ Alexa Fluor® Red Fluorescent Oligo (Figure 4.1). The percentage of transfection efficiency shown is representative of mean values from independent triplicate experiments with mean \pm SD.

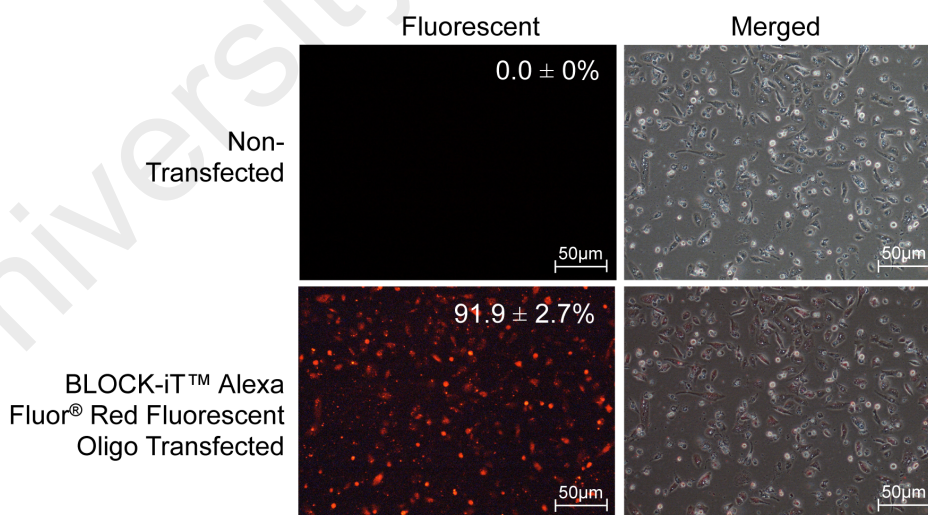


Figure 4.1: Visual monitoring of BLOCK-iT Alexa Fluor Red Fluorescent Oligo transfection efficiency. Fluorescent and merged images of SK-LU-1 cells transfected with 100 nM BLOCK-iT Alexa Fluor Red Fluorescent Oligo. Percentage of mean transfection efficiency \pm SD is indicated and all images shown are a representative of triplicate independent experiments.

4.1.2 Determination of siRNA silencing efficiency via qRT-PCR

To evaluate the silencing efficiency of the siRNAs, qRT-PCR was performed to allow for the evaluation of the *BCL-XL* expression in siRNA-transfected and non-transfected cells. Amongst the three siRNAs utilized, silencing efficiency of siRNA 1 indicated the highest negative fold induction of 4.03 ± 0.01 in SK-LU-1 cells (Figure 4.2 and Table 4.1) with a knockdown of $75.16 \pm 0.92\%$ in *BCL-XL* expression when compared with non-transfected cells (Table 4.1).

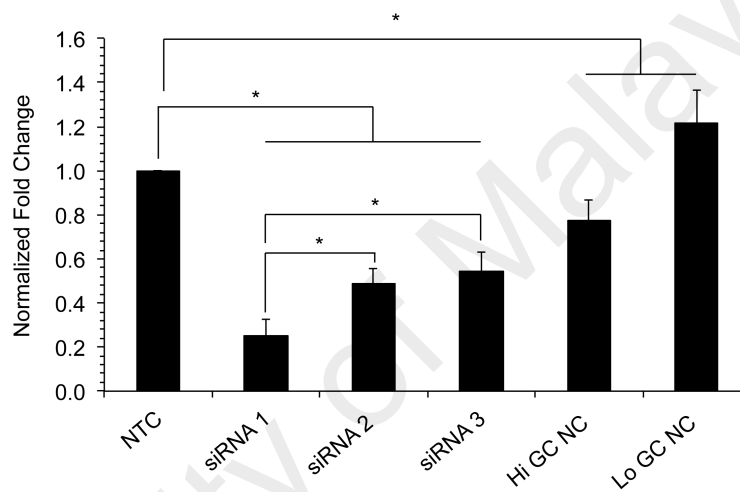


Figure 4.2: qRT-PCR analysis of *BCL-XL* normalized to endogenous β -actin expression in siRNA-transfected and non-transfected SK-LU-1 cells. Data presented as mean \pm SD, $n=3$, with significant differences denoted with * p -value ≤ 0.05 . NTC denotes non-transfected cells. Hi GC NC denotes high GC content scramble RNA negative control-transfected cells. Lo GC NC denotes low GC content scramble RNA negative control-transfected cells.

Table 4.1: Fold change and percentage knockdown of *BCL-XL* gene expression in siRNA-transfected SK-LU-1 cells in comparison to non-transfected cells. Data presented as mean \pm SD, $n=3$, with significant differences between siRNA-transfected cells and non-transfected cells denoted with p -value ≤ 0.05 . Hi GC NC denotes high GC content scramble RNA negative control-transfected cells. Lo GC NC denotes low GC content scramble RNA negative control-transfected cells.

Sample	Fold Change [†] \pm S.D.	p -Value	% Knockdown \pm SD	p -Value
siRNA 1	-4.03 ± 0.01	0.000	75.16 ± 0.92	0.000
siRNA 2	-2.07 ± 0.05	0.004	51.28 ± 5.43	0.004
siRNA 3	-1.85 ± 0.06	0.005	45.58 ± 5.83	0.005
Hi GC NC	-1.31 ± 0.11	0.047	22.58 ± 11.41	0.047
Lo GC NC	1.22 ± 0.07	0.013	-21.54 ± 6.74	0.013

[†] Negative values denote down-regulation, while positive values denote up-regulation

4.1.3 Reduced BCL-XL protein levels in response to siRNA silencing

Correspondingly, BCL-XL protein levels were decreased by $98.33 \pm 0.50\%$ in siRNA 1-transfected SK-LU-1 cells, as determined by densitometry analysis of western blot bands (Figure 4.3). As siRNA 1 (henceforth referred to as siBCL-XL) had the greatest silencing efficiency amongst the three siRNAs, it was selected for further downstream work.

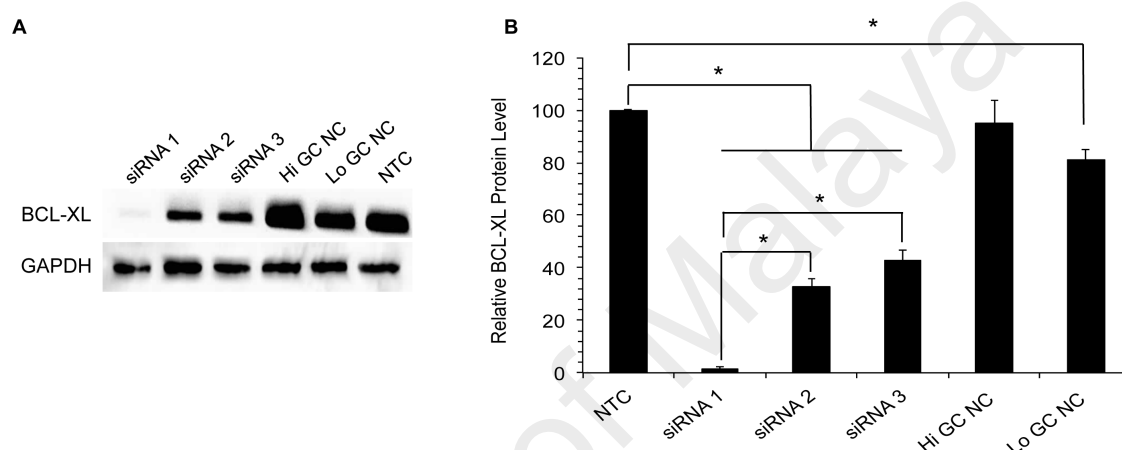


Figure 4.3: Quantification of BCL-XL protein levels in siRNA-transfected SK-LU-1 cells in comparison to non-transfected cells. **(A)** Western blot analysis of BCL-XL protein following siRNA transfection. **(B)** Densitometry analysis of BCL-XL bands using the ImageJ Analyst software. Results were standardized against GAPDH levels and presented as relative protein levels. Data presented as mean \pm SD, $n=3$, with statistically significant differences denoted with * p -value ≤ 0.05 . NTC denotes non-transfected cells. Hi GC NC denotes high GC content scramble RNA negative control-transfected cells. Lo GC NC denotes low GC content scramble RNA negative control-transfected cells.

4.1.4 Reduced viability and increased cell death in SK-LU-1 cells in response to *BCL-XL* silencing

To determine the biological effects of *BCL-XL* silencing on SK-LU-1 cells, MTT cell viability assay was performed and revealed that knockdown of *BCL-XL* resulted in a reduction of cell viability, 48 h post-transfection, in comparison to non-transfected SK-LU-1 cells (Figure 4.4). A comparison between non-transfected cells and mock-transfected cells (cells treated with transfection reagent only) did not disclose any changes in viability, hence ruling out toxicity effects of the transfection reagent (Table 4.2). A double fluorescence staining of annexin V-FITC conjugate and propidium iodide was then performed on si*BCL-XL*-transfected cells and non-transfected cells, and analyzed using a flow cytometer to determine if cell death was occurring through the process of apoptosis. After silencing of *BCL-XL* in SK-LU-1 cells, the population of cells indicated a shift from viable cells to early and late stage apoptosis with an increase of apoptosis to $18.54 \pm 1.62\%$ (Figure 4.5). Taken together, these results demonstrated that *BCL-XL* plays a similar role in the regulation of apoptosis in both lung adenocarcinoma cells, A549 and SK-LU-1.

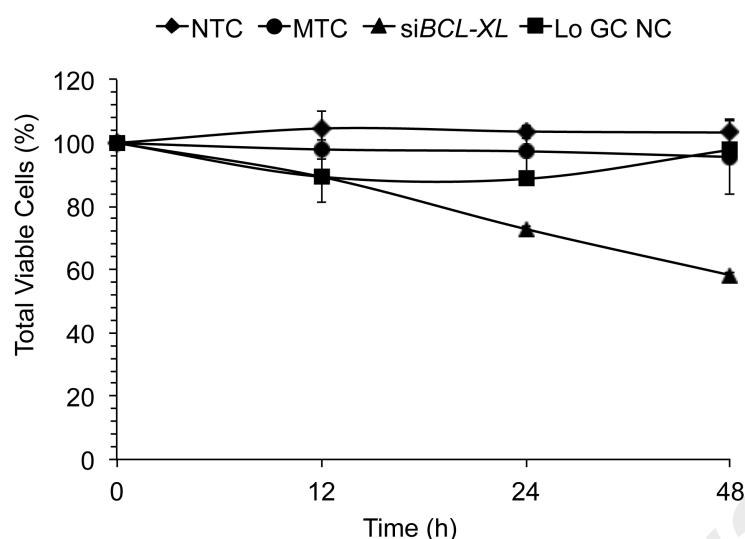


Figure 4.4: Cell viability analysis of *BCL-XL* silencing on SK-LU-1 cells over 48 h as observed using MTT assay. Data presented as mean \pm SD, $n=3$. NTC denotes non-transfected cells. MTC denotes mock-transfected cells. si*BCL-XL* denotes siRNA-transfected cells. Lo GC NC denotes low GC content scramble RNA negative control-transfected cells.

Table 4.2: Total cell viability levels (%) as obtained from MTT assays over 48 h. Data presented as mean \pm SD, $n=3$, with statistically significant differences in comparison to NTC denoted with p -value ≤ 0.05 . NTC denotes non-transfected cells. MTC denotes mock-transfected cells. si*BCL-XL* denotes siRNA-transfected cells. Lo GC NC denotes low GC content scramble RNA negative control-transfected cells.

Treatment	Time (h)	Viability (%) \pm SD	p -Value
NTC	12	104.57 \pm 5.61	-
	24	103.61 \pm 2.12	-
	48	103.29 \pm 3.69	-
MTC	12	97.95 \pm 3.03	0.306
	24	97.95 \pm 7.56	0.289
	48	95.58 \pm 11.97	0.250
si <i>BCL-XL</i>	12	89.29 \pm 8.06	0.009
	24	72.80 \pm 1.15	0.003
	48	58.22 \pm 0.98	0.002
Lo GC NC	12	89.31 \pm 0.92	0.123
	24	84.65 \pm 1.78	0.099
	48	97.81 \pm 2.02	0.011

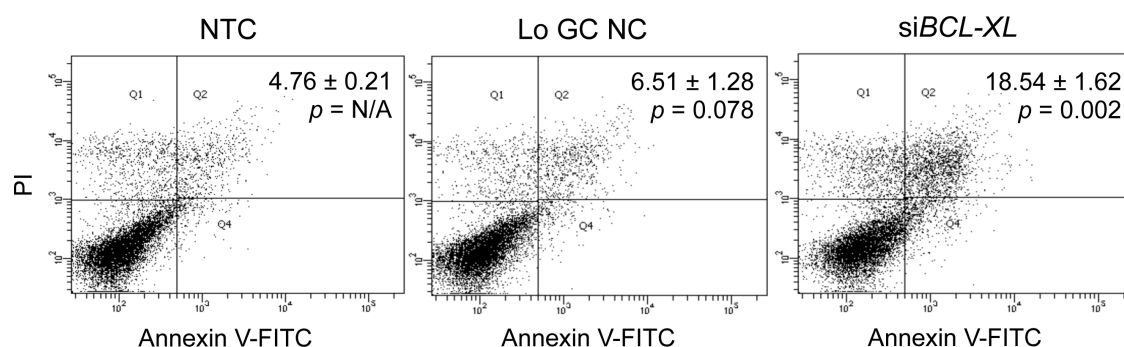


Figure 4.5: Detection of apoptosis 48 h post-*siBCL-XL* transfection using flow cytometry following annexin V-FITC/ propidium iodide (PI) staining. Viable cells are in the lower left quadrant, early apoptotic cells are in the lower right quadrant, late apoptotic cells are in the upper right quadrant and non-viable necrotic cells are in the upper left quadrant. Dot plots are representative of 1.0×10^4 cells from a single replicate with percentage of apoptosis indicated. Data presented as mean \pm SD, $n=3$, with statistically significant differences in comparison to NTC denoted with p -value ≤ 0.05 . NTC denotes non-transfected cells. Lo GC NC denotes low GC content scramble RNA negative control-transfected cells. *siBCL-XL* denotes siRNA-transfected cells.

4.2 Validation of candidate miRNA expression levels via RT-qPCR, identified to be dysregulated in A549 cells following *BCL-XL* silencing

In my previous Masters project, a global miRNA expression profile was established using miRNA microarray, which compared total RNA extracted from *siBCL-XL*-transfected and non-transfected A549 cells, and it was determined that miRNA expression changes occur in response to *BCL-XL* silencing. Ten miRNAs were significantly differentially expressed, of which seven miRNAs were down-regulated while three were up-regulated (Othman, 2012). To corroborate whether this dysregulation also occurs in SK-LU-1 cells, five representative differentially expressed miRNAs (miR-181a, miR-769-5p, miR-361-5p, miR-1304, and miR-608) were selected to undergo RT-qPCR validation based on highest fold change as well as putative targets as identified by the TargetScan web tool. RT-qPCR results confirmed that the same pattern of dysregulation was exhibited in both A549 and SK-LU-1 cells following *BCL-XL* silencing, with miR-181a, miR-769-5p, miR-361-5p, and miR-1304 being down-regulated, while miR-608 was up-regulated (Figure 4.6 and Table 4.3).

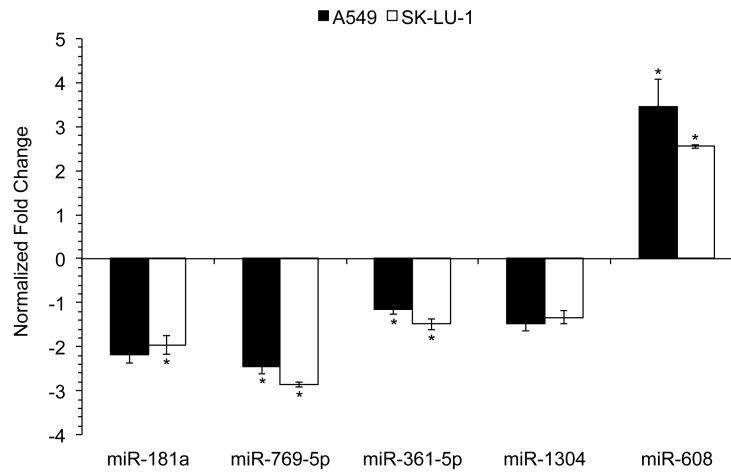


Figure 4.6: RT-qPCR validation of candidate miRNAs. RT-qPCR of the five candidate miRNAs (miR-181a, miR-769-5p, miR-361-5p, miR-1304, and miR-608) validated against A549 results, and presented as normalized fold change. Data presented as mean \pm SD, $n=3$, with statistically significant differences between *siBCL-XL*-transfected cells and non-transfected cells denoted with * p -value ≤ 0.05 .

Table 4.3: Fold change of candidate miRNA expression in *siBCL-XL*-transfected cells in comparison to non-transfected cells ($p \leq 0.05$).

MicroRNA	A549		SK-LU-1	
	Fold Change [†]	<i>p</i> -Value	Fold Change [†]	<i>p</i> -Value
miR-181a	-2.17 \pm 0.21	0.051	-1.97 \pm 0.21	0.004
miR-769-5p	-2.43 \pm 0.18	0.035	-2.84 \pm 0.06	0.000
miR-361-5p	-1.16 \pm 0.01	0.041	-1.50 \pm 0.13	0.007
miR-1304	-1.49 \pm 0.16	0.057	-1.33 \pm 0.16	0.068
miR-608	3.45 \pm 0.62	0.003	2.55 \pm 0.04	0.000

[†] Negative values denote down-regulation, while positive values denote up-regulation

4.3 Up-regulation of miR-608 expression and down-regulation of miR-361-5p expression increases cell death in A549 and SK-LU-1 cells

4.3.1 Determination of miRNA mimic and inhibitor transfection efficiency in A549 and SK-LU-1 cells

Of the five representative dysregulated miRNAs validated, miR-181a was found to be well studied and established to play a role in cancer progression; therefore further downstream work was focused on the four remaining miRNAs: miR-769-5p, miR-361-5p, miR-1304 and miR-608. Overexpression and knockdown studies were performed via transfection of miRNA mimics and inhibitors to determine the biological effects of these miRNAs. Monitoring of miRIDIAN miRNA Mimic and Inhibitor Transfection Control with Dy547 uptake using fluorescence microscopy showed a transfection efficiency of $\geq 80\%$ across all samples (Figure 4.7). Quantification of miRNA overexpression by RT-qPCR, post-transfection, indicated a positive fold change in A549 and SK-LU-1 transfected cells, when compared with cells transfected with scrambled negative controls (Figure 4.8 and Table 4.4), confirming successful transfection of miRNAs.

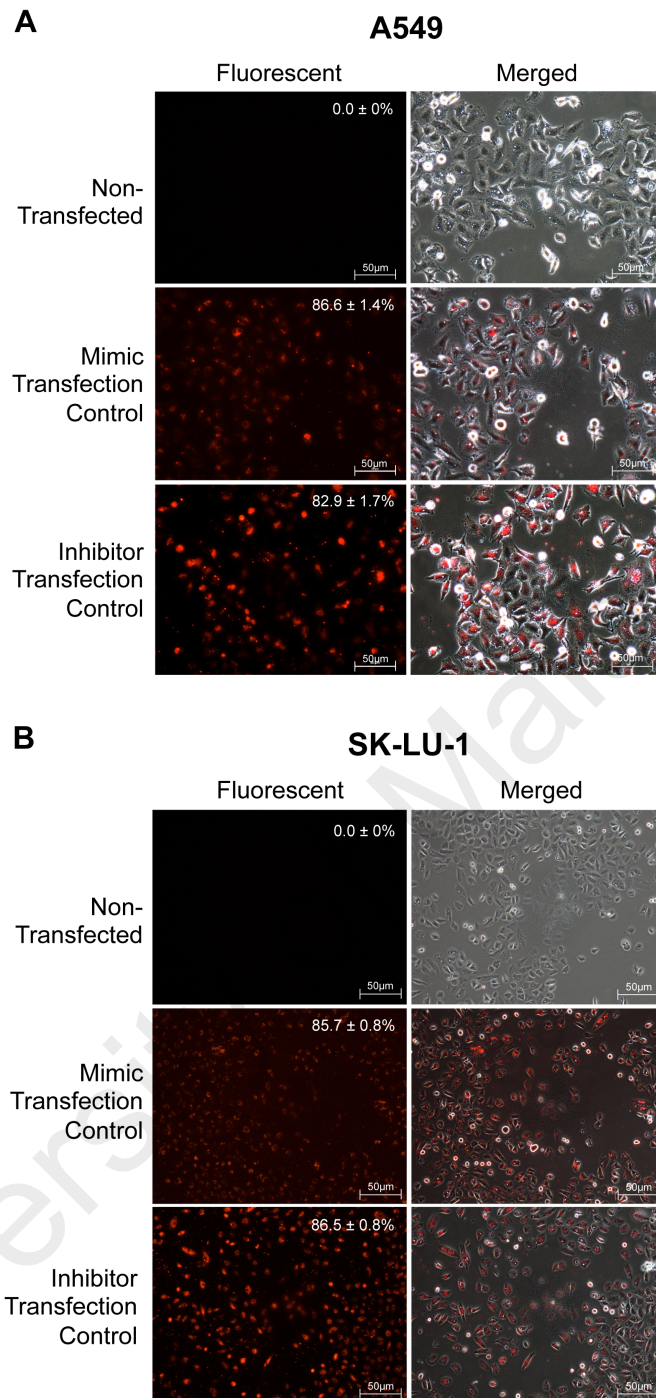


Figure 4.7: Visual monitoring of miRIDIAN microRNA Mimic/Hairpin Inhibitor Transfection Control with Dy547 transfection efficiency. Fluorescent and merged images of **(A)** A549 and **(B)** SK-LU-1 cells transfected with 80.0 nM miRIDIAN microRNA Mimic/Hairpin Inhibitor Transfection Control with Dy547. Percentage of mean transfection efficiency \pm SD is indicated, and all images shown are a representative of triplicate independent experiments.

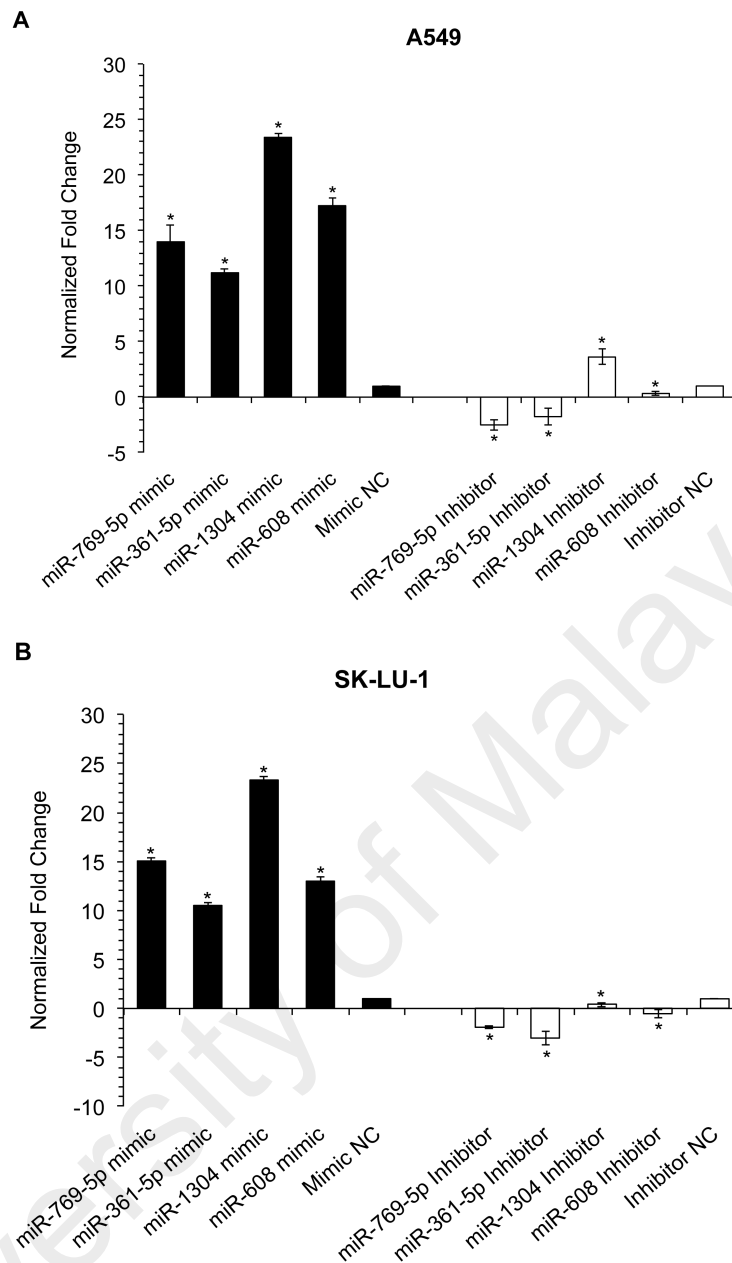


Figure 4.8: Normalized fold change of miR-769-5p, miR-361-5p, miR-1304, and miR-608 mimic/inhibitor-transfected cells. **(A)** A549 and **(B)** SK-LU-1 mimic/inhibitor-transfected cells, in comparison to cells transfected with scrambled negative controls. Samples were normalized to the endogenous control RNU6. Data presented as mean \pm SD, $n=3$, with statistically significant differences between transfected cells and scrambled negative control-transfected cells denoted with * p -value ≤ 0.05 . NC denotes cells transfected with scrambled RNA negative control.

Table 4.4: Fold change of miRNA in miRNA-transfected cells in comparison to fold change in scrambled negative control transfected cells. Data presented as mean \pm SD, n=3, with statistically significant differences between transfected cells and scrambled negative control-transfected cells denoted with p -value ≤ 0.05 . NC denotes cells transfected with scrambled RNA negative control.

Treatment	A549		SK-LU-1	
	Fold Change [†] \pm SD	p -Value	Fold Change [†] \pm SD	p -Value
miR-769-5p Mimic	14.01 \pm 1.46	0.0000	15.05 \pm 0.36	0.0000
miR-361-5p Mimic	11.26 \pm 0.23	0.0000	10.51 \pm 0.33	0.0000
miR-1304 Mimic	23.39 \pm 0.35	0.0000	23.31 \pm 0.40	0.0005
miR-608 Mimic	17.19 \pm 0.76	0.0003	12.99 \pm 0.46	0.0002
Mimic NC	0.00 \pm 0.00	n/a	0.00 \pm 0.00	n/a
miR-769-5p Inhibitor	-2.50 \pm 0.51	0.0000	-1.93 \pm 0.11	0.0000
miR-361-5p Inhibitor	-1.76 \pm 0.77	0.0013	-3.04 \pm 0.73	0.0000
miR-1304 Inhibitor	3.61 \pm 0.69	0.0060	0.39 \pm 0.21	0.0420
miR-608 Inhibitor	0.33 \pm 0.17	0.0393	-1.81 \pm 0.46	0.0872
Inhibitor NC	0.00 \pm 0.00	n/a	0.00 \pm 0.00	n/a

[†] Negative values denote down-regulation, while positive values denote up-regulation

4.3.2 Increased apoptosis observed in A549 and SK-LU-1 cells transfected with miR-608 mimics and miR-361-5p inhibitors

Cell death in miRNA mimic and inhibitor transfected cells was detected 72 h post-transfection using flow cytometry after annexin V-FITC/ PI staining in A549 and SK-LU-1 cells. An increase in miR-608 expression and a knockdown of miR-361-5p expression resulted in a significant increase in the apoptotic population of A549 (43.96 \pm 0.30 % and 23.10 \pm 2.94 %, respectively) and SK-LU-1 cells (15.17 \pm 1.27 % and 49.93 \pm 6.01 %, respectively) in comparison to total percentage of apoptosis observed in cells transfected with negative controls (Figure 4.9). Correspondingly, an increase in miR-608 and a decrease in miR-361-5p expression resulted in an increase in the relative caspase 3/7 activity in A549 (1.53 \pm 0.17 and 1.20 \pm 0.17 relative activity, respectively) and SK-LU-1 (1.71 \pm 0.31 and 1.67 \pm 0.07 relative activity, respectively) cells, indicating cell death was occurring through the mitochondrial apoptotic pathway (Figure 4.10). No significant changes in percentage of apoptosis and caspase 3/7 activity

were detected in cells transfected with miR-769-5p and miR-1304, therefore further downstream work was focused only on miR-608 and miR-361-5p.

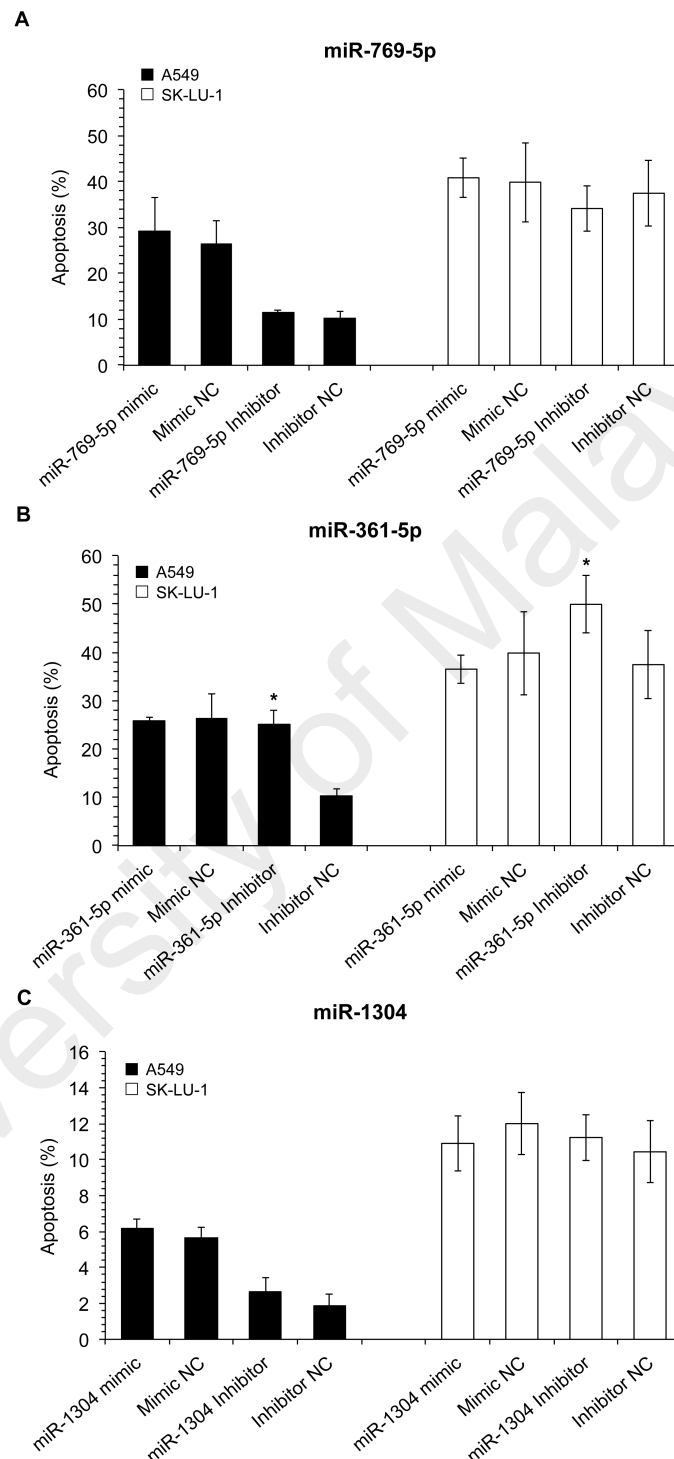


Figure 4.9: Detection of apoptosis 72 h post-transfection with miRNA mimics and inhibitors. (A) MiR-769-5p (B) miR-361-5p, (C) miR-1304, and (D) miR-608-induced apoptosis detection using flow cytometry after annexin V-FITC/ PI staining in A549 and SK-LU-1 cells. Data presented as mean \pm SD, $n=3$, with statistically significant differences between miRNA-transfected cells and scrambled negative control-transfected cells denoted with * p -value ≤ 0.05 . NC denotes cells transfected with scrambled RNA negative control.

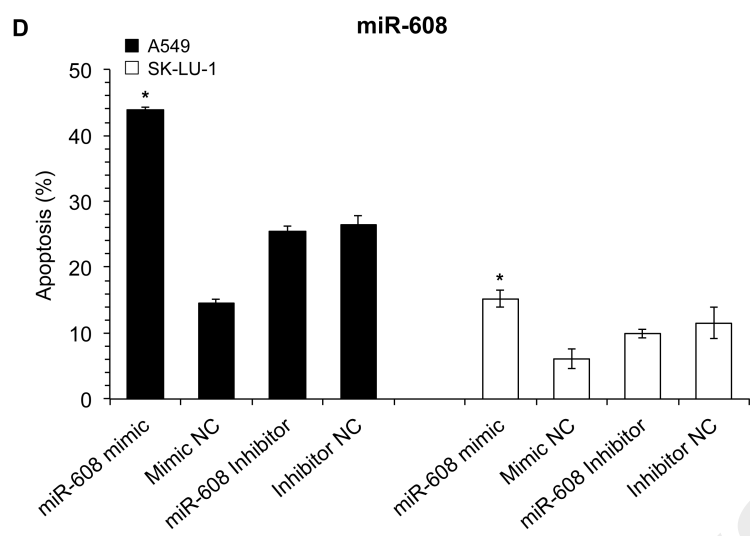


Figure 4.9, continued.

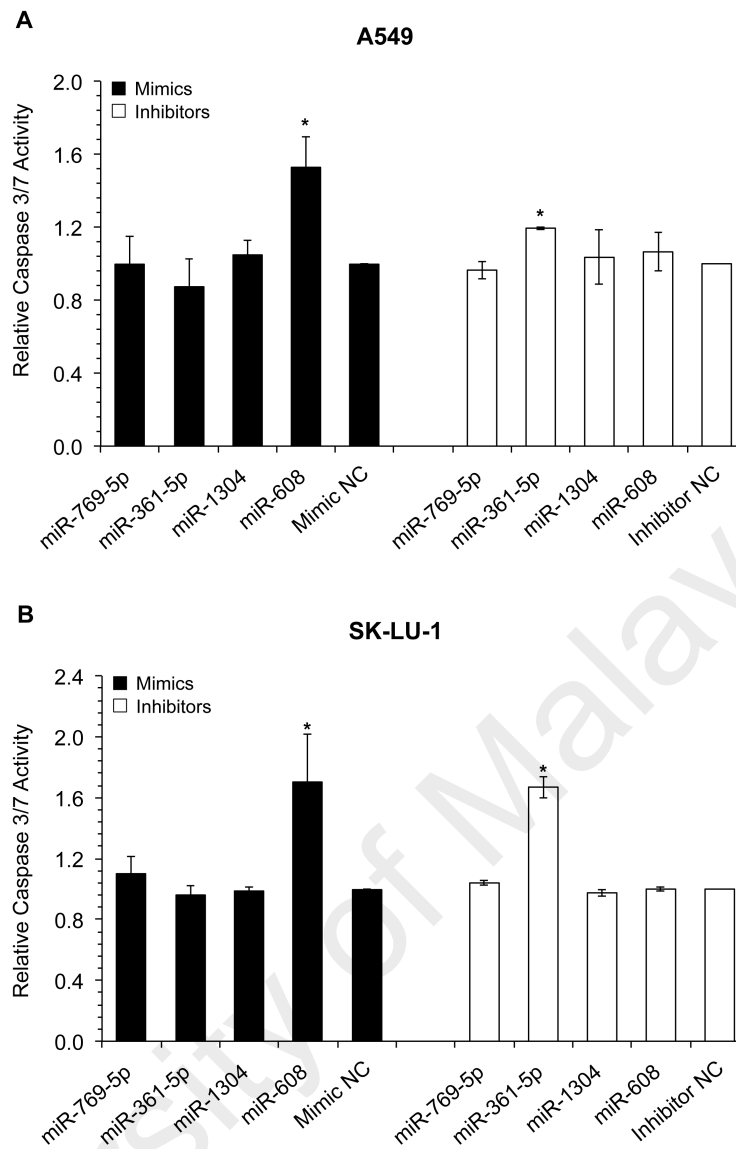


Figure 4.10: Detection of caspase 3/7 activity 48 h post-transfection with miRNA mimics and inhibitors. **(A)** A549 and **(B)** SK-LU-1-miRNA transfected cells detection of caspase 3/7 activity. Data presented as mean \pm SD, $n=3$, with statistically significant differences between transfected cells and scrambled negative control-transfected cells denoted with * p -value ≤ 0.05 . NC denotes cells transfected with scrambled RNA negative control.

4.4 Transfection with miR-608 inhibitors and miR-361-5p mimics blocks si*BCL-XL*-induced apoptosis

To determine the relationship between *BCL-XL*, miR-608/miR-361-5p and apoptosis, a combination study was carried out whereby cells were first transfected with si*BCL-XL*, followed by transfection with either miR-608 inhibitors or miR-361-5p mimics. It was found that the apoptotic populations of *BCL-XL*-silenced A549 and SK-LU-1 cells were significantly decreased following miR-608 inhibitors ($11.95 \pm 1.18\%$ from 22.09 ± 1.47 and $12.36 \pm 3.57\%$ from $21.49 \pm 3.350\%$ in A549 and SK-LU-1 cells respectively) and miR-361-5p mimic transfection ($5.13 \pm 0.12\%$ from $11.53 \pm 0.83\%$ and $12.03 \pm 0.72\%$ from $28.70 \pm 0.56\%$ in A549 and SK-LU-1 cells respectively) indicating that antagomiRs of miR-608 and mimics of miR-361-5p were able to block si*BCL-XL*-induced apoptosis (Figure 4.11). Correspondingly, a decrease in caspase 3/7 activation was observed in *BCL-XL*-silenced A549 and SK-LU-1 cells co-transfected with miR-608 inhibitors (0.81 ± 0.10 from 2.64 ± 0.27 and 0.85 ± 0.10 from 3.83 ± 0.45 relative activity, respectively) and miR-361-5p mimics (0.72 ± 0.09 from 2.60 ± 0.72 and 0.85 ± 0.02 from 3.45 ± 0.44 relative activity, respectively) (Figure 4.12), thus suggesting that miR-608 and miR-361-5p plays an important role in the regulation of apoptotic properties.

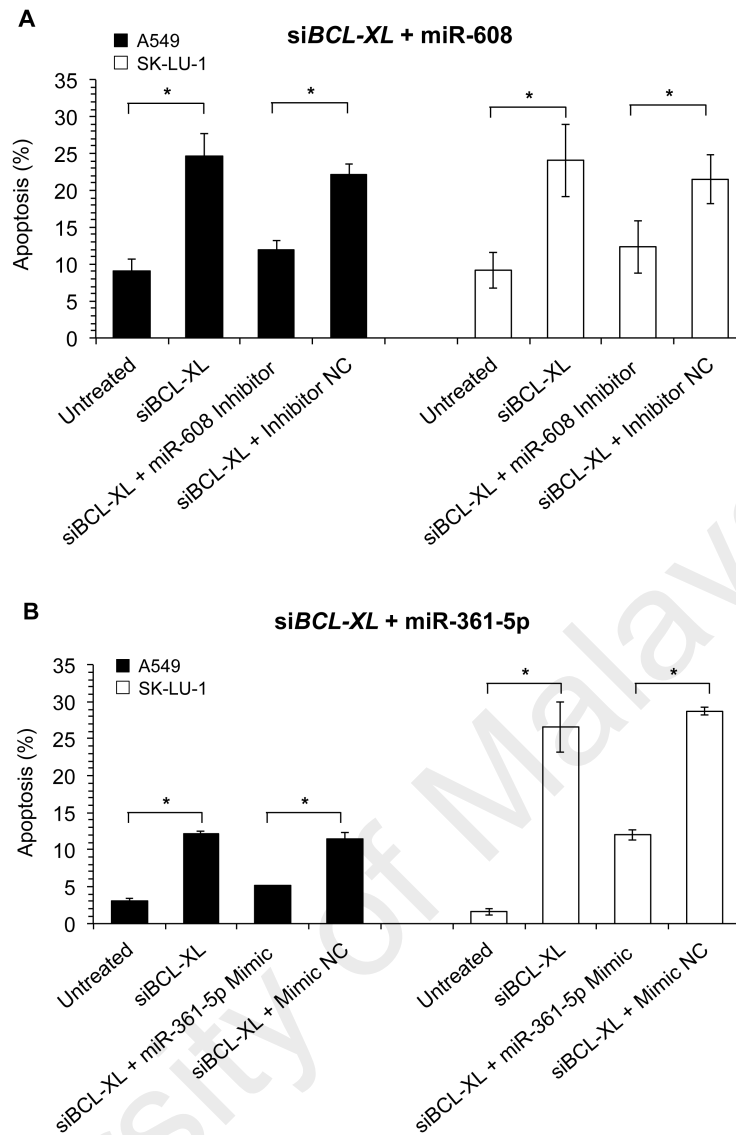


Figure 4.11: Detection of apoptosis 72 h post co-transfection with siBCL-XL and miRNAs. **(A)** MiR-608 inhibitors and **(B)** miR-361-5p mimics blocks siBCL-XL-induced cell death in A549 cells and SK-LU-1 cells. Data presented as mean \pm SD, $n=3$, * p -value ≤ 0.05 . siBCL-XL denotes siRNA-transfected cells. NC denotes cells transfected with scrambled RNA negative control.

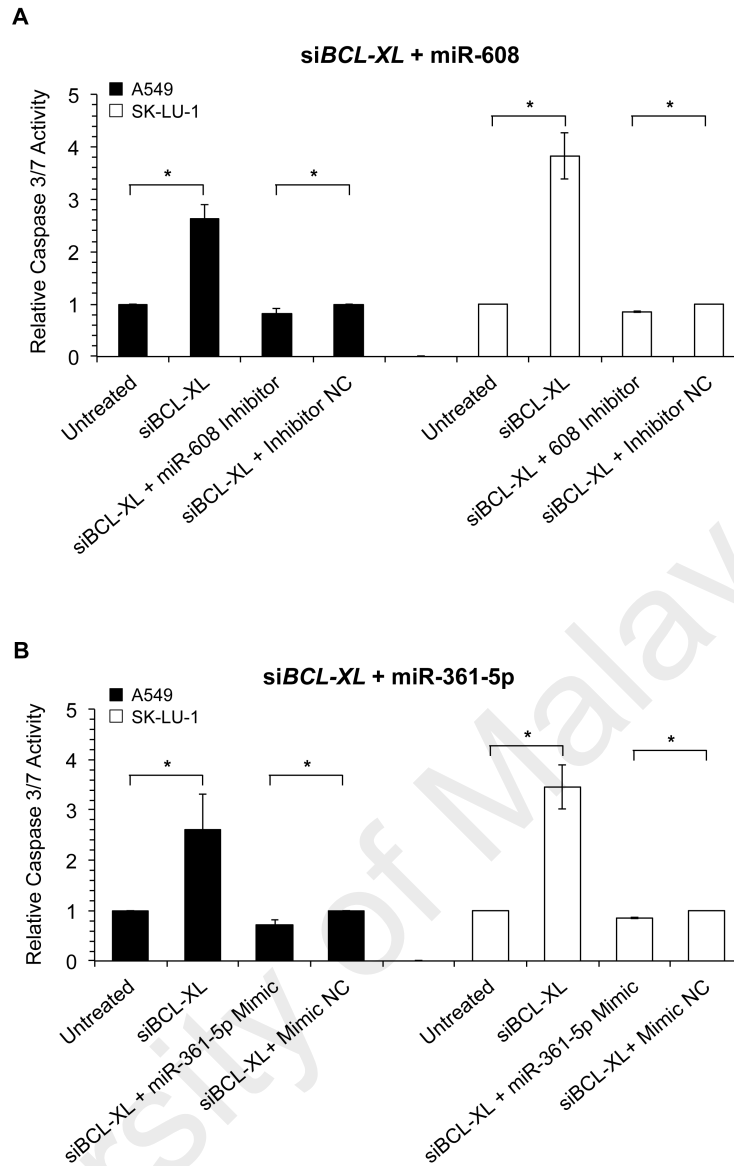


Figure 4.12: Caspase 3/7 activity detection 48 h post co-transfection with siBCL-XL and miRNAs. **(A)** MiR-608 inhibitors and **(B)** miR-361-5p mimics blocks siBCL-XL-induced caspase 3/7 activation in A549 cells and SK-LU-1 cells. Data presented as mean \pm SD, n=3, * p -value ≤ 0.05 . NC denotes cells transfected with scrambled RNA negative control. siBCL-XL denotes siRNA-transfected cells.

4.5 Up-regulation of miR-608 and down-regulation of miR-361-5p expression induces cell cycle arrest in A549 and SK-LU-1 cells

To examine the effects of miRNA on cell cycle progression, transfected cells were stained with propidium iodide and analyzed using flow cytometry. Results indicate that the number of cells in S phase was substantially increased in cells transfected with miR-608 mimics, in comparison to mimic NC-transfected cells, with a decrease in G₀/G₁ phase cell population, suggesting that miR-608 induced S phase cell cycle arrest (Figure 4.13A – Figure 4.13B). On the other hand, an increase in S phase cell population was seen in miR-361-5p inhibitor-transfected cells (Figure. 4.13C – Figure 4.13D), in comparison to inhibitor NC-transfected cells, thus suggesting that miR-361-5p plays a role in promoting cell cycle progression. Thus far, results have suggested that miR-608 plays a tumour suppressive role in lung cancer progression while miR-361-5p appears to play an oncogenic role.

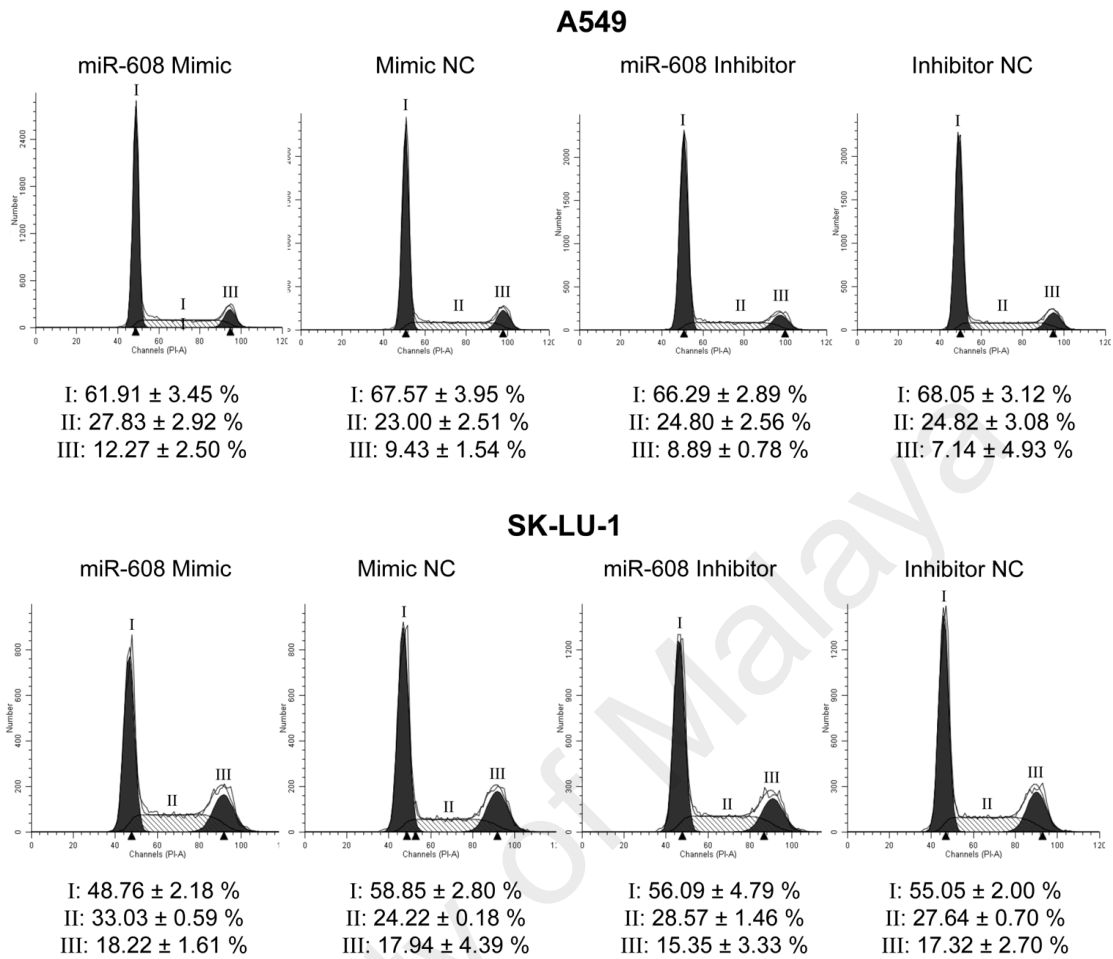
A

Figure 4.13: Cell cycle analysis 48 h post-transfection with miRNA mimics and inhibitors. Effect of (A – B) miR-608 and (C – D) miR-361-5p mimics and inhibitors on cell cycle distribution in A549 and SK-LU-1 cells. Data presented as mean \pm SD, $n=3$, * p -value ≤ 0.05 . NC denotes cells transfected with scrambled RNA negative control. I: G_0/G_1 Phase, II: S Phase, III: G_2/M Phase.

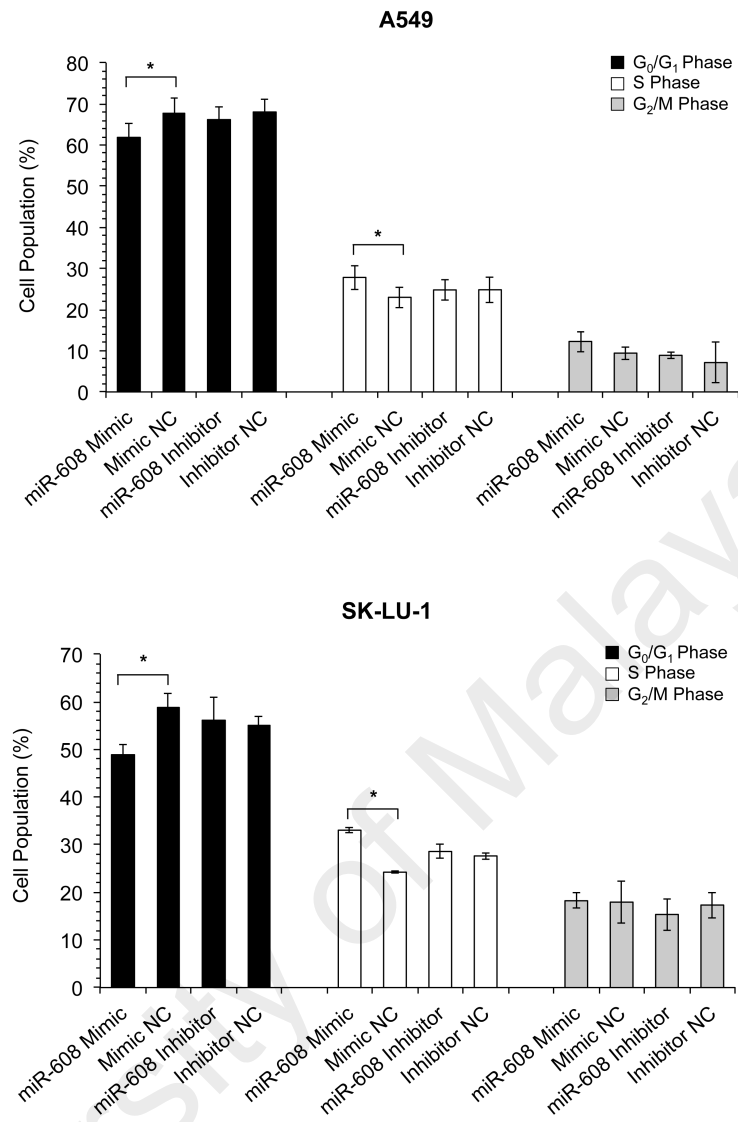
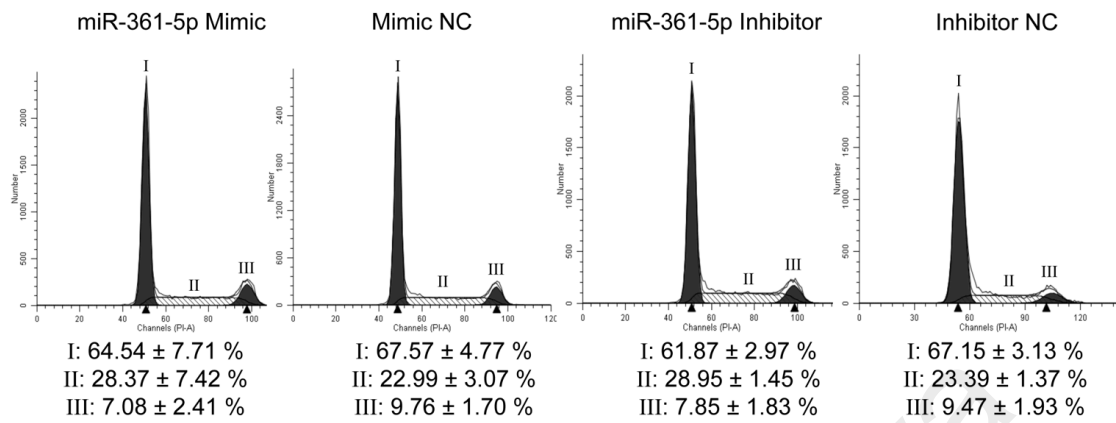
B

Figure 4.13, continued.

C

A549



SK-LU-1

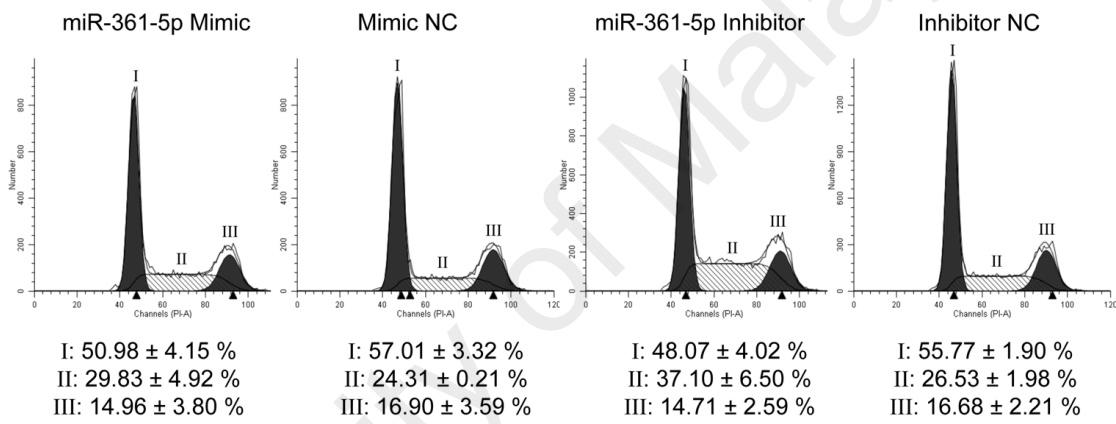


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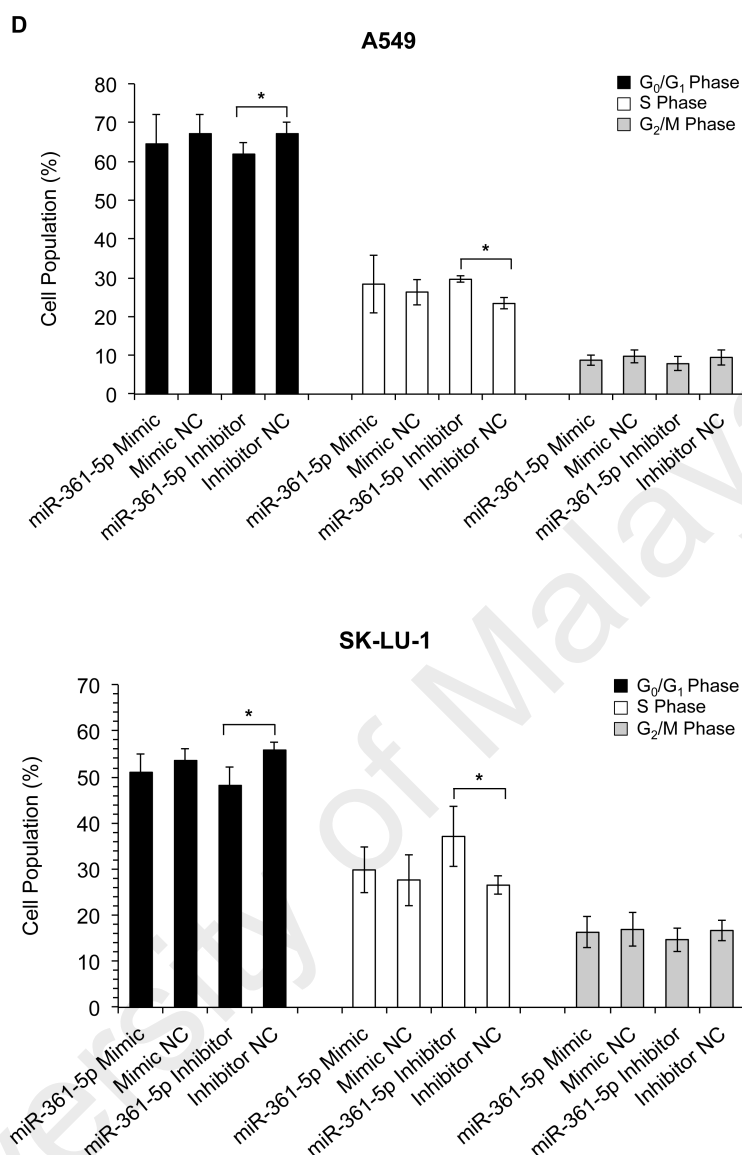


Figure 4.13, continued.

4.6 Up-regulation of miR-608 expression and down-regulation of miR-369-5p expression increases apoptosis *in vivo*

To analyze the *in vivo* apoptotic effects of miR-608 and miR-361-5p, zebrafish were used as an animal model. A549 cells transfected with either miR-608 or miR-361-5p mimics, inhibitors or its corresponding negative controls, were microinjected into zebrafish embryos. Following staining with anti-rabbit fluorophore-conjugated antibodies, embryos were visualized using a Leica confocal microscope (Figure 4.14A

and Figure 4.15A). Analysis of fluorescent images using the ImageJ Analyst software indicated that detection of active caspase 3 was significantly increased by a 6.53 ± 2.08 fold change in zebrafish embryos injected with miR-608 mimics (Figure 4.14B) and 6.41 ± 1.04 fold change in miR-361-5p inhibitor-transfected cells (Figure 4.15B), in comparison to negative control-injected embryos. These results implied that miR-608 mimics and miR-361-5p inhibitors are also able to induce apoptosis *in vivo* via caspase 3 activation.

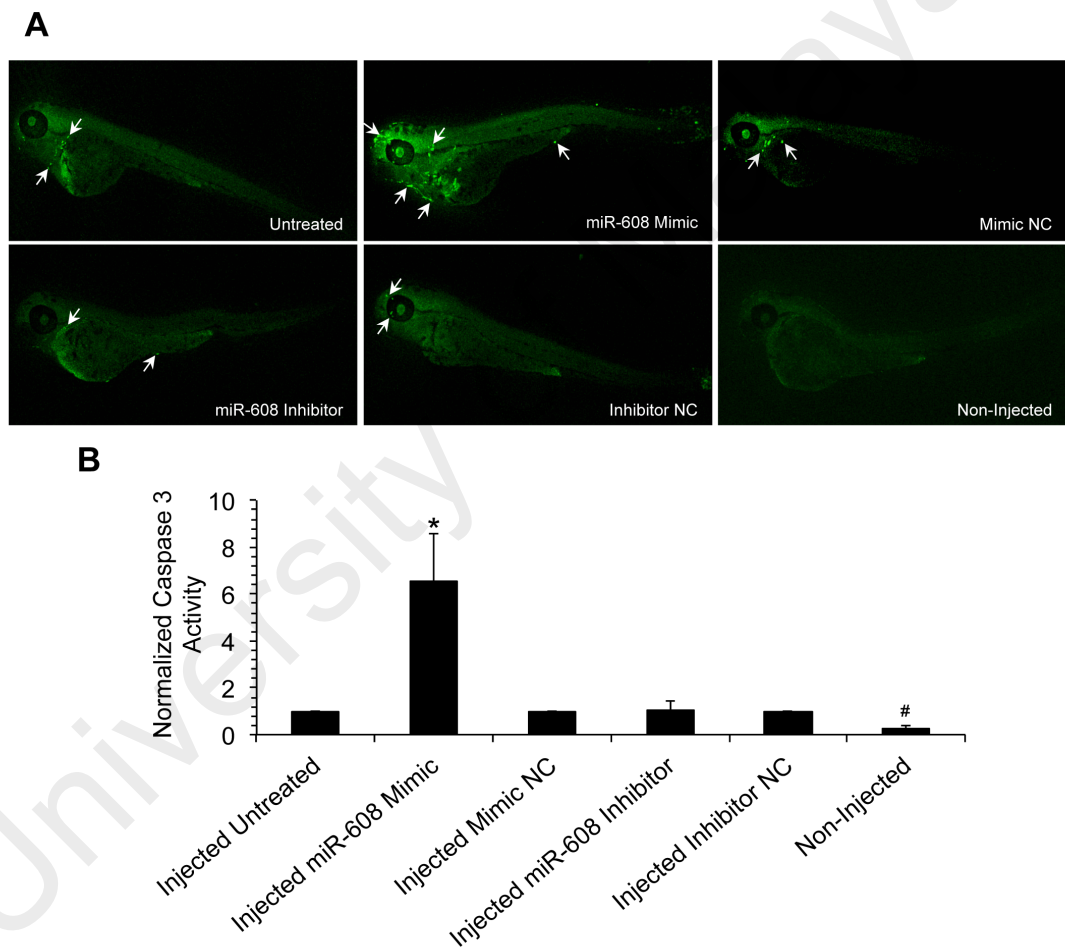


Figure 4.14: Up-regulation of miR-608 induces caspase 3 activation *in vivo*. **(A)** Examination of zebrafish embryos by confocal microscopy following miR-608 injection. Arrows indicate positive active caspase 3 staining. **(B)** Fluorescence was quantified and analyzed using ImageJ Analyst software to generate normalized arbitrary fluorescence units. Data presented as mean \pm SD, $n=15$. Statistically significant differences between mimic-injected groups and mimic NC-injected groups denoted with * p -value ≤ 0.05 . Statistically significant differences between non-injected group and injected untreated groups denoted with # p -value ≤ 0.05 . NC denotes cells transfected with scrambled RNA negative control.

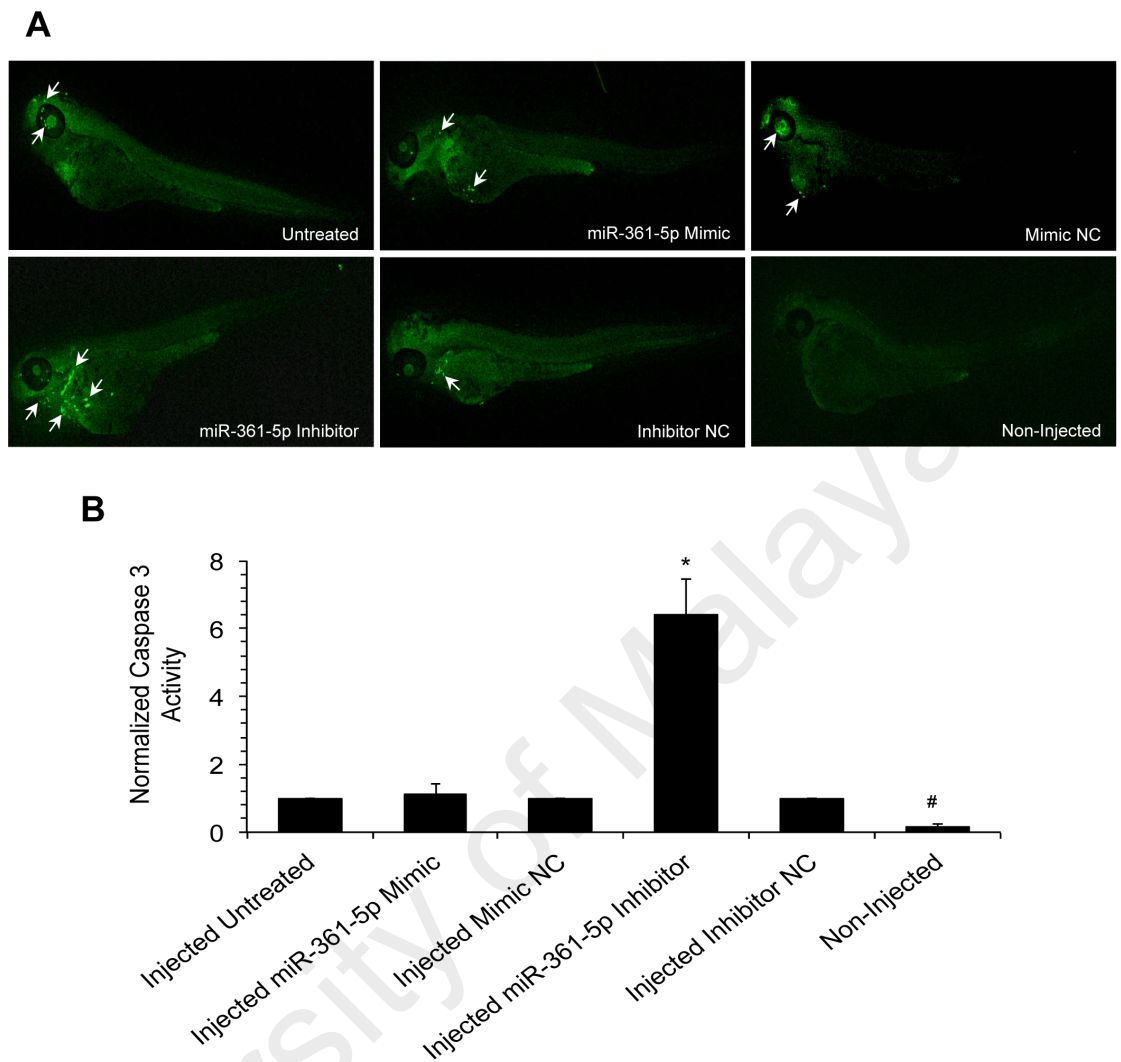


Figure 4.15: Inhibition of miR-361-5p induces caspase 3 activation *in vivo*. **(A)** Examination of zebrafish embryos by confocal microscopy following miR-361-5p injection. Arrows indicate positive active caspase 3 staining. **(B)** Fluorescence was quantified and analyzed using ImageJ Analyst software to generate normalized arbitrary fluorescence units. Data presented as mean \pm SD, $n=15$. Statistically significant differences between inhibitor-injected groups and inhibitor NC-injected groups denoted with * p -value ≤ 0.05 . Statistically significant differences between non-injected group and injected untreated groups denoted with # p -value ≤ 0.05 . NC denotes cells transfected with scrambled RNA negative control.

4.7 MiR-608 and miR-361-5p-mediated apoptosis in A549 and SK-LU-1 cells is through the regulation of various signaling pathways

4.7.1 MiR-608 and miR-361-5p are predicted to bind to *AKT2* and *SMAD2* 3'UTR, respectively

To understand the underlying molecular mechanism behind miR-608 and miR-361-5p mediated apoptosis, an *in silico* approach was used to identify the putative target genes using the TargetScan Human v5.2 algorithm, followed by gene-annotation enrichment analyses using the web tool DAVID v6.7. Enrichment of genes involved in cancer pathways indicated possible involvement of the TGF β , PI3K/AKT, MAPK, WNT, and the intrinsic and extrinsic apoptotic pathways (Figure 4.16 and Figure 4.17). The 3'UTR of V-Akt Murine Thymoma Viral Oncogene Homolog 2 (*AKT2*) and Mothers Against Decapentaplegic Homolog 2 (*SMAD2*) contains miR-608 and miR-361-5p binding sites, respectively, and are involved with apoptosis and proliferation and was thus chosen for further validation (Figure 4.18).

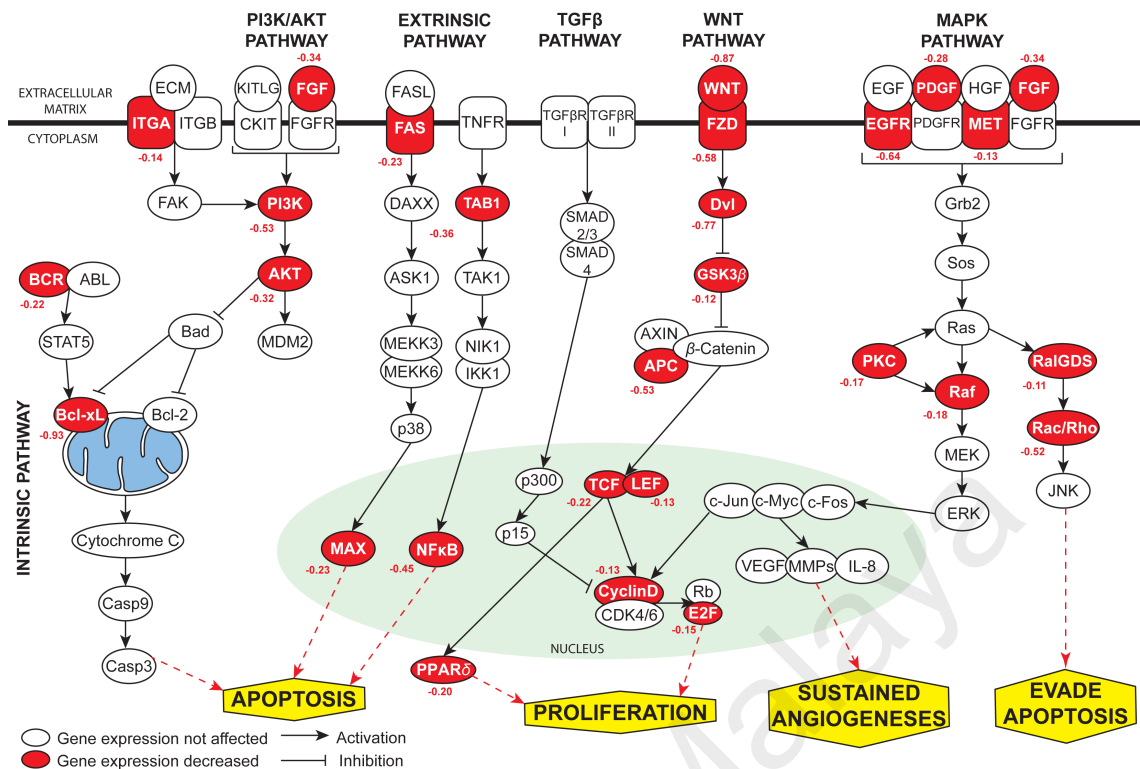


Figure 4.16: Hypothetical signaling network depicting the interactions of up-regulated miR-608 and its putative targets in various biological pathways including apoptosis, proliferation and angiogenesis. Key signaling pathways were predicted to include the TGF β , PI3K/AKT, MAPK, WNT, and the intrinsic and extrinsic pathway. Genes in red indicate those predicted to be targets of miR-608. Numbers in red indicate total context score for that specific target with miR-608.

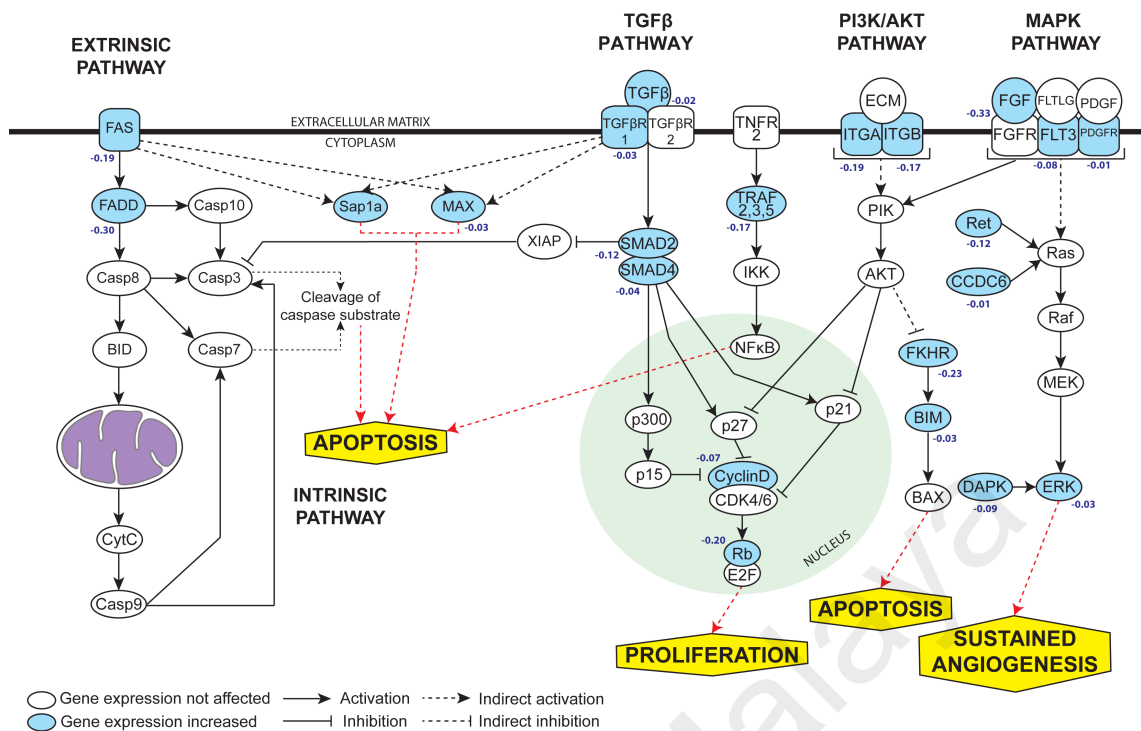


Figure 4.17: Hypothetical signaling network showing the interaction of down-regulated miR-361-5p and its putative targets in various biological pathways including apoptosis, proliferation and angiogenesis. Key signaling pathways of apoptosis regulation were predicted to include the TGFβ, PI3K/AKT, MAPK, and the intrinsic and extrinsic pathway. Genes in blue indicate those predicted to be targets of miR-361-5p. Numbers in dark blue indicate total context score for that specific target with miR-361-5p.

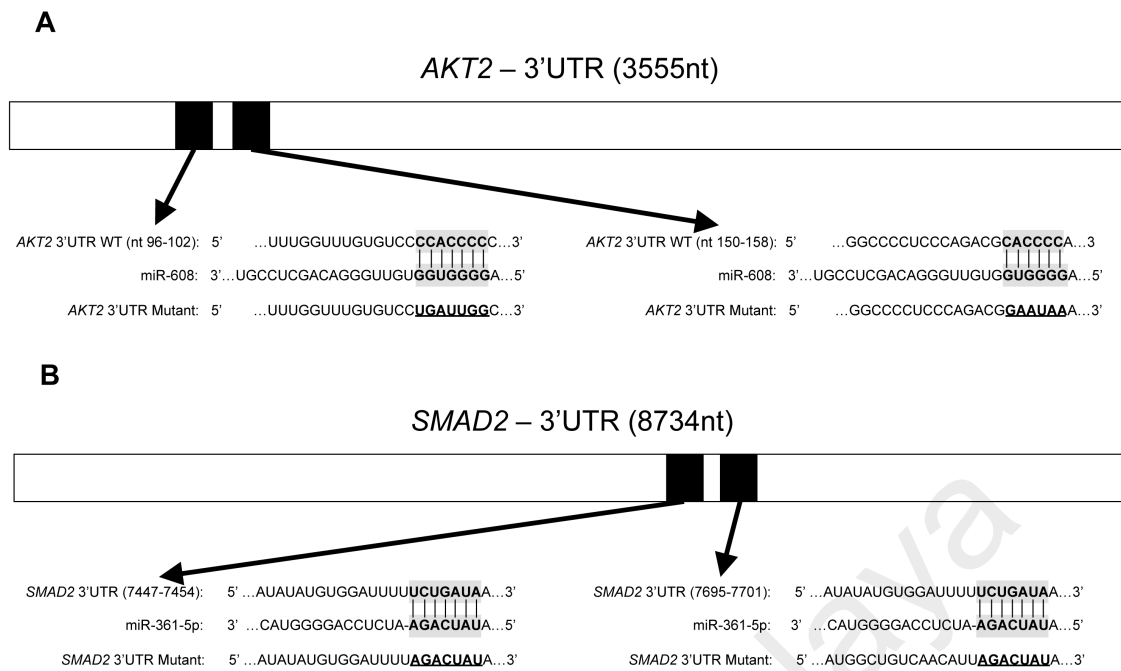


Figure 4.18: MiR-608 and miR-361-5p are predicted to target *AKT2* and *SMAD2* 3'UTR, respectively. **(A)** Sequence alignment of miR-608 and *AKT2* 3'UTR. *AKT2* 3'UTR contains two predicted miR-608 binding sites at nucleotide 96 – 102 and 150 – 158. **(B)** Sequence alignment of miR-361-5p and *SMAD2* 3'UTR. *SMAD2* 3'UTR contains two predicted miR-361-5p binding sites at nucleotide 7447 – 7454 and 7695 – 7701. The seed-recognizing sites in the 3'UTR and the seed regions of the miRNA are highlighted in grey, while the mutated 3'UTR sequences are indicated in bold underlined font.

4.7.2 MiR-608 and miR-361-5p directly binds to *AKT2* and *SMAD2* 3'UTR, respectively, subsequently decreasing its protein expression

To verify the interaction between miRNAs and their putative gene targets, *AKT2* and *SMAD2* 3'UTR and their corresponding mutant counterparts, were cloned into the 3' end of the pmirGLO Dual-Luciferase miRNA Target Expression Vector and the constructs verified by sequencing. Relative firefly luciferase activity, indicative of translation from the plasmid, was then assayed using the Dual Luciferase Reporter Assay System and measured in the presence of miR-608 or miR-361-5p mimics, or mimic negative control, and normalized using *Renilla* luciferase activity. Luciferase reporter assay confirmed that miR-608 and miR-361-5p mimics had a significant inhibitory effect on wild-type 3'UTR of *AKT2* and *SMAD2*, (0.73 ± 0.05 and $0.35 \pm$

0.05 relative luciferase activity, respectively) but not on mutant 3'UTR (Figure 4.19). It was further demonstrated that mimic negative controls had no effect on either wild-type or mutant luciferase activity. In line with these results no significant difference in the wild-type or mutant luciferase activities was observed in cells transfected with miR-608 and miR-361 inhibitors and inhibitor negative controls. This observation indicates that miR-608 and miR-361-5p can directly bind to the binding sites in *AKT2* and *SMAD2* respectively; and this was further verified by a decrease in AKT2 and SMAD2 protein levels as analyzed by western blot (Figure 4.20 and Figure 4.21).

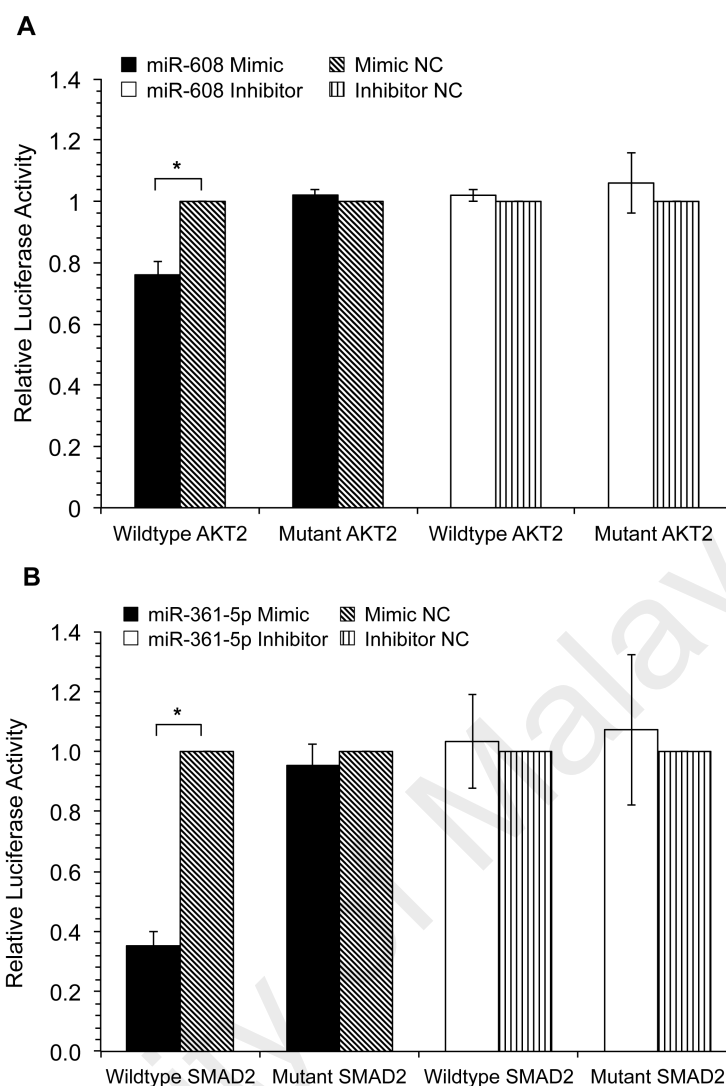


Figure 4.19: Dual luciferase reporter assay on miR-608 and miR-361-5p interaction with *AKT2* and *SMAD2* 3'UTR, respectively. Normalized relative luciferase activity in (A) wildtype and mutant pmirGLO/*AKT2* constructs in response to transfection with miR-608 mimic, inhibitor or their respective negative controls in A549 cells; (B) wildtype and mutant pmirGLO/*SMAD2* constructs in response to transfection with miR-361-5p mimic, inhibitor or their respective negative controls in A549 cells. Samples were normalized to *Renilla* luciferase activity. Data presented as mean \pm SD, $n=3$, * p -value ≤ 0.05 . NC denotes cells transfected with scrambled RNA negative control.

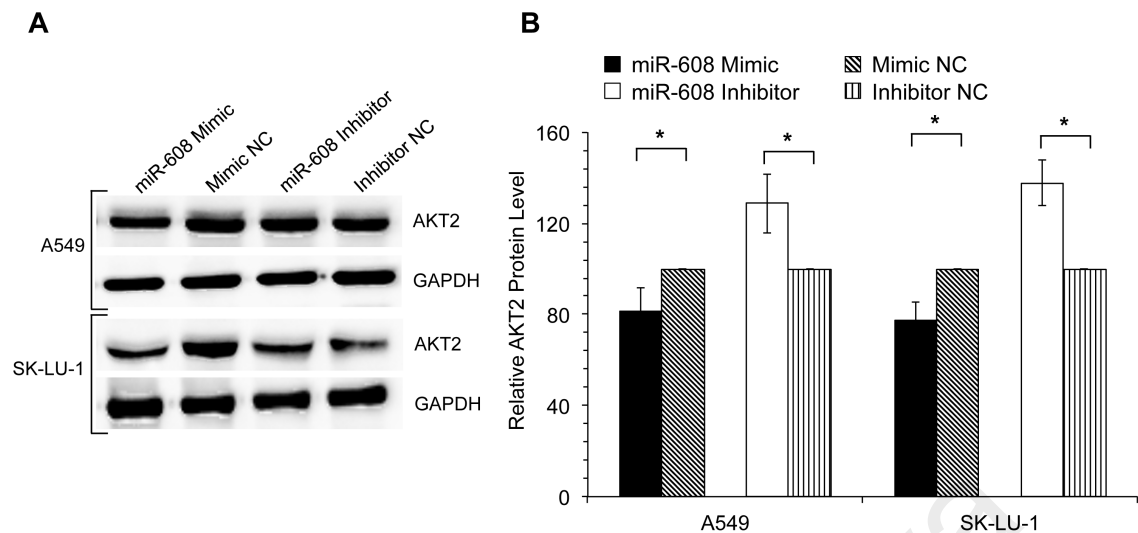


Figure 4.20: Validation of interaction between miR-608 and AKT2 via western blot analysis. **(A)** Western blot bands of AKT2 protein following miR-608 transfection. **(B)** Densitometry analysis of AKT2 protein bands using the ImageJ Analyst software. Results were standardized against GAPDH levels and presented as relative protein levels. Data presented as mean \pm SD, $n=3$, * p -value ≤ 0.05 . NC denotes cells transfected with scrambled RNA negative control.

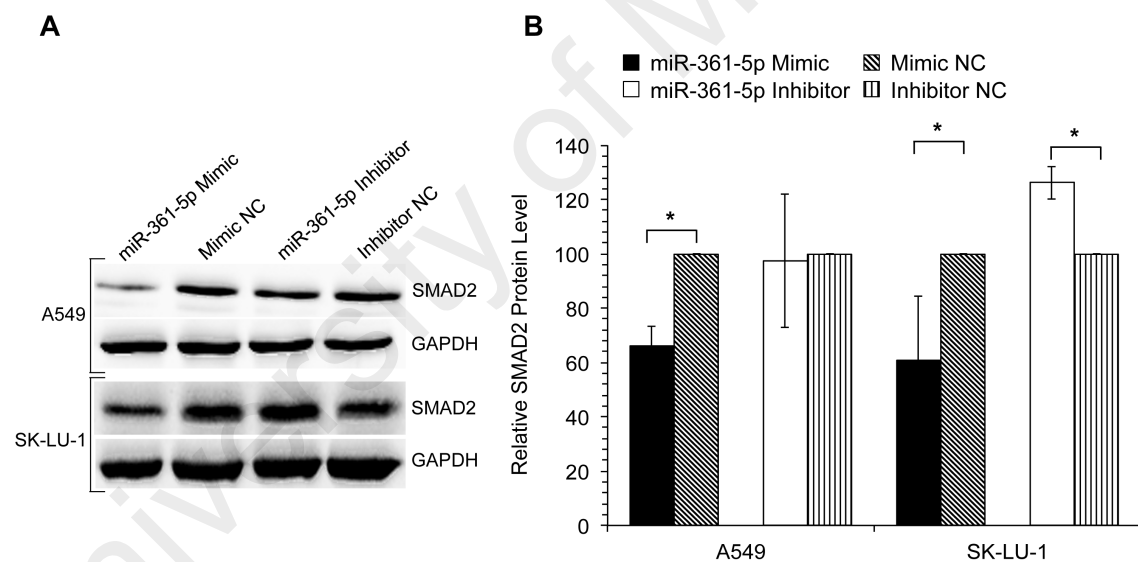


Figure 4.21: Validation of interaction between miR-361-5p and SMAD2 via western blot analysis. **(A)** Western blot bands of SMAD2 protein following miR-361-5p transfection. **(B)** Densitometry analysis of SMAD2 protein bands using the ImageJ Analyst software. Results were standardized against GAPDH levels and presented as relative protein levels. Data presented as mean \pm SD, $n=3$, * p -value ≤ 0.05 . NC denotes cells transfected with scrambled RNA negative control.

4.8 MiR-608 and miR-361-5p regulate apoptosis in A549 and SK-LU-1 cells through the manipulation of *AKT2* and *SMAD2* expression, respectively

4.8.1 siRNA-mediated silencing of *AKT2* restores miR-608-induced effects in A549 and SK-LU-1 cells

Together, results have demonstrated that miR-608 plays an important role in the regulation of apoptosis, and miR-608 has been identified as direct a regulator of *AKT2*. It can be hypothesized that low expression of miR-608 in NSCLC may inhibit its suppressive effects towards *AKT2*, thus causing *AKT2* expression to be up-regulated, which in turn blocks apoptosis. To investigate this hypothesis, co-transfection of miR-608 inhibitors and siRNA inhibiting *AKT2* was performed in A549 and SK-LU-1 cells. SiRNAs were provided as a set of three siRNA duplexes, therefore to evaluate the silencing efficiency of the siRNAs, densitometry analysis of western blot bands was performed to evaluate the *AKT2* protein expression in siRNA-transfected cells in comparison to siRNA NC transfected cells. Amongst the three siRNAs utilized, siRNA C was able to significantly decrease *AKT2* protein levels to $72.86 \pm 17.85\%$ and $72.52 \pm 17.83\%$ in A549 and SK-LU-1 cells, respectively (Figure 4.22). As siRNA C (referred to as si*AKT2* henceforth) had the greatest silencing efficiency amongst the three siRNAs, it was selected for further downstream work.

Cells transfected with miR-608 inhibitors alone led to an increased *AKT2* protein expression, while co-transfection of miR-608 inhibitors with si*AKT2* was able to reverse this observation (Figure 4.23). Furthermore, si*AKT2* was able to partially rescue the inhibition of apoptosis and caspase 3/7 activation induced by miR-608 inhibitors (Figure 4.24 and Figure 4.25), leading to a significant increase in apoptosis. Collectively, these results demonstrate the miR-608 plays a tumour suppressive role in NSCLC and this is at least partially through its inhibition of *AKT2*.

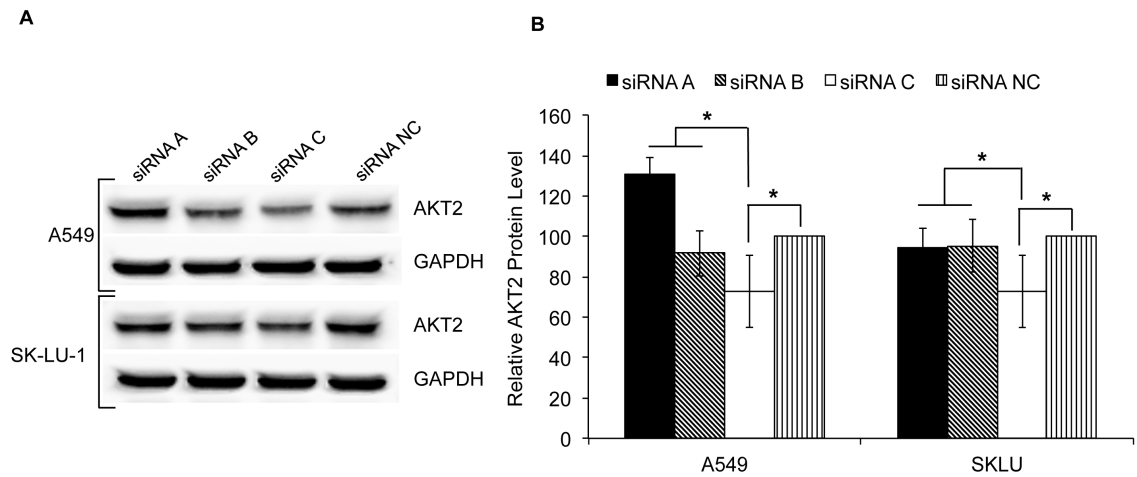


Figure 4.22: Quantitation of AKT2 bands following siRNA-based silencing of *AKT2*. **(A)** Western blot bands of AKT2 protein following transfection with siRNA duplexes used for *AKT2* silencing. **(B)** Densitometry analysis of AKT2 protein bands using the ImageJ Analyst software. Results were standardized against the levels of GAPDH and presented as relative protein levels. Data presented as mean \pm SD, $n=3$, with statistically significant differences denoted with * p -value ≤ 0.05 . siRNA denotes siRNA-transfected cells. siRNA NC denotes universal scrambled negative control siRNA-transfected cells.

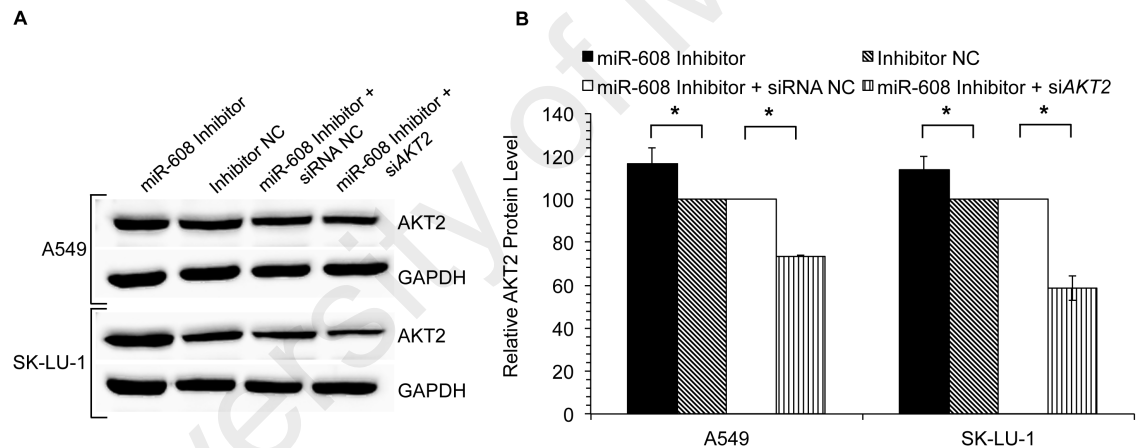


Figure 4.23: Silencing of *AKT2* decreased AKT2 protein levels. **(A)** Western blot analysis of AKT2 protein expression following co-transfection of miR-608 inhibitors and siAKT2. **(B)** Densitometry analysis of AKT2 protein bands using the ImageJ Analyst software. Results were standardized against the levels of GAPDH and presented as relative expression. Data presented as mean \pm SD, $n=3$, * p -value ≤ 0.05 . NC denotes cells transfected with scrambled RNA negative control. siAKT2 denotes siRNA-transfected cells. siRNA NC denotes universal scrambled negative control siRNA-transfected cells.

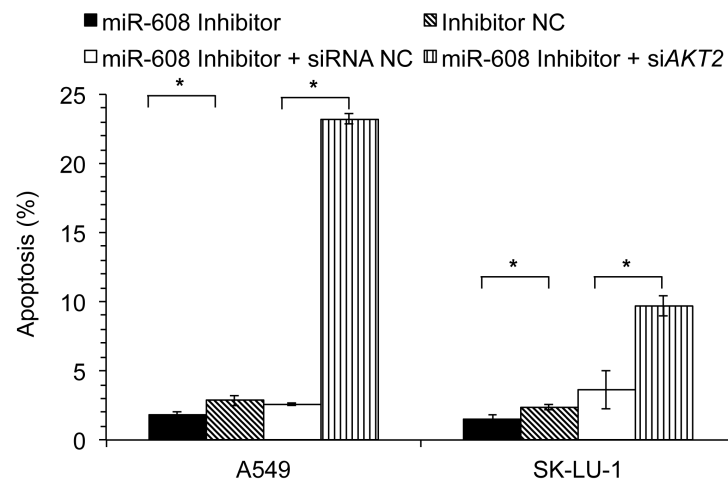


Figure 4.24: Detection of apoptosis 48 h post co-transfection with miR-608 inhibitors and siAKT2. Data presented as mean \pm SD, $n=3$, * p -value ≤ 0.05 . Inhibitor NC and siRNA NC were used as negative controls. NC denotes cells transfected with scrambled RNA negative control. siAKT2 denotes siRNA-transfected cells. siRNA NC denotes universal scrambled negative control siRNA-transfected cells.

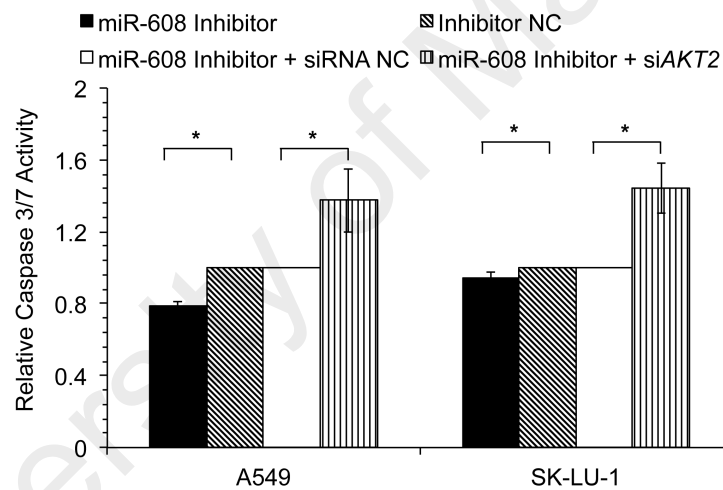


Figure 4.25: Detection of caspase 3/7 activity 48 h post co-transfection with miR-608 inhibitors and siAKT2. Data presented as mean \pm SD, $n=3$, * p -value ≤ 0.05 . NC denotes cells transfected with scrambled RNA negative control. Inhibitor NC and siRNA NC were used as negative controls. siAKT2 denotes siRNA-transfected cells. siRNA NC denotes universal scrambled negative control siRNA-transfected cells.

4.8.2 Ectopic overexpression of *SMAD2*, without 3'UTR, restores miR-361-5p induced effects in A549 and SK-LU-1 cells

Results have indicated that miR-361-5p suppresses apoptosis in A549 and SK-LU-1 cells, and inhibits *SMAD2* expression. It was thus predicted that miR-361-5p-mediated apoptosis inhibition could in part be credited to the *SMAD2* gene. To further validate that the effect of miR-361-5p on apoptosis is mediated by *SMAD2*, gene rescue experiments were performed whereby *SMAD2* overexpression vector (pCMV6/*SMAD2*), lacking its 3'UTR, was co-transfected into A549 and SK-LU-1 cells along with miR-361-5p mimic. *SMAD2* protein expression levels were measured 48 h post transfection, and it was found that pCMV6/*SMAD2* rescued the decreased protein expression that was observed in cells transfected with miR-361-5p mimics only (Figure 4.26). Furthermore, pCMV6/*SMAD2* was able to partially reverse the apoptosis inhibition caused by miR-361-5p (Figure 4.27 and Figure 4.28). Taken together, these observations suggest that the oncogenic role of miR-361-5p in lung adenocarcinoma cells is at least partially by inhibiting its target gene *SMAD2*.

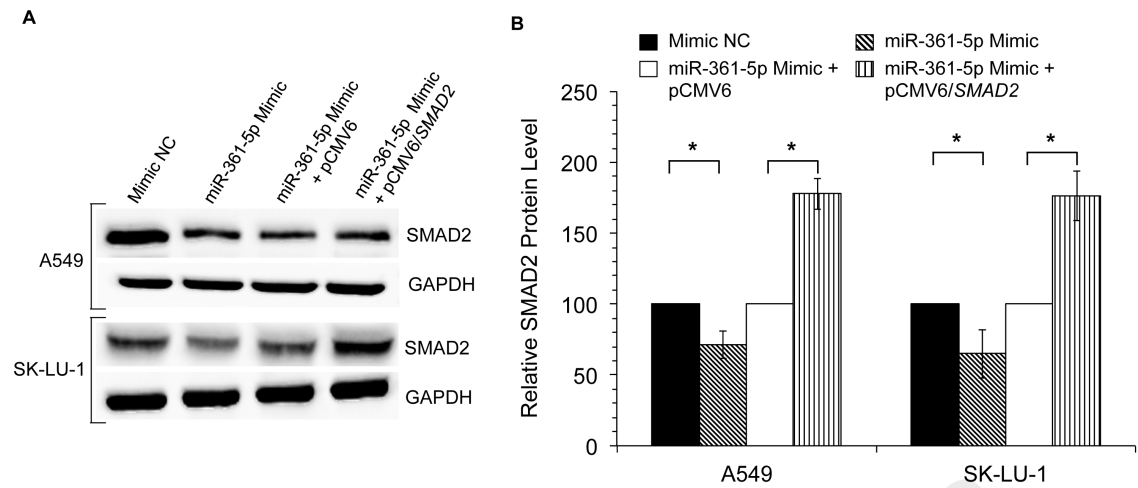


Figure 4.26: Overexpression vector pCMV/*SMAD2* increased *SMAD2* protein levels. **(A)** Western blot analysis of *SMAD2* protein expression following co-transfection of miR-361-5p mimics and pCMV6/*SMAD2*. **(B)** Densitometry analysis of *SMAD2* protein bands using the ImageJ Analyst software. Results were standardized against the levels of GAPDH and presented as relative protein levels. Data presented as mean \pm SD, $n=3$, * p -value ≤ 0.05 . NC denotes cells transfected with scrambled RNA negative control. pCMV6/*SMAD2* denotes *SMAD2* overexpression vector. pCMV6 denotes empty vectors.

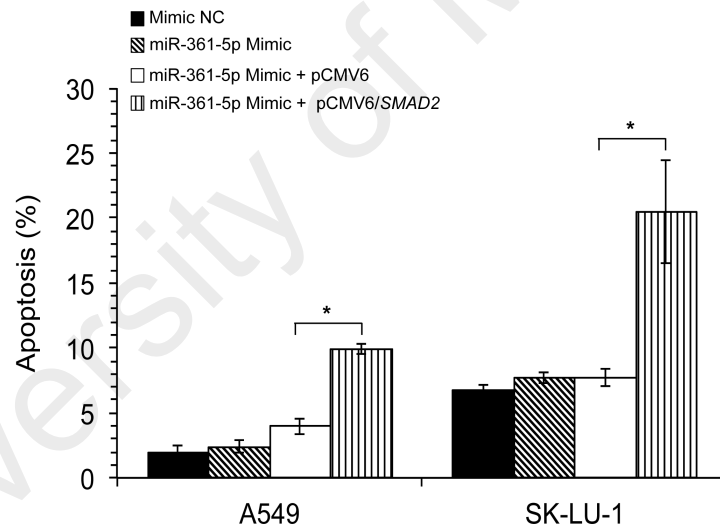


Figure 4.27: Detection of apoptosis 48 h post co-transfection with miR-361-5p mimics and pCMV6/*SMAD2* vectors. Data presented as mean \pm SD, $n=3$, * p -value ≤ 0.05 . Mimic NC and pCMV6 were used as negative controls. NC denotes cells transfected with scrambled RNA negative control. pCMV6/*SMAD2* denotes *SMAD2* overexpression vector. pCMV6 denotes empty vectors.

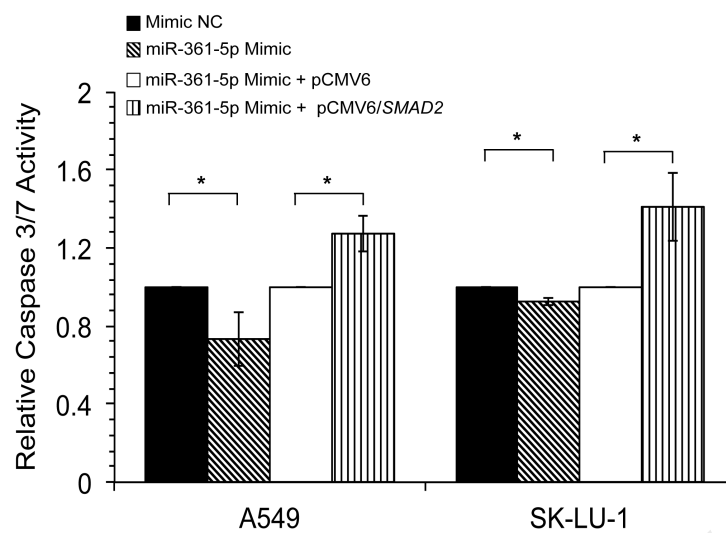


Figure 4.28: Detection of caspase 3/7 activity 48 h post co-transfection with miR-361-5p mimics and pCMV6/*SMAD2* vectors. Data presented as mean \pm SD, $n=3$, * p -value ≤ 0.05 . Mimic NC and pCMV6 were used as negative controls. NC denotes cells transfected with scrambled RNA negative control. pCMV6/*SMAD2* denotes *SMAD2* overexpression vector. pCMV6 denotes empty vectors.

CHAPTER 5: DISCUSSION

5.1 *BCL-XL* silencing induces a decrease in cell viability and an increase in apoptosis in A549 and SK-LU-1 cells

BCL-XL expression is generally found to be significantly increased in human cancers. Analysis of *BCL-XL* expression using immunohistochemistry, reverse transcription PCR or western blotting has revealed that *BCL-XL* is positively expressed in invasive ductal adenocarcinomas (Miyamoto *et al.*, 1999), squamous cell carcinoma of the head and neck (Pena *et al.*, 1999) and ovarian tumours (Marone *et al.*, 1998) in comparison to neighboring normal tissues. Therefore, *BCL-XL* can be used as an important marker for various cancers. Reports have also indicated that up-regulation of *BCL-XL* contributes to protection of cancer cell lines from apoptosis stimulated by a wide array of chemotherapeutic agents including teniposide, etoposide, methotrexate, fluorouracil, hydroxyurea and cisplatin (Simonian *et al.*, 1997). In metastatic malignant melanoma, high levels of *BCL-XL* lead to avoidance of the normal cell death pathway, and may contribute to melanoma progression and chemotherapy resistance (Tang *et al.*, 1998). Similarly an increased expression of *BCL-XL* in ovarian cancer cell lines contributes to chemoresistance against cisplatin through the blockage of caspase 3 activity and Poly (ADP-ribose) polymerase (PARP) cleavage (Yang *et al.*, 2004). High expression of *BCL-XL* in diffuse large B cell non-Hodgkin's lymphoma was also demonstrated to be associated with chemoresistance in short survival group of patients (Bairey *et al.*, 1999). Other studies have reported that *BCL-XL* plays a critical role in the development of tumours and malignant transformation. *BCL-XL* expression is significantly higher in tongue carcinoma tissues than in normal tongue tissues, with a higher expression found in oral tongue squamous cell carcinoma (OTSCC) tissues in comparison to oral tongue adenocarcinoma (OTA) tissues (Zhang *et al.*, 2014a). Zhang *et al.* (2014) also discovered that expression of *BCL-XL* was considerably higher in

tissues with lymph node metastasis than in those without lymph node metastasis. Up-regulation of *BCL-XL* in squamous cell carcinoma of the tongue was thus concluded to be associated with the early progression of this disease but also inhibits the differentiation of the cancer (Zhang *et al.*, 2014a). Similarly, two studies have shown that in human colon cancer, *BCL-XL* was found to be overexpressed, and was strongly correlated with the pathological grade, lymph node metastasis and Duke's stage of colorectal carcinoma (Krajewska *et al.*, 1996; Biroccio *et al.*, 2001; Zhang *et al.*, 2008), suggesting that overexpression of *BCL-XL* was associated with the progression and invasion of this cancer. Together these results indicate the usefulness of *BCL-XL* expression as a prognostic marker in cancers.

Correspondingly, expression of *BCL-XL* was found to be frequently up-regulated significantly in NSCLC (Groeger *et al.*, 2004; Karczmarek-Borowska *et al.*, 2006; Sanchez-Ceja *et al.*, 2006). Interestingly, high expression of *BCL-XL* in NSCLC cells, specifically in the lung adenocarcinoma subgroup, is usually accompanied with a low expression or non-detectable levels of *BCL-2*, suggesting that *BCL-XL* is the major apoptosis-repressor protein in these cells (Reeve *et al.*, 1996; Koty *et al.*, 2002; Berrieman *et al.*, 2005). Various studies have shown that anti-sense treatment of *BCL-XL* induces a strong apoptotic response and increased chemosensitivity in lung adenocarcinoma cells that lack significant levels of *BCL-2*, while the same response was not observed in SCLC cells most probably due to protection by increased levels of *BCL-2* that are also expressed in these cells (Leech *et al.*, 2000; Lei *et al.*, 2007; Park *et al.*, 2013). Together, these results suggest that *BCL-XL* may be a useful diagnostic marker and can be a potential specific therapeutic target.

In this present study, *BCL-XL* expression in SK-LU-1 lung adenocarcinoma cells was silenced via siRNA transfection to corroborate the findings in A549 lung adenocarcinoma cells previously reported in my Masters study (Othman, 2012). A set of three siRNAs were utilized, and since the siRNAs do not fluoresce, visual monitoring of transfection efficiency was first determined using the BLOCK-iT™ Alexa Fluor® Red Fluorescent Oligo, which is a highly stable, fluorescein-labeled, non-targeted dsRNA compound. As seen in Figure 4.1, results indicated that a satisfactory transfection efficiency of greater than 80.0% was obtained 24 h post-transfection, thus allowing for silencing efficiency of the three siRNAs to be determined using qRT-PCR.

qRT-PCR results revealed that *BCL-XL* expression levels were significantly decreased for all siRNA-transfected SK-LU-1 cells, in comparison to non-transfected cells (Figure 4.2). Amongst the three siRNAs utilized, siRNA 1 had a greatest negative fold change of -4.03 ± 0.01 with a percentage *BCL-XL* gene knockdown of $75.16 \pm 0.92\%$ (Table 4.1). Western blot analysis was then performed to confirm successful silencing of *BCL-XL*, and correspondingly, siRNA 1 was demonstrated to induce the greatest decrease in BCL-XL protein levels with a $98.33 \pm 0.50\%$ decrease in siRNA 1-transfected SK-LU-1 cells, as determined by densitometry analysis of western blot bands (Figure 4.3). As siRNA 1 (henceforth referred to as si*BCL-XL*) had the greatest silencing efficiency amongst the three siRNAs, it was selected for further downstream work.

MTT viability assay and annexin V-FITC detection was then performed to determine the biological effects of *BCL-XL* silencing in SK-LU-1 cells. Results indicated that a knockdown of *BCL-XL* expression led to a significant decrease in cell viability, 48-h post-transfection, in si*BCL-XL* -transfected cells ($58.22 \pm 0.98\%$) in comparison to non-transfected cells (103.29 ± 3.69) (Figure 4.4 and Table 4.2). A shift in population of

cells from viable cells to early and late stage apoptosis was also observed in si*BCL-XL*-transfected cells (Figure 4.5). At this point, the biological effects of *BCL-XL* silencing in SK-LU-1 cells correlate with those observed in A549 cells (Othman, 2012). More importantly, these findings are consistent with other *BCL-XL* antisense treatment studies, as described previously, thus validating that *BCL-XL* may be the critical apoptosis repressor protein in lung adenocarcinoma cell lines.

5.2 *BCL-XL* silencing dysregulates the miRNA expression profile in A549 and SK-LU-1 cells

While silencing of *BCL-XL* leads to an increase of apoptosis mediated cell death as observed through the annexin V-FITC/PI assay, the specific mechanism by which cell death occurs cannot be solely ascribed towards *BCL-XL* inhibition alone. There exists the possibility of rippling effects from miRNA expression alterations towards gene targets associated with other cell death-inducing factors, in response to *BCL-XL* silencing.

The expression of miRNAs can be controlled by various mechanisms which when disrupted can lead to deregulated expression of miRNAs in human diseases including cancer. For example, the expression of miRNAs can be modulated by defects or changes that occur in the activity of important miRNA biogenesis enzymes such as Dicer and Drosha, due to mutations or epigenetic modifications of these enzymes (Thomson *et al.*, 2006; Nakamura *et al.*, 2007; Merritt *et al.*, 2008; Kawahara, 2014). The effects of endogenous (hormones, cytokines) and exogenous (xenobiotics) compounds can also play a role in the regulation of miRNA expression (Chen, 2010; Huumonen *et al.*, 2014; Marrone *et al.*, 2016). Epigenetic changes, such as, DNA hypermethylation has also been reported to cause changes in miRNA expression as many miRNAs are associated with CpG islands (Weber *et al.*, 2007; Lehmann *et al.*,

2008; Toyota *et al.*, 2008). Finally, an important contributor to miRNA expression is alterations in transcription factor activity (Chang *et al.*, 2007; Burk *et al.*, 2008; Mott *et al.*, 2010).

BCL-XL has been reported to play a role in the indirect regulation of an important transcription factor, nuclear factor kappa-light-chain-enhancer of activated B cells (NFκB). In a study by Gabellini and colleagues (2008), up-regulation of *BCL-XL* was found to decrease the cytoplasmic expression of IκBα protein with a corresponding phosphorylation at Ser32 and Ser36 amino acid residues of this protein, leading to its subsequent degradation (Gabellini *et al.*, 2008). Following this, an increase in nuclear p65 protein expression was found in the glioblastoma cell lines overexpressed with *BCL-XL* (Gabellini *et al.*, 2008). In turn, NFκB has been reported to be able to regulate the expression of various miRNAs including miR-9 (Bazzoni *et al.*, 2009), miR-143 (Zhang *et al.*, 2009), miR-146 (Pacifico *et al.*, 2010; Suzuki *et al.*, 2010), miR-21 (Niu *et al.*, 2012), miR-34a (Li *et al.*, 2012b) and miR-224 (Scisciani *et al.*, 2012). With this in mind, it can be assumed that silencing of *BCL-XL* will cause a dysregulation of miRNA expression profiles, whether it is a direct or indirect regulation.

To date, there have been no studies conducted to identify miRNAs regulated or affected by the expression of *BCL-XL*. MiRNAs play an integral role in the regulation of apoptosis in tumourigenesis, and numerous studies have reported dysregulated miRNA expression profiles in cancers. Therefore, profiling of differences in miRNA expression in *BCL-XL*-silenced human lung adenocarcinoma cells and non-silenced cells is useful in identification of specific miRNAs that influence the apoptosis process.

Previously in my Masters project, a global miRNA expression profile was produced detailing the dysregulation of miRNAs between *BCL-XL*-silenced and non-silenced A549 cells. To determine whether the same pattern can be observed in a secondary lung adenocarcinoma cell line, SK-LU-1, the expression of five candidate miRNAs (miR-181a, miR-769-5p, miR-361-5p, miR-1304, and miR-608) were evaluated using RT-qPCR. Results confirmed that the same pattern of dysregulation for these five miRNAs was present in both A549 and SK-LU-1 (Figure 4.6 and Table 4.3), suggesting that these miRNAs may be cancer specific and may be suitable markers for NSCLC.

At the time experimentation was being conducted for this study, there were a number of publications implicating the candidate miRNAs selected for this study in a wide variety of cancers. For example, miR-769-5p expression is reported to be up-regulated in mutated Merkel cell polyomavirus (MCV) positive Merkel cell carcinoma (MCC) (Xie *et al.*, 2014) and in hypoxic lung cancer cells (Geng *et al.*, 2016), where it exhibited its functions in hypoxia-induced lung cancer cells through the regulation of AT-rich interaction domain 1A (*ARID1A*) and *SMAD2* (Geng *et al.*, 2016). On the other hand, miR-1304 is up-regulated in response to paclitaxel treatment in hypopharynx cancer (HPC) cells, Fadu, and can potentially be a biomarker or therapeutic target for paclitaxel-based therapy in HPC patients (Xu *et al.*, 2013). Furthermore, miR-1304 was one amongst four miRNAs that was able to discriminate cases as high or low risk prognosis in lower-grade glioma with isocitrate dehydrogenase (IDH) 1/2 mutations (Cheng *et al.*, 2017).

Expression of miR-361-5p was reported to vary depending on the type of cancer, and it has been shown that this miRNA can function as either a tumour suppressor or an oncomiR. In colorectal and gastric cancer, miR-361-5p plays a tumour suppressive role and inhibits cell proliferation, invasion and metastasis through the direct binding of staphylococcal nuclease domain containing-1 (*SND1*) (Ma *et al.*, 2015). MiR-361-5p also behaves as a tumour suppressor in hepatocellular carcinoma (Sun *et al.*, 2016) and prostate cancer (Liu *et al.*, 2014), inhibiting cell proliferation and inducing apoptosis through direct inhibition of C-X-C chemokine receptor type 6 (*CXCR6*) and signal transducer and activator of transcription 6 (*STAT6*), respectively. In NSCLC, miR-361-5p expression is significantly down-regulated in cancerous tissues and is associated with low survival in NSCLC patients (Zhuang *et al.*, 2016). Conversely, in cervical cancer, miR-361-5p functions as an oncomiR; enhancing cell growth and promoting increased migration and invasion capacity of cervical cells through mediation of the epithelial-to-mesenchymal (EMT) transition (Wu *et al.*, 2013a).

MiR-608 expression is a prognostic marker in carcinogenesis with its expression being down-regulated in various cancers including chordoma cancer (Zhang *et al.*, 2014b), colon cancer (Yang *et al.*, 2016), glioblastoma (Wang *et al.*, 2016), and osteosarcoma (Wu *et al.*, 2016). Single nucleotide polymorphisms (SNPs) rs4919510 of miR-608 is shown to serve as predictors of clinical outcomes in colorectal cancer (Ryan *et al.*, 2012), esophageal squamous cell carcinoma (ESCC), (Yang *et al.*, 2014), breast cancer (Huang *et al.*, 2012; Hashemi *et al.*, 2016b) and bladder cancer (Hashemi, 2016a). MiR-608 is also a marker to predict locoregional recurrence in radiotherapy-treated nasopharyngeal carcinoma (Zheng *et al.*, 2013). rs4919510 SNP in miR-608 has also been reported to influence HER2-positive breast cancer risk and tumour proliferation (Huang *et al.*, 2012). In chordoma cancer, miR-608 induces apoptosis and hinders cell proliferation via regulation of epidermal growth factor

receptor (*EGFR*) and *BCL-XL* (Zhang *et al.*, 2014b). MiR-608 has also been shown to directly target macrophage migration inhibitory factor (*MIF*), inhibiting proliferation, migration and invasion, and inducing apoptosis in both osteosarcoma cell lines (Wu *et al.*, 2016) and glioma stem cells (Wang *et al.*, 2016). Furthermore, miR-608 has been demonstrated to repress tumorigenesis of colon cancer cells both *in vitro* and *in vivo* through the regulation of N-a-acetyltransferase 10 protein (*NAA10*) (Yang *et al.*, 2016).

Out of the five candidate miRNAs dysregulated by *BCL-XL* silencing, miR-181a was the most extensively studied. The altered expression of miR-181a has been discovered in various cancers, with its expression significantly up-regulated in thyroid cancer (He *et al.*, 2005), esophageal squamous cell carcinoma (Xiang *et al.*, 2014), hepatocellular carcinoma (Zhang *et al.*, 2011), breast cancer (Ouyang *et al.*, 2014), colon cancer (Wei *et al.*, 2014), and cutaneous T-cell lymphoma (Sandoval *et al.*, 2015). Up-regulation of miR-181a in gastric cancer promotes cell proliferation (Chen *et al.*, 2013), while increased levels in ovarian cancer induces increased cellular survival, migration, invasion and drug resistance (Parikh *et al.*, 2014). MiR-181a is elevated in colorectal cancer with metastasis, with increased expressions promoting cell motility and invasion as well as tumour growth and liver metastasis, through the inhibition of WNT inhibitory factor 1 (*WIF-1*) (Ji *et al.*, 2014). However, in glioblastoma multiforme, the most malignant type of brain tumour, expression of miR-181a is down-regulated and ectopic overexpression was able to inhibit invasive proliferation of glioblastoma cells (She *et al.*, 2014). MiR-181a has also been reported to be down-regulated in oral squamous cell carcinoma (OSCC), with ectopic overexpression suppressing proliferation and anchorage independent growth ability of OSCC (Shin *et al.*, 2011). MiR-181a was discovered to play a tumour suppressive role in OSCC partially through the regulation of the *KRAS* gene (Shin *et al.*, 2011). As miR-181a was already well studied, the functional roles of miR-181a were not further evaluated in this study.

5.3 MiR-608 and miR-361-5p plays a significant role in the apoptotic properties of A549 and SK-LU-1 cells

The function of miRNAs dysregulated in response to *BCL-XL* silencing was then elucidated via gain-of-function and loss-of-function studies. Overexpression of miRNA using transfectable synthetic miRNA mimics was performed to supplement endogenous miRNA activity to determine the functional roles, whereas knockdown or down-regulation of miRNAs using miRNA hairpin inhibitors was intended to suppress endogenous miRNAs. A combination of up-regulation and down-regulation of miRNAs can aid in the identification of genes and cellular processes regulated by specific miRNAs.

Transfection efficiency was first evaluated through the visual monitoring of the Transfection Controls with Dy547 using fluorescence microscopy (Figure 4.7), to ensure an $\geq 80.0\%$ transfection efficiency was achieved when transfecting a final concentration of 80.0 nM into the cells. Following this, the degree of amplification and suppression of miRNA levels achieved by transfection of 80.0 nM mimics and/or inhibitors were quantified using RT-qPCR. MiRNA mimics were able to induce at least a 10-fold increase in expression; while miRNA inhibitors were able to silence endogenous miRNA levels to a smaller extent (Figure 4.8 and Table 4.4). This subtle decrease in miRNA expression may be explained by the mechanism by which miRNA inhibitors function in the cells, as they are required to compete with cellular target mRNAs to sequester mature miRNA. A smaller decrease in fold change of miRNA expression may thus indicate unsuccessful competition of the miRNA inhibitors with mRNAs.

The functional roles miRNAs play in apoptosis was then assessed using the FITC annexin V apoptosis detection kit. An early feature of apoptosis is the loss of plasma membrane asymmetry, in which case the membrane phospholipid phosphatidylserine (PS) becomes translocated from the inner to the outer leaflet of the plasma membrane (Fadok *et al.*, 1992). Exposure of the PS to the external cellular environment allows for binding to a fluorochrome-tagged protein annexin V, which has a high affinity for PS, thus enabling detection by flow cytometry of cells undergoing apoptosis (Koopman *et al.*, 1994; van Engeland *et al.*, 1998). In the later stages of cell death, when loss of membrane integrity has occurred, staining with annexin V in conjunction with a vital dye, such as, PI allows for discrimination of early and late apoptotic cells, as viable cells exclude PI due to their intact membranes whereas damaged or dead cells are permeable to PI (Nicoletti *et al.*, 1991). Therefore, viable live cells will have minimal annexin V and PI fluorescence, cells in early stage of apoptosis will have bright annexin V fluorescence but still exclude PI, and advance to late stage of apoptosis and secondary necrotic cells will stain strongly with both annexin V and PI.

Of the four miRNAs evaluated, only miR-608 and miR-361-5p were able to elicit significant changes in apoptosis. Overexpression of miR-608 expression via mimics and a down-regulation of miR-361-5p through miRNA inhibitors were able to significantly increase the apoptotic population in both A549 and SK-LU-1 as demonstrated via flow cytometry analysis of annexin V (Figure 4.9). No significant changes in apoptosis were observed with the transfection of either miR-769-5p or miR-1304, indicating that they may not play critical roles in the apoptotic properties of lung adenocarcinoma.

To confirm the presence of an apoptosis process, activation of caspase 3 and 7 were analyzed using the Caspase-Glo[®] 3/7 assay. As previously described in Chapter 2, caspases are a large protein family that maintains homeostasis through the regulation of cell death and inflammation (Thornberry & Lazebnik, 1998). The activation of effector caspase 3 and caspase 7 cleaves downstream targets that result in the irreversible commitment of cells to apoptotic death, thus making them reliable markers for apoptosis. The Caspase-Glo[®] 3/7 assay is a homogenous, luminescent assay that measures the activities of caspase 3 and 7, whereby the amount of luminescence measured is proportional to the amount of caspase activity present. Corresponding to flow cytometry analysis of annexin V, caspase 3/7 activity in A549 and SK-LU-1 was significantly increased following transfection with miR-608 mimics and miR-361-5p inhibitors (Figure 4.10). Similarly, no significant changes in caspase 3/7 activity were detected in cells transfected with miR-769-5p and miR-1304.

Thus far, two independent results were observed in the form that *BCL-XL* regulates the expression profile of miRNAs, and miRNAs in turn regulate the apoptotic properties of lung adenocarcinoma cells. To confirm the association between *BCL-XL*, miRNA and cell death, a combination study was performed whereby cells were first transfected with si*BCL-XL*, followed by transfection with either miR-608 inhibitors or miR-361-5p mimics. Results revealed that the increased apoptotic population seen in *BCL-XL* silenced cells was significantly decreased following miR-608 inhibitor and miR-361-5p mimic transfection as determined through flow cytometric analysis of annexin V (Figure 4.11) and luminescence analysis of caspase 3/7 activation (Figure 4.12). This suggests that miR-608 and miR-361-5p play a significant role in blocking *BCL-XL* induced cell death.

Taken together, results have shown the ability of miR-608 and miR-361-5p to play a role in the regulation of apoptosis in lung adenocarcinoma cells and at this point provide the first indication that miR-608 may play a tumour suppressive role while miR-361-5p may play an oncogenic role in lung adenocarcinoma.

As mentioned previously in Section 5.2, previous studies in NSCLC have described miR-361-5p as a tumour suppressor, however opposing results were obtained in this present study. Results from this study have demonstrated that inhibition of miR-361-5p increases apoptosis and caspase 3/7 activation, suggesting that miR-361-5p may also play an oncogenic role in the regulation of apoptosis in NSCLC. The opposing roles of miR-361-5p in different cancers is still not well understood, however it can be hypothesized that the specific functions of miR-36-5p may be tissue- or cell-specific and strongly depends upon their downstream targets. The previous findings of miR-361-5p have been obtained from studies using tissue samples (Zhuang *et al.*, 2016) or the H23 lung adenocarcinoma cell line (Ma *et al.*, 2015). Meanwhile results of this study examine the expression of miR-361-5p in response to *BCL-XL* silencing and in two different cell lines, A549 and SK-LU-1. Therefore, further experiments should be conducted to further understand the roles miR-361-5p play in various cancers and cell lines.

5.4 MiR-608 and miR-361-5p induces cell cycle arrest at the S phase in A549 and SK-LU-1 cells

The balance between cell proliferation and cell death must be regulated in order to maintain tissue homeostasis. The connection between the two processes can be achieved through coupling of cell cycle and cell death through the use, or control, of a shared set of key factors (King & Cidlowski, 1995). Accumulating evidence has shown that in certain situations, deregulation of cell cycle components can prevent or induce apoptotic

responses (Fotadar *et al.*, 1996), involving key proteins, such as, v-Myc avian myelocytomatosis viral oncogene homolog (c-MYC) (Amati *et al.*, 1993), tumour protein p53 (Levine, 1997), and retinoblastoma protein, pRB (Haas-Kogan *et al.*, 1995) in the regulation of both apoptosis and cell cycle (Alenzi, 2004).

The BCL-2 family of proteins has also been associated in the control of apoptosis and cell proliferation. The most prominent effect on cell cycle exhibited by BCL-2 and BCL-XL is the delay of cell progression into the S phase from quiescence, through the inhibition of MYC activation by elevating expression of the cell cycle inhibitor, p27 (Huang *et al.*, 1997; Greider *et al.*, 2002). Furthermore, it was found that a mutation of the conserved residue tyrosine 22 (Y22) in the BH4 domain of *BCL-XL* removed their capability to delay entry into S phase, without affecting their ability to inhibit apoptosis, suggesting that the regulation of anti-apoptosis and cell proliferation by *BCL-XL* is genetically separate (Huang *et al.*, 1997). With this knowledge, it was therefore of interest to determine whether miRNAs dysregulated by the silencing of *BCL-XL*, can also be involved in the progression of cell cycle in lung adenocarcinoma cells.

Analysis of PI stained miR-608 and miR-361-5p-transfected cells via flow cytometry revealed the ability of these miRNAs to regulate cell cycle. Ectopic expression of miR-608 and silencing of miR-361-5p was shown to induce a cell cycle arrest in both A549 and SK-LU-1 cells, with an increase in cell population in the S phase and a decrease in the G₀/G₁ phase (Figure 4.13). Bioinformatics analysis of putative miRNA gene targets identified retinoblastoma-associated protein, E2F, as a target of miR-608 and pRB as a target of miR-361-5p. As miRNAs are negative regulators, overexpression of miR-608 may lead to a decrease in E2F, which is a positive regulator of genes required for transition from G₀ to S phase (Dyson, 1998; Nevins, 1998). On the other hand, inhibition of miR-361-5p may lead to an increase in its target gene pRB, which is a

negative regulator of cell growth, blocking transcription of S phase genes (Weintraub *et al.*, 1995). However, these conclusions are only speculative and further experimental work would have to be performed to confirm direct regulation of E2F and pRB by miR-608 and miR-361-5p, respectively.

5.5 MiR-608 and miR-361-5p induces caspase 3 activation *in vivo*

In vitro assays are only approximate reconstitutions of biological processes occurring under controlled conditions, it is therefore advantageous to validate the results of *in vitro* experimentations in an *in vivo* model. Zebrafish (*Danio rerio*) have been widely used in cancer research. An evaluation of the zebrafish genome with the human genome sequence demonstrates many human cancer genes are structurally and functionally conserved in zebrafish (Amatruda *et al.*, 2002; Stoletov & Klemke, 2008). Certain advantages, such as, rapid generation time, transparent embryos, large clutch size, *ex utero* development of the embryo, and lower maintenance costs make the use of zebrafish, over traditional vertebrate models, very appealing (Lieschke & Currie, 2007; Feitsma & Cuppen, 2008; Shive, 2013).

Another benefit of using zebrafish embryos is that xenotransplantation in the early life-stages of zebrafish do not require immunosuppression as their adaptive immune system only matures at 3-4 weeks after fertilization (Willett *et al.*, 1999; Lam *et al.*, 2004). The first report of successful xenotransplantation of human cancer cells into zebrafish was in 2005, whereby Lee and colleagues transplanted zebrafish embryos with human metastatic melanoma cells and discovered that the cells were able to survive, divide and migrate (Lee *et al.*, 2005). Since then there have been many reports of successful xenotransplantation, by microinjection, of human cancer cells into zebrafish embryo (Haldi *et al.*, 2006; Nicoli *et al.*, 2007; Marques *et al.*, 2009; Pruvot *et al.*, 2011; Yang *et al.*, 2013). Zebrafish embryos have thus been used to study the behavior of

xenografted cells in regards to various biological pathways including metastasis (Marques *et al.*, 2009), invasion (Yang *et al.*, 2013), angiogenesis (Lee *et al.*, 2009), as well as for the screening of various anti-cancer agents (Pruvot *et al.*, 2011; Jung *et al.*, 2012).

The yolk is the ideal location for injection, as it provides a nutrient rich environment for injected cells allowing for cell growth and migration (Haldi *et al.*, 2006). The yolk sac is also able to retain a large number of injected cells, approximately 50-100 cells, without expulsion into the embryo media (Haldi *et al.*, 2006). Furthermore, successful engraftment in zebrafish can be achieved from fewer cells in comparison to xenotransplantation in immunosuppressive mouse strains; therefore host numbers can be scaled up easily, which improves the validity of statistical analyses (Veinotte *et al.*, 2014).

Another advantage of using zebrafish embryo is its optical transparency which allows for the real-time observation of tumour mass formation of fluorescently labeled cancer cells (Haldi *et al.*, 2006; Eguiara *et al.*, 2011; Zhao *et al.*, 2011), as well as allowing the possibility to perform immunostaining and *in situ* hybridization on whole embryos without the need for xenograft biopsies (Veinotte *et al.*, 2014). The pigment formation in zebrafish develop rapidly, so to increase signal detection by whole mount *in situ* hybridization and confocal microscopy, embryos are treated with 1-phenyl 2-thiourea (PTU) during embryogenesis which help to inhibit melanogenesis (Whittaker, 1966; Eppig, 1970). In this study zebrafish embryos were maintained in system water containing PTU 24 h prior to microinjection with transfected A549 cells. Optical transparency achieved by PTU treatment then allowed for visualization of fluorophore-conjugated active caspase 3 antibodies using a confocal microscope. Analysis of fluorescent images using the ImageJ Analyst software demonstrated that zebrafish

embryos injected with miR-608 mimic and miR-361-5p inhibitor-transfected cells led to a significant increase in active caspase 3 detection in comparison to negative control injected zebrafish (Figure 4.14 and 4.15). These results were in accordance with the miRNA's *in vitro* ability to induce apoptosis via caspase 3 activation.

5.6 MiR-608 and miR-361-5p are predicted to target signaling pathways associated with NSCLC apoptosis and proliferation

Mature miRNAs recognize their target mRNA through base-pairing interactions at a seed region of 2-8 nucleotides on the miRNA and complementary nucleotides in the 3'UTR of mRNAs. Gene expressions of the mRNAs are then inhibited by translational repression or cleavage (Bartel, 2004; Zamore & Haley, 2005). Each individual miRNA has hundreds of evolutionarily conserved targets (Bentwich *et al.*, 2005) and it is estimated that miRNAs may regulate approximately 60% of all human genes (Lewis *et al.*, 2005). For this reason, it is a challenge to identify the gene targets of miRNA; and sophisticated computational algorithms thus play a central role to aid in prediction of miRNA targets (Bentwich, 2005; Rajewsky, 2006; Doran & Strauss, 2007; Maziere & Enright, 2007). In this study, the TargetScan algorithm was utilized which predicts miRNA targets conserved across various genomes through the combination of thermodynamics-based modeling of RNA:RNA duplex interactions and comparative sequence analysis (Grimson *et al.*, 2007). The list of putative miRNA targets obtained from TargetScan were then subjected to gene annotation enrichment using the DAVID software which is a bioinformatics resource that consists of an integrated biological knowledge-base and analytical tools that allow for extraction of biological meaning from large gene lists (Huang da *et al.*, 2009). Results of the bioinformatics analysis in this study implicated various signaling pathways to be targeted by miR-608 and miR-361-5p, which included the TGF β , PI3K/AKT, MAPK, WNT, and the intrinsic and extrinsic pathways (Figure 4.16 and Figure 4.17). As discussed in Chapter 2, all six of

these pathways play a significant role in the regulation of apoptosis and cell proliferation.

5.6.1 Targeting of the TGF β signaling pathway

MiR-608 and miR-361-5p were found to target various genes in the TGF β signaling pathway, which is essential for the regulation of numerous cellular processes including differentiation, motility, lineage determination, cell proliferation, adhesion, and cell death (Massagué, 2008; Jeon & Jen, 2010). *In silico* analysis identified *TGF β* , *TGF β R1* and *SMAD2/4* as targets of down-regulated miR-361-5p indicating an increase of gene expression allowing them to perform their tumour suppressive activities. An increase in *SMAD2* and *SMAD4* levels will result in a decrease of X-linked inhibitor of apoptosis protein (*XIAP*) expression (Van Themsche *et al.*, 2010), which in turn releases caspase 3 allowing it to induce apoptosis. Furthermore, activation of *SMAD2/4* will induce the transcription of *p15*, a cyclin-dependent kinase inhibitor, leading to growth arrest (Feng *et al.*, 2000). On the other hand, cyclin D and *E2F* are positive regulators of cell cycle progression and they are predicted to be targeted by up-regulated miR-608, leading to cyclin dependent kinase (*CDK*) inhibition and accumulation of hypo-phosphorylated *pRB*, which in turn induces cell cycle arrest (Reynisdottir *et al.*, 1995; Hanahan & Weinberg, 2000).

5.6.2 Targeting of the PI3K/AKT signaling pathway

The PI3K/AKT pathway is an important regulator of cell survival and proliferation in lung cancer (Vivanco & Sawyers, 2002), and various members of this pathway were targeted by miR-608 and miR-361-5p. AKT plays a critical role in the activation of numerous biological processes imperative for tumourigenesis including inhibition of apoptosis, angiogenesis, cell proliferation, and tumour cell invasiveness (Testa & Bellacosa, 2001), and this study identified *AKT* as a putative target of up-regulated miR-608. *PI3K*, which phosphorylates *AKT*, was also a target of miR-608, further reducing expression of *AKT*. As *AKT* is imperative for the regulation of many downstream transcription factors that control cell death genes (Brunet *et al.*, 1999), inhibition of this survival factor would cause a subsequent increase in apoptosis. Forkhead transcription factor (FKHR) is a downstream protein inhibited by AKT, and pathway analysis predicted *FKHR* to be a target of miR-361-5p. Therefore, release of inhibition of FKHR by a decreased AKT and miR-361-5p expression would allow translocation of FKHR into the nucleus to induce target genes including pro-apoptotic BIM and FAS ligand to further trigger apoptosis (Brunet *et al.*, 1999).

5.6.3 Targeting of the MAPK signaling pathway

The MAPK signaling pathway, specifically the ERK pathway, was also predicted to be targeted by miR-608 and miR-361-5p. *RET* proto-oncogene and coiled-coil domain containing 6 (*CCDC6*) are both targets of down-regulated miR-361-5p. An increase in the expression of RET and CCDC6 can lead to the formation of a CCDC6-Ret fusion protein (Matsubara *et al.*, 2012), which will allow RET to be constitutively active resulting in increased activation of RAS (Plaza-Menacho *et al.*, 2007). Activation of RAS leads to stimulation of various pathways, including the RAF-MEK-ERK pathway, which subsequently results in tumour cell metastasis, apoptosis, growth, proliferation, invasion and angiogenesis (Slebos *et al.*, 1990; Aviel-Ronen *et al.*, 2006). However

pathway analysis also predicted *EGFR* and hepatocyte growth factor receptor (*MET*) to be targets of miR-608. Both *EGFR* and *MET* had a higher TargetScan context score of -0.64 and -0.13, respectively, then that of *RET* (-0.23) and *CCDC6* (-0.01), indicating that *EGFR* and *MET* may be a more positive predicted target. Therefore, decrease in *EGFR* and *MET* will indirectly lead to a decreased activation of RAS. Furthermore, *RAF* a proto-oncogene serine/threonine-protein kinase, found downstream of RAS, is also a target of miR-608. Inhibition of *RAF* will prevent phosphorylation of MEK and ERK. Therefore, even though MEK and ERK were targets of miR-361-5p, they would not be able to perform their tumourigenic functions, as upstream proteins would not be able to phosphorylate and activate them. Together up-regulation of miR-608 and down-regulation of miR-361-5p could hypothetically work together to inhibit angiogenesis in lung adenocarcinoma cells.

5.6.4 Targeting of the WNT signaling pathway

Members of the WNT signaling pathway were also predicted to be targets of up-regulated miR-608. Cell proliferation of A549 and SK-LU-1 can hypothetically be inhibited through the suppression of disheveled (DSH) and adenomatous polyposis coli (APC). Decreased expression of DSH and APC will result in the prevention of glycogen synthase-kinase-3-beta ($GSK-3\beta$)/APC/Axin complex activation and subsequent phosphorylation of β -catenin. Furthermore, inhibition of DSH will block survivin activation, via inhibition of T-cell factor/lymphoid enhancer factor (TCF/LEF), resulting in caspase activation and reinstating the cell's ability to perform apoptosis (Uematsu *et al.*, 2003; Mazieres *et al.*, 2005; Van Scoyk *et al.*, 2008). Downstream targets of the WNT pathway include *CDK4/6* and cyclin D, which as described previously, will induce cell cycle arrest.

5.6.5 Targeting of the intrinsic and extrinsic signaling pathway

The BCR-ABL fusion protein is a potent anti-apoptotic molecule that is formed when the *ABL* gene from chromosome 9 joins onto the *BCR* gene on chromosome 22 (Prieto *et al.*, 1970). This fusion protein is involved in the activation of various downstream effectors and signal transducers that regulate cell proliferation and apoptosis, including PI3K, AKT, ERK and STAT5 (Lugo *et al.*, 1990; Shuai *et al.*, 1996; Skorski *et al.*, 1997). BCR-ABL has also been found to elicit anti-apoptotic behavior through the induction of *BCL-XL* expression (Gesbert & Griffin, 2000; Horita *et al.*, 2000). In regards to the intrinsic pathway, both *BCR* and *BCL-XL* are putative targets of miR-608, which hypothetically can result in increased apoptosis through cytochrome C release and activation of caspase 3.

In the extrinsic pathway, the cell-surface FAS receptor is predicted to be targeted by both up-regulated miR-608 and down-regulated miR-361-5p. However, the context score for miR-361-5p is lower than that of miR-608, at -0.19, and is thus a more favourable positive target prediction. Activation of FAS receptors will lead to the recruitment of intracellular adaptor proteins, such as, Fas-associated death domains (FADD) to form scaffolding complexes (Strasser *et al.*, 2009). These complexes will in turn recruit caspase 8, a member of the caspase family of cell death proteases, to be cleaved into an active enzyme. Pathway analysis indicate that activation of caspase 8 is not inhibited by the actions of either miR-608 or miR-361-5p, which will thus allow for pro-caspase 3 to be directly activated by pro-caspase 8 (Garrido *et al.*, 2006), enabling an alternative pathway for apoptosis to be initiated in lung adenocarcinoma cells.

5.7 MiR-608 and miR-361-5p directly target *AKT2* and *SMAD2*, respectively

In silico analysis predicted many genes as targets of miR-608 and miR-361-5p. A reporter system was utilized to experimentally validate the direct binding of miRNA to specific mRNA targets. The rationale for using such an assay was that the binding of miRNA to the mRNA target site would inhibit the production of a reporter protein, leading to a decreased activity that can be measured and compared to a control (Kuhn *et al.*, 2008). MiR-608 has sequence complementary to two regions in the 3'UTR of v-Akt Murine Thymoma Viral Oncogene Homolog 2 (*AKT2*) at bases 96 to 102 and 150 to 158, while miR-361-5p has sequence complementary to two regions in the 3'UTR of Mothers Against Decapentaplegic Homolog 2 (*SMAD2*) at bases 7447 to 7454 and 7695 to 7701 (Figure. 4.18). In this study, a fragment of the 3'UTR of the target gene of interest was cloned downstream of the luciferase open reading frame sequence contained in the pmirGLO reporter plasmid. Co-transfection of miR-608 and miR-361-5p mimics with their respective wild-type 3'UTR plasmid constructs produced a decreased luciferase signal in comparison to the control (Figure 4.19). This signifies that the miRNA was able to directly bind to the regions of sequence complementary in the gene of interest, leading to its mRNA being destabilized and repression of luciferase reporter gene translation. On the other hand, co-transfection of miRNA inhibitors with the wild-type 3'UTR plasmid constructs resulted in a slight increase in luciferase activity. In this situation, it can be hypothesized that introduction of miRNA inhibitors prevents endogenous miRNAs from binding to wild-type 3'UTR plasmids, thus leading to increased translation and detection of luciferase, in comparison to cells transfected with the inhibitor negative control.

To validate whether the gene of interest is a true target of the miRNA, changes in the miRNA expression should correspond to a predictable change in the protein levels encoded by the target mRNA (Kuhn *et al.*, 2008). Overexpression and silencing of miRNA expression using miRNA mimics and inhibitors was thus performed and western blot analysis was carried out using specific antibodies against AKT2 and SMAD2. Corresponding to the results obtained in the luciferase assay, a significant decrease was seen in the protein levels following transfection with miRNA mimics, whereby a significant increase in protein levels was seen in cells transfected with miRNA inhibitors (Figure 4.20 and Figure 4.21). Together, results have identified and confirmed *AKT2* and *SMAD2* to be novel targets of miR-608 and miR-361-5p, respectively.

5.8 Regulatory mechanism of miR-608 and miR-361-5p-induced NSCLC apoptosis

Thus far, results of this study have revealed that both miR-608 and miR-361-5p is critical for the regulation of apoptosis in lung adenocarcinoma cells. In addition, miR-608 was shown to directly target *AKT2* whereas miR-361-5p binds directly to *SMAD2* 3'UTR. However the association of *AKT2* and *SMAD2* with NSCLC apoptotic properties had to be further elucidated, and this was evaluated through gene rescue studies utilizing siRNAs and overexpression plasmids.

AKT2 is a serine/threonine protein kinase that contributes significantly in regulation of numerous biological pathways including angiogenesis, proliferation, metabolism, cell survival, and growth (Testa & Bellacosa, 2001; Martelli *et al.*, 2012). Hyperactivation of AKT2 has been reported widely to play a role in human malignancy, with overexpression being reported in many cancers including ovarian (Cheng *et al.*, 1992; Yuan *et al.*, 2000), thyroid (Ringel *et al.*, 2001), pancreatic (Altomare *et al.*, 2002), colorectal (Itoh *et al.*, 2002), breast (Arboleda *et al.*, 2003; Santi & Lee, 2011), glioma (Mure *et al.*, 2010; Cui *et al.*, 2012) and lung cancer (Balsara *et al.*, 2004; Lee *et al.*, 2011; Attoub *et al.*, 2015).

Frequent activation of AKT is an early and important event in the progression of lung cancer (Balsara *et al.*, 2004) and its constitutive activation has been demonstrated in cell lines originating from premalignant and malignant human bronchial epithelial cells, but is not present in normal bronchial cells (West, 2003). AKT also plays a significant role in invasiveness; therefore frequent activation of AKT may potentially be important in the transformation of a precursor lung lesion to a malignant carcinoma (Balsara *et al.*, 2004). In lung cancer, AKT has also been shown to contribute resistance towards chemotherapy, radiation and tyrosine kinase inhibitors through the regulation of survival signals that protect cells from undergoing apoptosis (Brognard; Nakashio *et al.*, 2000). Activated AKT overexpression in lung cancer has also been positively correlated with reduced patient survival (David *et al.*, 2004). Furthermore, down-regulation of AKT2 in NSCLC cells resulted in cleavage of anti-apoptotic BCL-2 family protein, MCL-1, disruption of mitochondrial membrane potential, cytochrome c release and caspase cascade activation (Lee *et al.*, 2011).

In this study, *AKT2* was identified as a novel direct target of miR-608, and the pro-apoptotic effects exhibited by inhibitors of miR-608 was reversed by the silencing of *AKT2* via siRNAs in both A549 and SK-LU-1 cells (Figure 4.23 – Figure 4.25). This finding suggests that targeting of *AKT2* could be the mechanism by which miR-608 functions as a tumour suppressor in NSCLC. These results are in accordance to previous findings of the role *AKT2* plays in regulation of apoptosis in NSCLC (Brognard; David *et al.*, 2004).

On the other hand, expression of miR-361-5p is down-regulated in response to silencing of *BCL-XL* and luciferase assay analysis has confirmed that miR-361-5p negatively regulates *SMAD2* levels. Gene rescue studies using *SMAD2* overexpression vectors demonstrated that ectopic up-regulation of *SMAD2* was able to rescue the apoptotic inhibition effect exerted by miR-361-5p (Figure 4.26 – Figure 4.28). Taken together, these observations suggest that the oncogenic role of miR-361-5p in lung adenocarcinoma cells is at least partially by inhibiting its target gene *SMAD2*.

SMADS, a family of structurally related proteins, function as signal transducers of TGF β family member proteins and are important in the regulation of cell growth inhibition, cellular senescence, differentiation and apoptosis (Derynck *et al.*, 1998; Itoh *et al.*, 2000; Massagué, 2000; Massague & Wotton, 2000; Miyazono *et al.*, 2000). *SMAD2*, a receptor-regulated SMAD, functions as a tumour suppressor and its expression has been reported to be decreased in various human cancers (Hoot *et al.*, 2008; Munker S, 2012; Samanta & Datta, 2012; Wu *et al.*, 2012). Furthermore, emerging evidence has reported the critical role *SMAD2* plays in apoptosis. Yang and colleagues provided one of the first reports of *SMAD2* functioning as a tumour suppressor in 2009, whereby *SMAD2* was identified to be a critical mediator of TGF β -induced apoptosis of prostate epithelial cells (Yang, 2009). They later reported that

apoptosis in prostate epithelial cells was induced through the suppression of survivin, an inhibitor of apoptosis, by SMAD2 together with pRB/E2F3 and cell cycle-regulated repressor element (CDE) and cell cycle gene homology region (CHR) (Yang *et al.*, 2008). SMAD2 is also associated with mitochondrial-based pro-apoptotic events through the activation of DAP-kinase promoter (Jang *et al.*, 2002), and various studies have also shown that SMAD2 can down-regulate XIAP to induce caspase 3 activation and TRAIL-induced apoptosis (Wang *et al.*, 2006; Xu *et al.*, 2006; Van Themsche *et al.*, 2010; Xu *et al.*, 2016).

CHAPTER 6: CONCLUSION

In summary, this thesis has provided evidence that miR-608 and miR-361-5p play opposing roles in the regulation of apoptosis in NSCLC. MiR-608 was concluded to play a tumour suppressive role while miR-361-5p functions as an oncogenic miRNA. Ectopic overexpression and inhibition of miR-608 and miR-361-5p, respectively, was able to stimulate cell cycle arrest and promote apoptosis both *in vitro* and *in vivo*. Furthermore, miR-608 and miR-361-5p were found to directly target *AKT2* and *SMAD2*, respectively. Together these findings suggest that down-regulation of *SMAD2* by miR-361-5p is likely to be an authentic mechanism of miR-361-5p-mediated oncogenesis, whereby targeting of *AKT2* could be the mechanism by which miR-608-mediated apoptosis is induced.

This study has demonstrated that miR-608 and miR-361-5p could serve as therapeutic targets for the treatment for lung adenocarcinoma. Studies have shown that there is great potential for the use of miRNAs as targets for cancer treatment, however there are many challenges that must be addressed. Both miR-608 and miR-361-5p are postulated to target many different genes as identified by TargetScan alone, and only a few have been experimentally validated to be genuine targets of these miRNAs. Therefore, more analyses must be performed to assess the biological effects of both miR-608 and miR-361-5p treatment in other normal and neoplastic cell lines *in vitro* and *in vivo*.

Other challenges faced in the development of miRNA-based therapeutics include concerns regarding delivery, potential off-target effects, as well as long-term safety concerns in humans (Garzon *et al.*, 2010). Further improvements must be made in terms of the chemical design of miRNA mimics and antagomiRs as well as development of delivery methods to overcome the cellular uptake of synthetic oligonucleotides to attain inhibition of the target. Pharmacokinetic and pharmacodynamics studies must also be performed to warrant that the required miRNA concentrations are attained in tissues and that the targets are down-regulated (Garzon *et al.*, 2010). Nevertheless, the notion of miRNA targeting to re-programme miRNA networks in cancer has a strong potential and chance for success.

Furthermore, studies have shown that the effects of individual miRNAs induces only a subtle reduction of protein expression, whereby miRNAs acting in concert have a greater ability to strengthen the functions of individual gene targets and together they can also target numerous genes that are expressed together, or associated with common pathways (Ivanovska & Cleary, 2008). For example, in a study conducted by Krek and colleagues, miR-375, miR-124 and let-7b were found to share a common gene target, *MTPN* (Krek *et al.*, 2005). The expression of *MTPN* was found to be significantly decreased in response to co-transfection of miR-124 and let-7b, similar to the effects induced by transfection of miR-375 alone. However, a combined transfection of miR-124 and let-7b together with miR-375 led to a substantially greater target inhibition than effects observed by any other combinations (Krek *et al.*, 2005). In another study, Ivanovska and Cleary identified three miRNAs (miR-34a, miR-16 and miR-106b) that regulate networks of genes that are involved in common cellular processes, but through distinct molecular mechanisms (Ivanovska & Cleary, 2008). The possibility of interactions among the miRNAs was investigated, and it was discovered that co-transfection of miR-34a and miR-16 induced a block in the G₁ cell cycle phase that was

greater than that exhibited by each miRNA alone. However, combination of miR-106b with either miR-34a or miR-16, or both together, only induced an intermediate change in phenotype, which reflected the contributions of each miRNA (Ivanovska & Cleary, 2008). These studies suggest that it would be of great interest to look into the effects of co-transfecting miR-608 and miR-361-5p together, on the regulation of apoptotic pathways in NSCLC. Further work on miR-608 and miR-361 may thus provide a platform for anti-sense gene therapy and has the potential to bring them to clinical trials to improve lung adenocarcinoma patient outcomes.

Lastly, while the zebrafish model has many advantages and can serve as invaluable screening tools in early stages of study; they can never replace rodents in the later stages of cancer research. Therefore it would be beneficial to complement these preliminary *in vivo* zebrafish results with further experimentation in mouse models.

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LIST OF PUBLICATIONS AND PAPERS PRESENTED

PUBLICATIONS

1. Othman, N., In, L. L. A., Harikrishna, J. A., & Hasima N. (2013). *Bcl-xL* Silencing Induces Alterations in hsa-miR-608 Expression and Subsequent Cell Death in A549 and SKLU1 Human Lung Adenocarcinoma Cells. *PLoS One*, 8(12), e81735.
2. Othman, N. & Hasima, N. (2014). The Role of microRNAs in the Regulation of Apoptosis in Lung Cancer and Its Application in Cancer Treatment. *Biomed Research International*, 2014(2014), 318030.
3. Othman, N. & Hasima, N. (2017). miR-608 regulates apoptosis in human lung adenocarcinoma via regulation of *AKT2*. *International Journal of Oncology*. (ACCEPTED)

CONFERENCE PROCEEDINGS

1. Othman N. & Hasima N. (2013). Bcl-xL silencing induces alterations in hsa-miR-608 expression and subsequent cell death in A549 and SK-LU1 human lung adenocarcinoma cells. 1st National Conference for Cancer Research in Conjunction with 5th Regional Conference in Molecular Medicine (RCMM). Kuala Lumpur, Malaysia. (Poster – 2nd Prize Poster Award in Cancer)
2. Othman N. & Hasima N. (2014). Up-regulation of hsa-miR-608 expression, in response to Bcl-xL silencing, increases cell death in A549 and SK-LU1 human lung adenocarcinoma cells. 21st MSMBB Annual Scientific Meeting. Kuala Lumpur, Malaysia. (Poster)
3. Othman N. & Hasima N. (2015). Up-regulation of hsa-miR-608 expression, in response to Bcl-xL silencing, increases cell death in A549 and SK-LU1 human lung adenocarcinoma cell. Golden Helix Symposia 2015: Next Generation Pharmacogenomics. Kuala Lumpur, Malaysia. (Poster)